

# Lattice QCD and QCD phase diagram

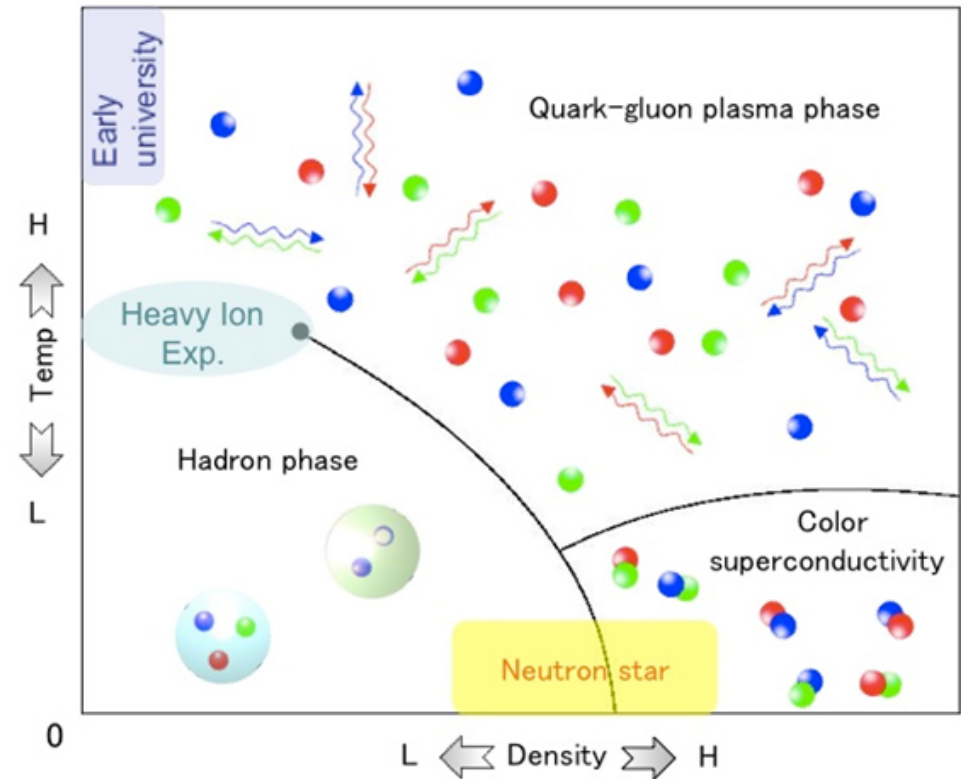
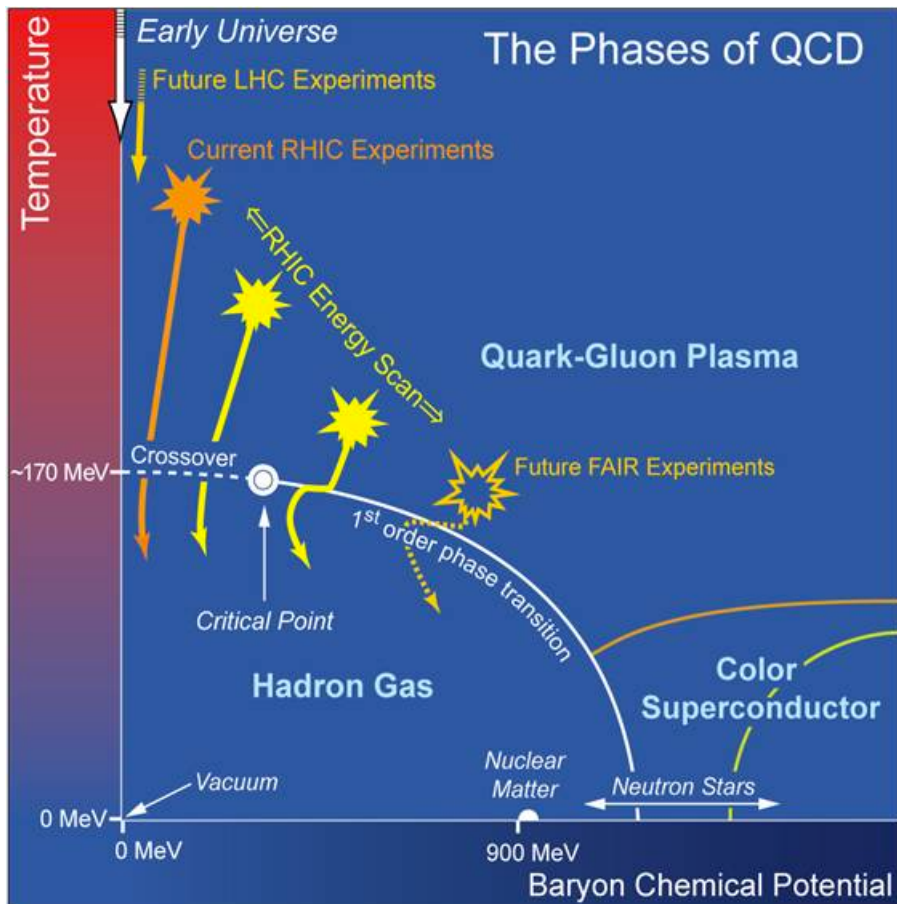
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Asia Pacific Center for Theoretical Center Physics (APCTP),  
Republic of Korea

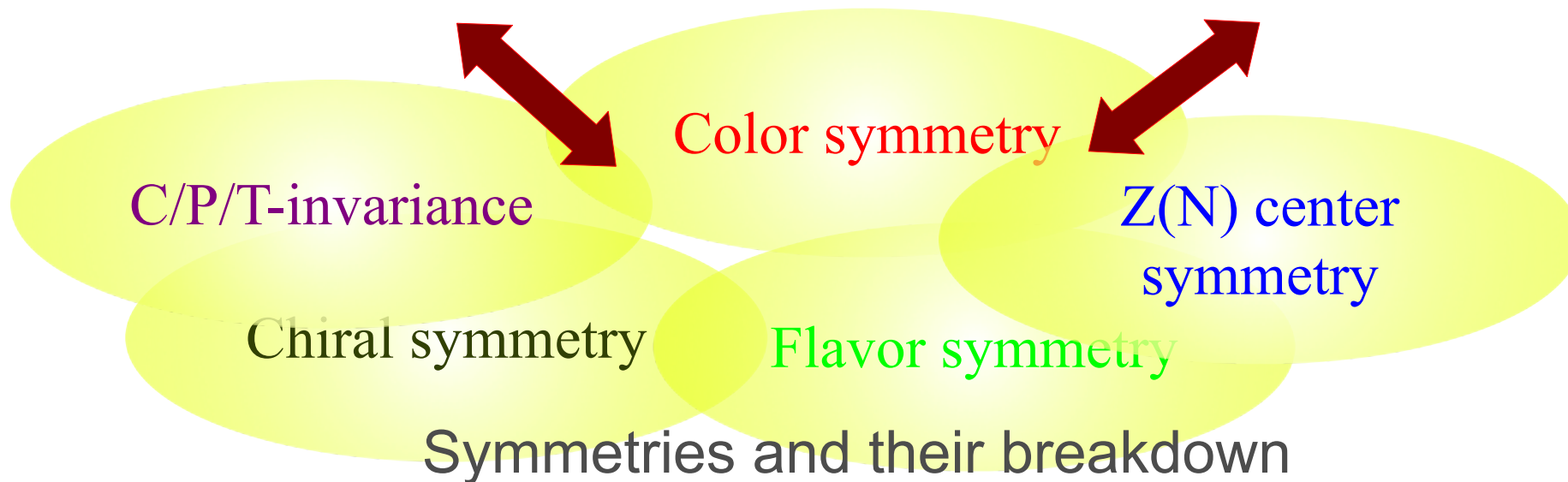
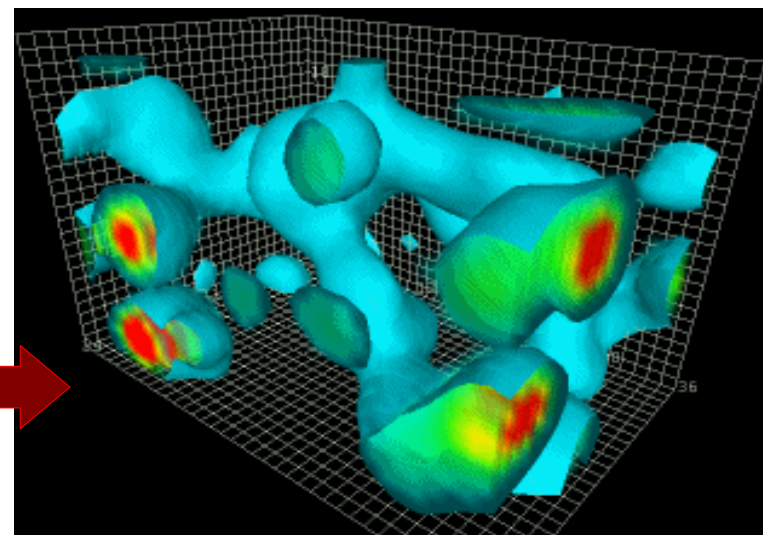
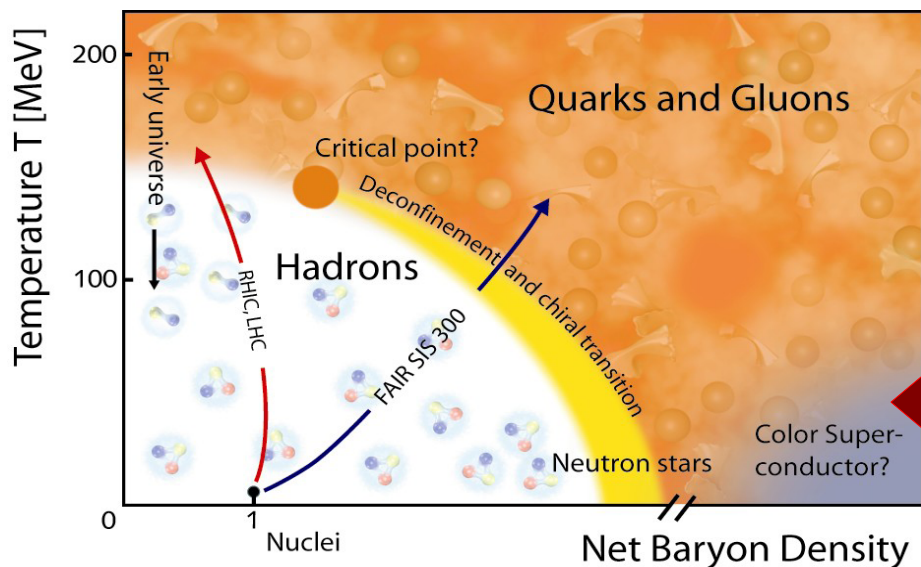


## 2. QCD at extreme conditions

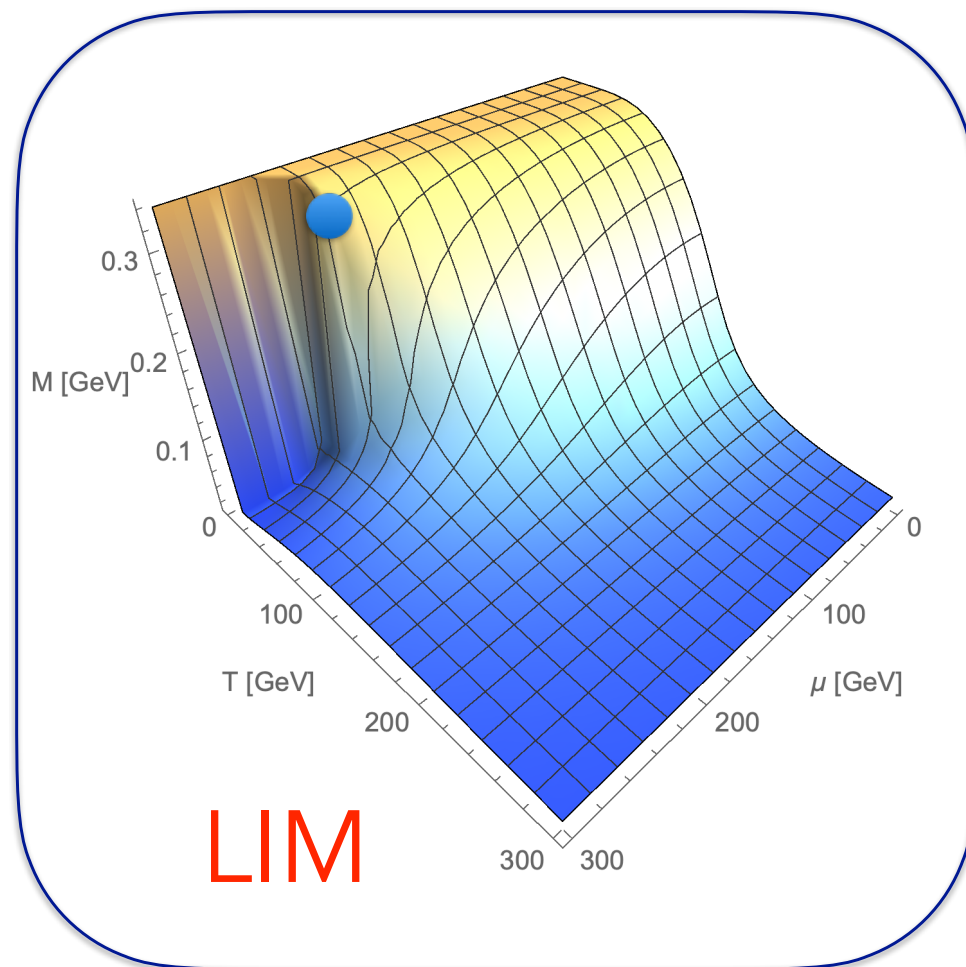
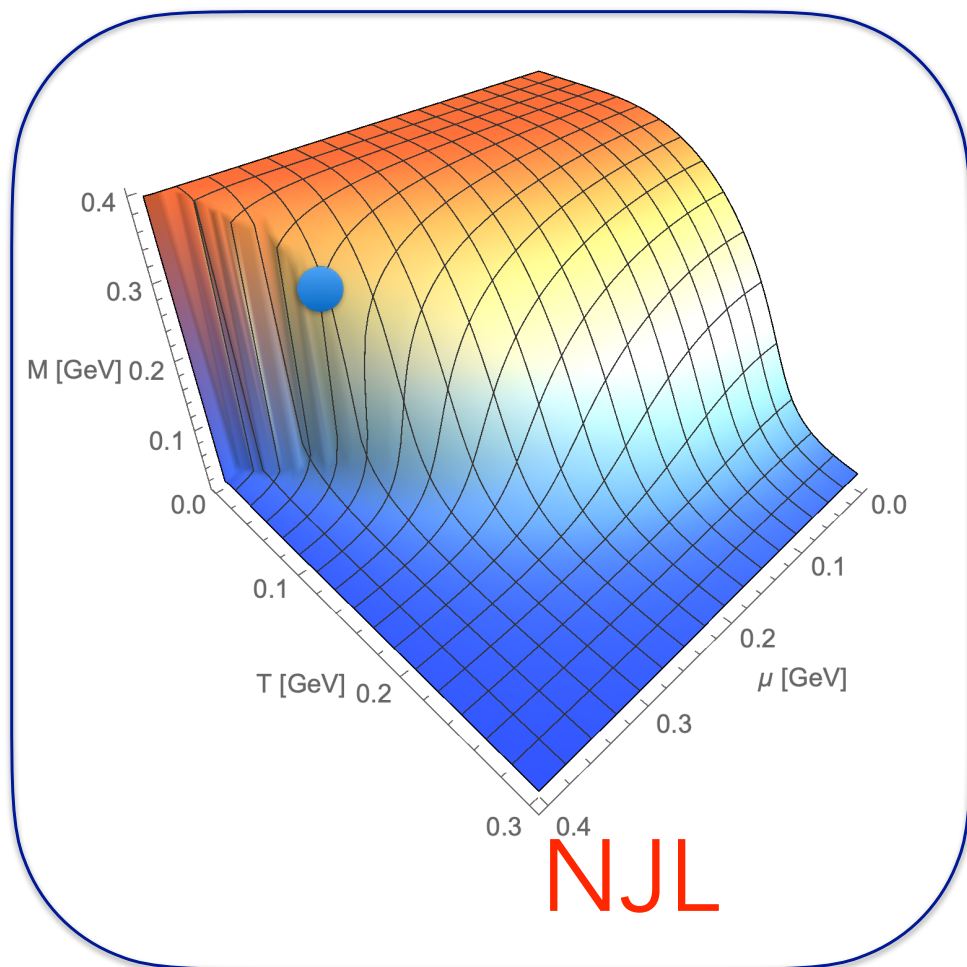
QCD has complicated phase structure as a function of temperature and density



# Why are heavy-ion collision experiments special for QCD?



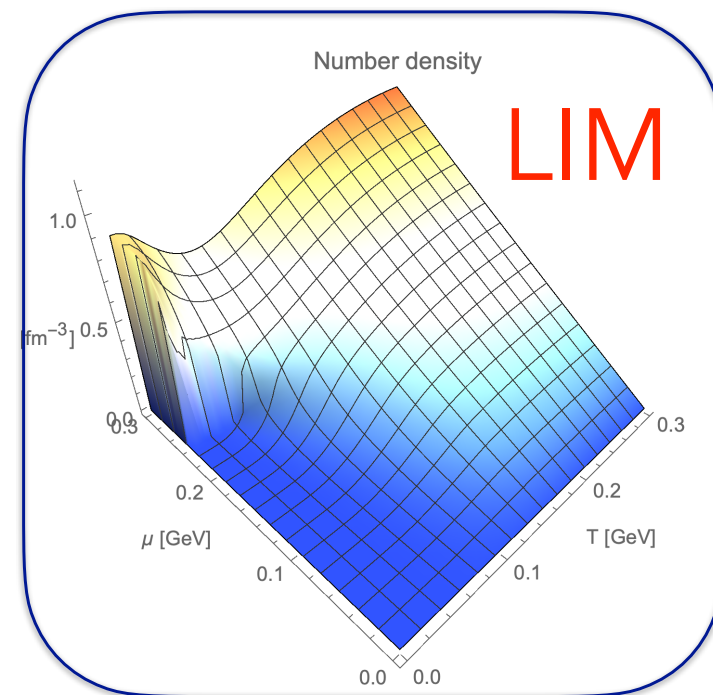
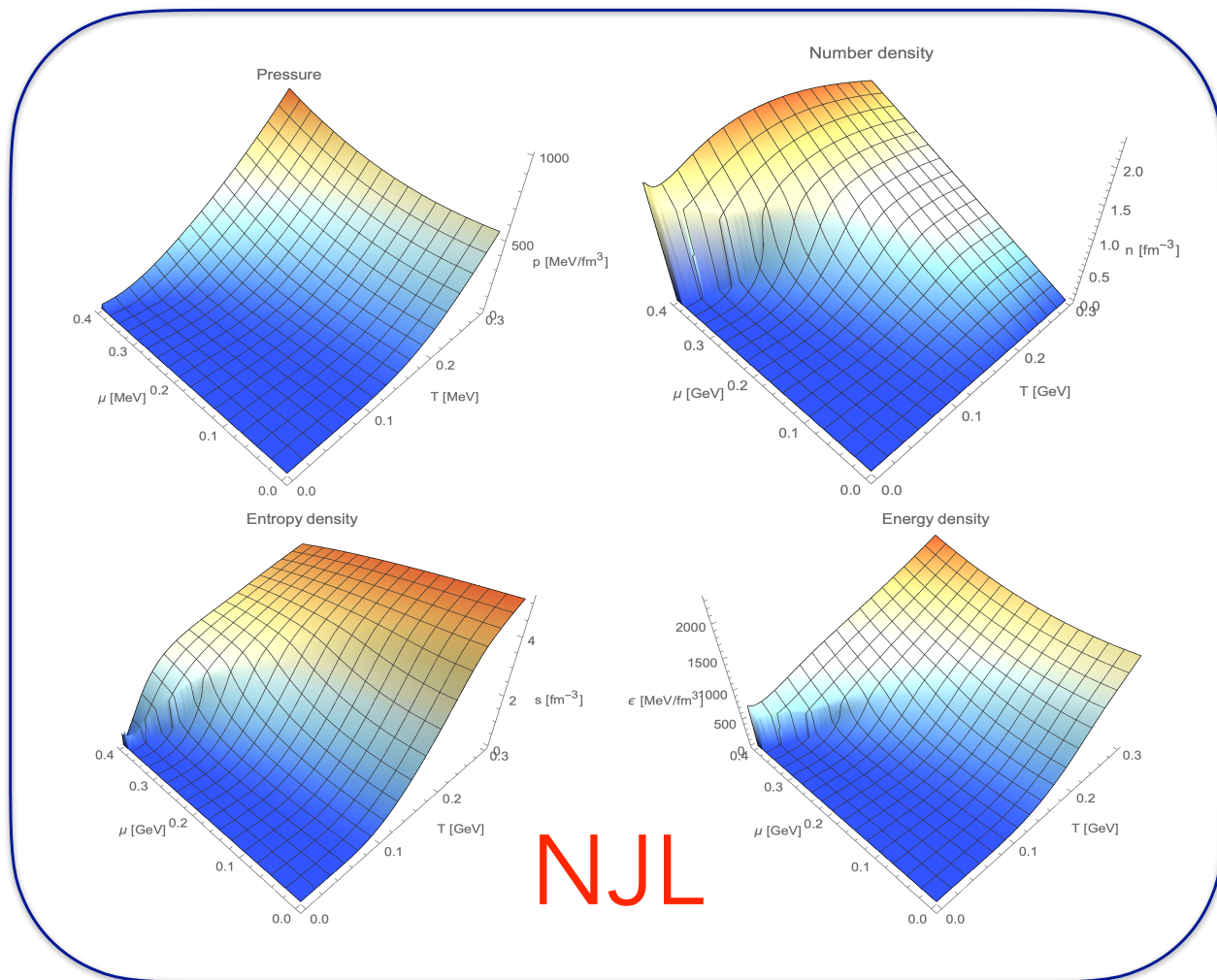
# Thermodynamic properties: NJL vs. LIM



Chiral phase diagram via effective quark mass



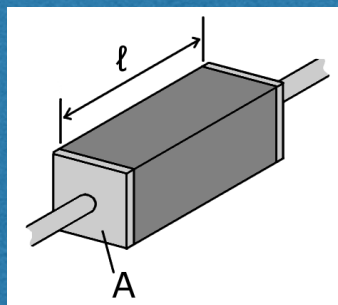
# Thermodynamic properties: NJL vs. LIM



## 4. Some numerical results

### Various transport coefficients

Electric conductivity

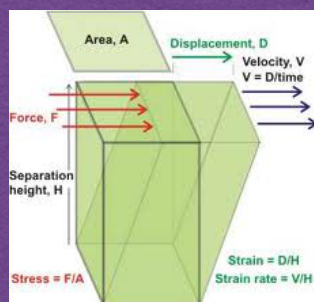


Heat conductivity

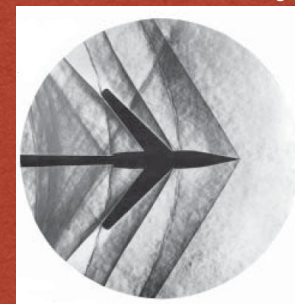


**Kubo formula:  
Current-current  
correlation**

Shear viscosity



Bulk viscosity



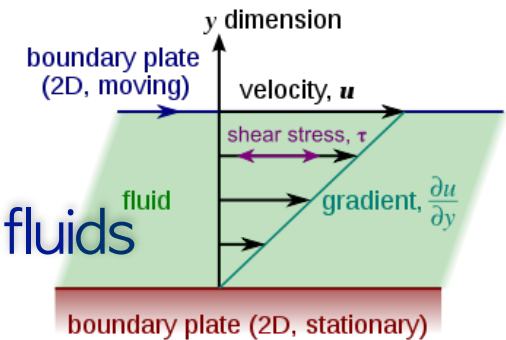
## 4. Some numerical results

### Shear viscosity ( $\eta$ )

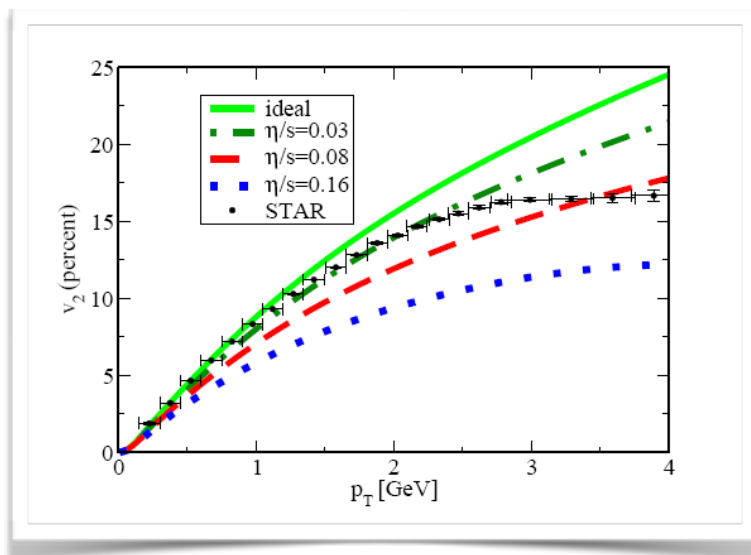
$\eta$  amounts strength of the shear force for fluids

$$\frac{F}{A} = \eta \frac{u}{y}$$

Small shear viscosity means “not sticky”



In viscous hydrodynamics simulations,  $\eta$  of QGP used as a parameter



Small  $\eta$   $\rightarrow$



Large  $\eta$   $\rightarrow$



## 1. Introduction: Lattice QCD

■ QCD is a first principle for strong interactions but too difficult in low-E as we have seen

■ Ideas for overcoming huddles:

1) We have computing machines

2) Physics is based on **CALCULUS**

3) Correlations can be expressed by multiple differentiations

4) Reconstruct QCD in discret spacetime

$$f'(a) = \lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h}.$$

5) Using path integral for correlations and statistical methods: **Why????**

6) Profit!!



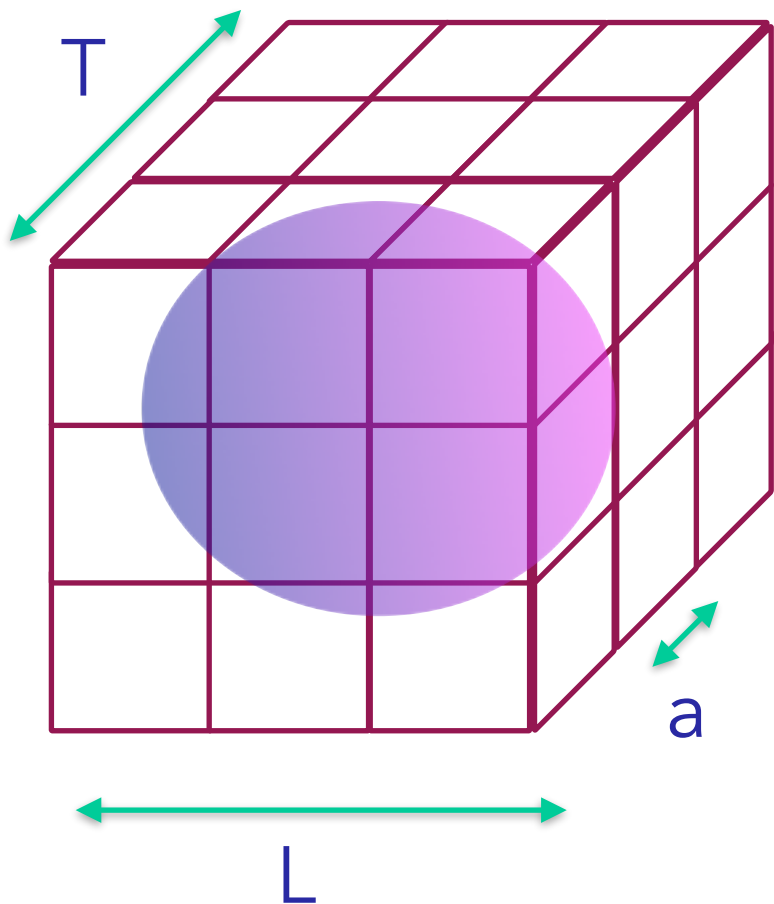
**Kenneth G. Wilson (1936 ~ 2013)**

*Physical Review D.* **25** (10): 2649.

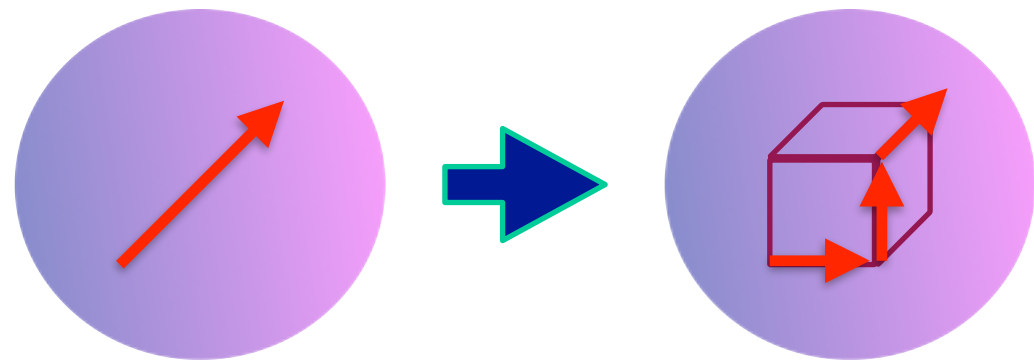


# 1. Introduction: Lattice QCD

QCD correlation functions are redefined in discretized space-time



Four-dimensional Euclidean space-time with volume  $L^3T$

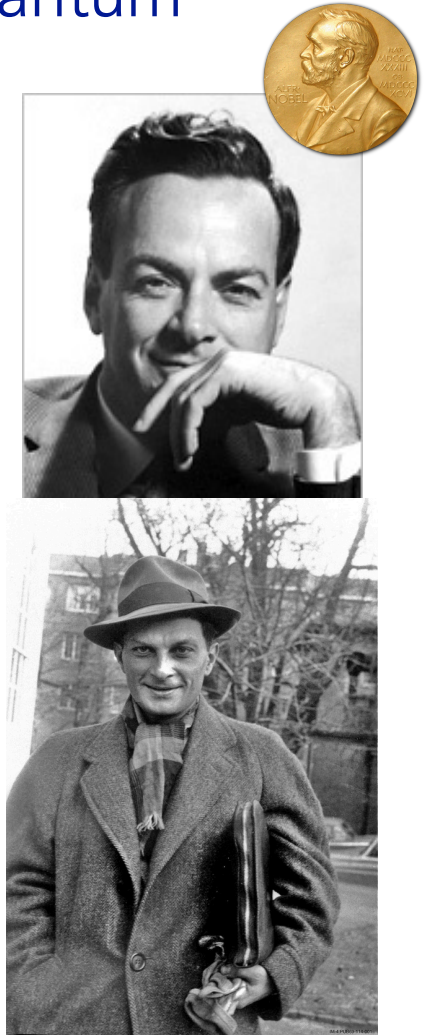
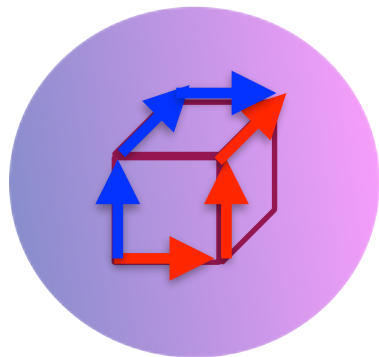


$$\langle 0|O(x)O(y)|0\rangle$$

In continuous limit  $a \rightarrow 0$ ,  
it becomes our world again

## 1. Introduction: Lattice QCD

- Unfortunately, we have infinite possible paths as quantum fluctuations: Which route do I need to take?
- We have a powerful method for this: **Path integral**
- Ok, fine, then how to perform path integral with the discrete spacetime technically?
- Again, we have powerful method:  
**Statistical Monte-Carlo simulation**



Stanisław Marcin Ulam

## 1. Introduction: Lattice QCD

- First, we start with the path integral for this purpose for QCD

$$\langle \mathcal{O}(\bar{\psi}, \psi, U) \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O}(\bar{\psi}, \psi, U) e^{-S_G[U] - S_F[\bar{\psi}, \psi, U]}$$

- Using external Grassmann fields to integrate out the fermion fields

$$\langle \mathcal{O}(\bar{\psi}, \psi, U) \rangle = \frac{1}{Z} \int \mathcal{D}U (\det D(U)) e^{-S_G[U]} \mathcal{O}'(U)$$

Redefined operator  $\mathcal{O}'(U) \equiv \mathcal{O}\left(-\frac{\partial}{\partial \eta}, \frac{\partial}{\partial \bar{\eta}}, U\right) e^{\bar{\eta} D^{-1}(U) \eta} \Big|_{\eta = \bar{\eta} = 0}$

## 1. Introduction: Lattice QCD

How to perform MC with this???

1. Generate a uniform random number  $i$
2. Generate a gauge configuration  $U_i$  by weighting probability  $P = \det[D(U_i)] \exp(-S_G[U_i])$  to the uniform random number

Importance sampling:  $P$  and  $1/P$  are known!!

3. Calculate  $O'(U_i)$  for the obtained  $U_i$
4. Repeat the process  $N$  times

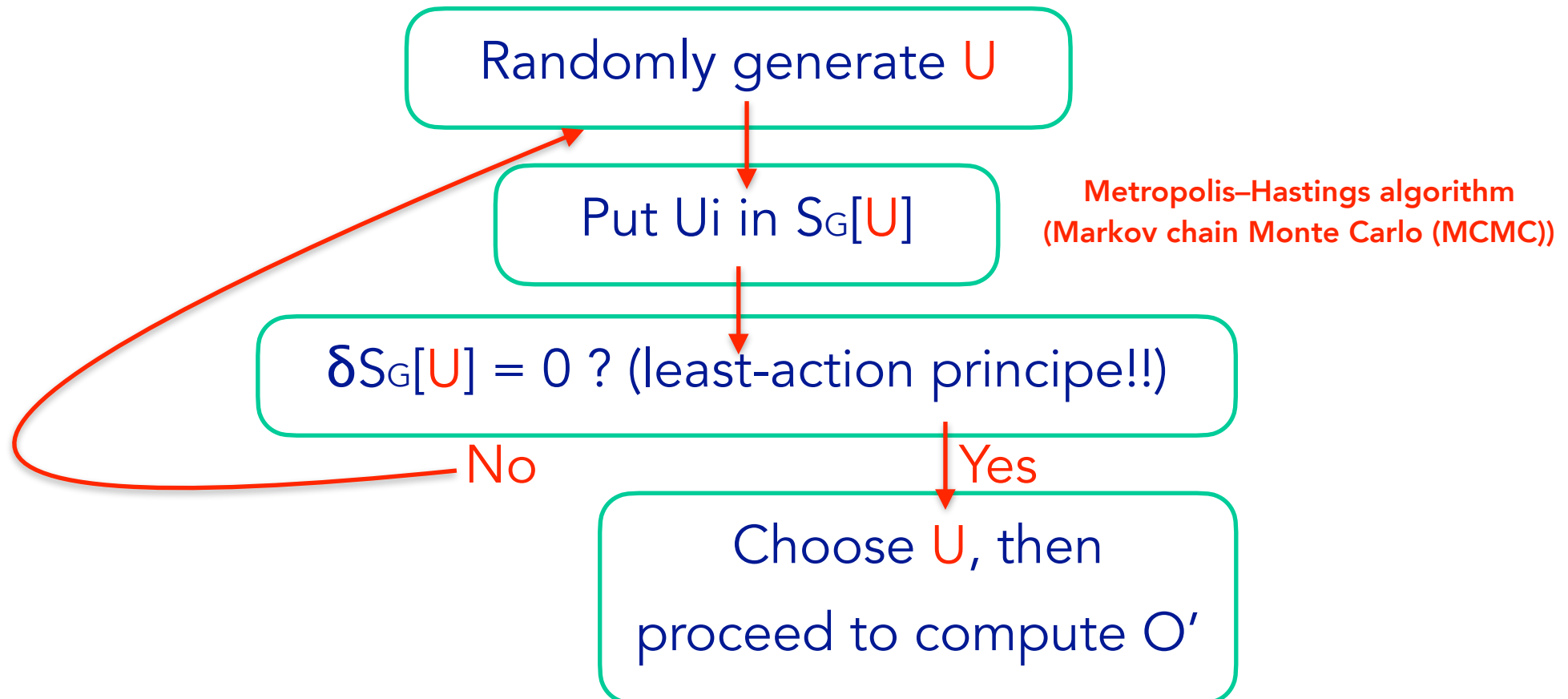
$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N O'(U_i) = \frac{1}{Z} \int \mathcal{D}U e^{-S_G[U]} O'(U) = \langle O(\bar{\psi}, \psi, U) \rangle$$

Generating  $U_i$  with  $P$



## 1. Introduction: Lattice QCD

- Sequential generating  $U$  via Markov-Chain MC
- Metropolis-Hastings algorithm: Certain probability of  $U_i \rightarrow U_j$



# Quenched!

## 1. Introduction: Lattice QCD

- Make things easy! : Quenched approximation

- There are infinite sea (virtual) quarks in Dirac sea: Quark loops

- Decoupling sea quarks by making sea-quark mass infinite

$$\frac{\int \mathcal{D}U (\det D(U)) e^{-S_G[U]} \mathcal{O}'(U)}{\int \mathcal{D}U (\det D(U)) e^{-S_G[U]}} \sim \frac{\int \mathcal{D}U (\det \cancel{D}(U)) e^{-S_G[U]} \mathcal{O}'(U)}{\int \mathcal{D}U (\det \cancel{D}(U)) e^{-S_G[U]}}$$

- This treatment is the same with  $\det D(U) = 1$

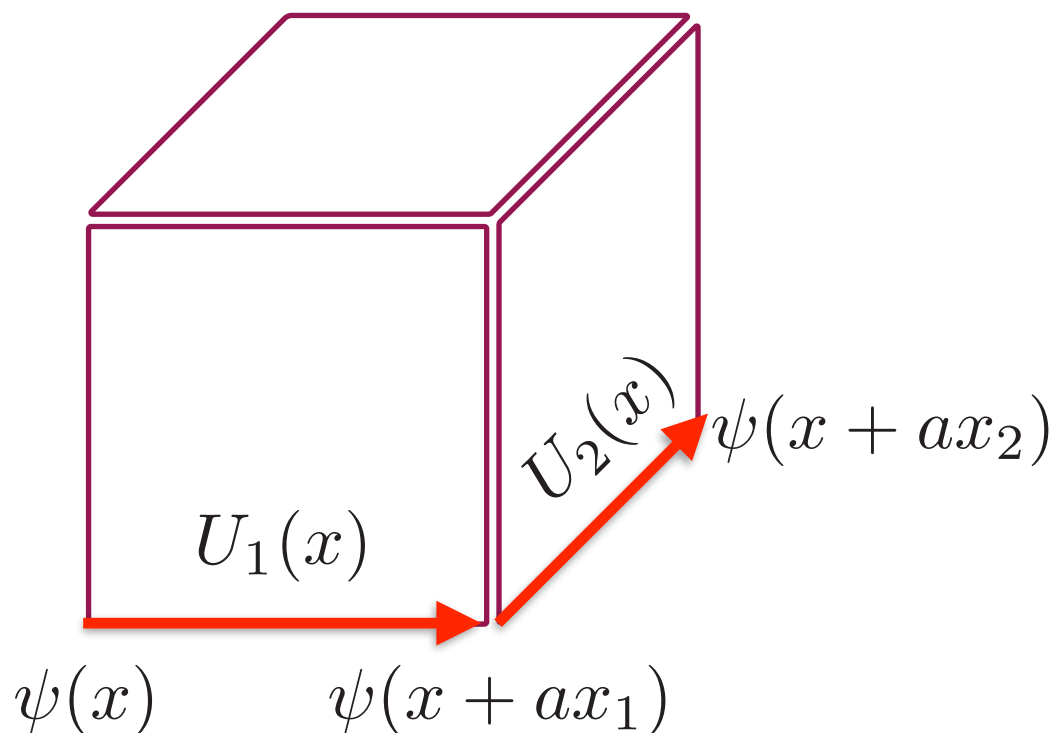
- Due to this, "P" becomes local (without derivatives) and simple!!!!

# Quenched!

## 1. Introduction: Lattice QCD



- How to make  $S_G$  in LQCD? : Plaquette action



- Link variable  $U$  which make (anti)quark move to a next site

$$U_{\mu}(x) = \exp [iaA_{\mu}(x)]$$

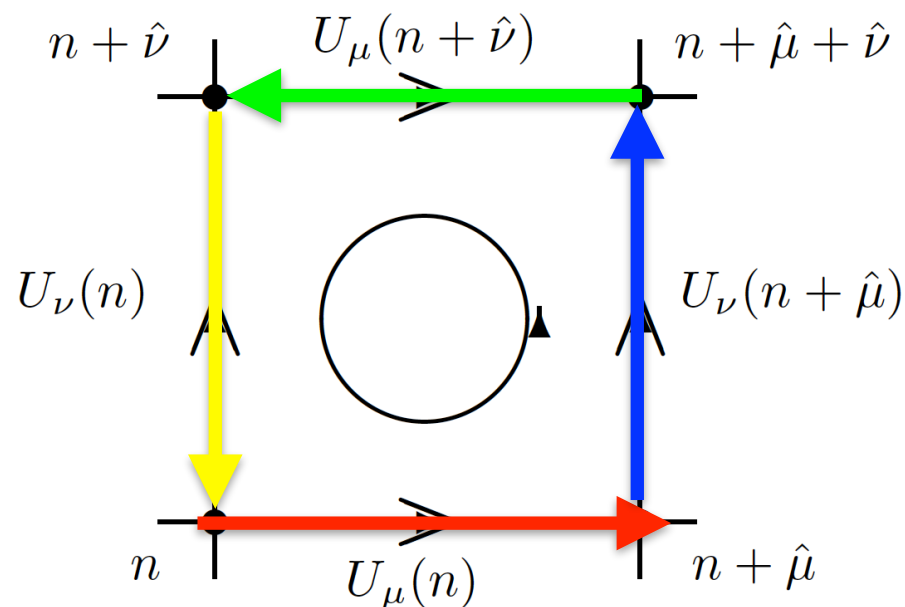
- $U$  can be understood as a gauge link in  $SU(N_c)$

$$G(x, y) = P \exp \left[ i \int A_{\mu} ds^{\mu} \right]$$

# Quenched!

## 1. Introduction: Lattice QCD

- What is a gauge-invariant quantity, constructed by U?
- A smallest closed loop L of multiplications of U: Plaquette



I do not prove Plaquette is gauge invariant..

$$U_{\mu\nu}(x) = U_{\mu}(x) U_{\nu}(x + ax_{\mu}) U_{\mu}^{\dagger}(x + ax_{\nu}) U_{\nu}^{\dagger}(x)$$



# Quenched!

## 1. Introduction: Lattice QCD

- Constructing action with Plaquette: Wilson gauge action

$$S_G[U] = \frac{2}{g^2} \sum_x \sum_{\mu < \nu} \text{ReTr} [1 - U_{\mu\nu}(x)]$$

I do not prove equivalence..

- In continuous limit, it (**closely**) becomes usual QCD gauge action
  - In SU(2), this action can be written as

$$S_P[U] = \beta \sum_x \sum_{\mu=1}^3 \left[ (4 - \mu) - \frac{2}{N_c} b_\mu^0(x) \right] \sum_{a=0}^3 (b_\mu^a(x))^2 = 1$$

- Here, we have used the SU(2) generator nature (Pauli matrix)
- After tedious calculations, we arrive at the final expression:

$$\langle \mathcal{O}(\bar{\psi}, \psi, U) \rangle = \frac{1}{Z} \prod_{x, \mu} e^{-(4-\mu)} \int_{-1}^1 d(\cos \theta) \int_0^{2\pi} d\phi \int_{-1}^1 db_\mu^0(x) \frac{\sqrt{1 - (b_\mu^0(x))^2}}{2} \exp \left[ \frac{2\beta}{N_c} b_\mu^0(x) \right] \mathcal{O}'(U)$$

# Quenched!

## 1. Introduction: Lattice QCD

- SU(2) Willson (plaquette) action gets simpler

$$\langle \mathcal{O}(\bar{\psi}, \psi, U) \rangle = \frac{1}{Z} \prod_{x, \mu} e^{-(4-\mu)} \int_{-1}^1 d(\cos \theta) \int_0^{2\pi} d\phi \int_{e^{-2\beta/N_c}}^{e^{2\beta/N_c}} dY \frac{N_c}{4\beta} \sqrt{1 - \left( \frac{N_c}{2\beta} \log Y \right)^2} \mathcal{O}'(U)$$

$$Y = \exp \left[ \frac{2\beta}{N_c} b_\mu^0(x) \right] \iff b_\mu^0(x) = \frac{N_c}{2\beta} \log Y$$

### Pseudo-Heat-bath method (importance sampling)

1. Random generation of  $Y$  ( $\sim b$ ) and  $0 \leq \xi \leq 1$
2. Computing  $P = \sqrt{\sim}$  then compare it with  $\xi$
3. If  $P \geq \xi$ , take  $Y$  ( $\sim b$ ), and vice versa going to 1 again
4. Computing  $\mathcal{O}'(U)$  with obtained  $Y$
5. Generating angles randomly then perform integration!!

## 2. Application Lattice QCD

Although we have a big jump....

- LQCD in finite quark chemical potential: What's wrong with this?

- We compute  $\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \mathcal{O}'(U_i)$  with  $P = (\det D(U)) e^{-S_G[U]}$

Note that  $\det[D] = DD^\dagger$

- The quark Dirac operator with chemical potential reads

$$D(\mu_q) = \not{D} + m + \mu_q \gamma_0$$

$$D^\dagger(\mu_q) = -\not{D} + m + \mu_q^* \gamma_0 = \gamma_5 D^\dagger(-\mu_q^*) \gamma_5$$

$$\{\det[D(\mu_q)]\}^* = \det[D^\dagger(\mu_q)] = \det[\gamma_5 D(-\mu_q^*) \gamma_5] = \det[D(-\mu_q^*)]$$

- If  $\mu$  is real,  $\det[D]$  is not real (complex), and **VICE VERSA**  
 $\det[D]$  must be real, since it is probability P!!!

## 2. Application Lattice QCD

- In addition, if it is a complex, then we have

$$\int dU O'(U)(R + iI)e^{-S_G} \sim \int dU O'(U)e^{-S_G + i\phi}$$

- It's oscillation to cancel out the integral: **Sign problem**
- Notorious problem in strongly interacting **fermion** systems even in condensed matter, QFT, and nuclear physics as well.
  - How to solve the sign problem???
  - So far, there have been no cures (NP-hard problem)
  - Many indirect and approximated methods developed



## 2. Application Lattice QCD

- Canonical approach developed!!

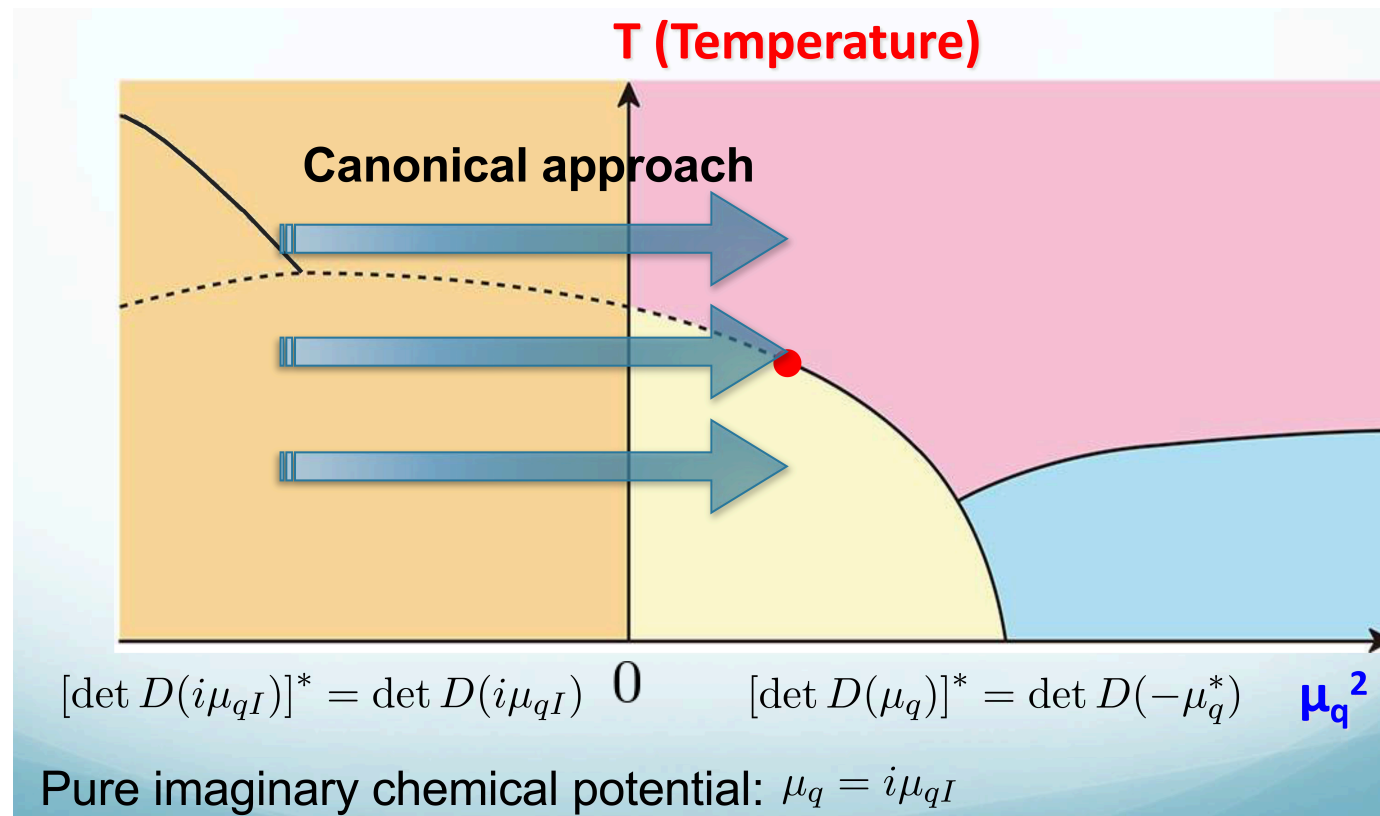


Figure by Dr. Wakayama

## 2. Application Lattice QCD

- Fugacity expansion of grand canonical partition function

$$Z_{GC}[\mu_q, T, V] = \sum_n Z_C[n, T, V] \xi^n, \quad \xi = e^{\mu_q/T}$$

Fugacity



Gilbert Newton Lewis

- Obtain canonical function partition function by Fourier transform

$$Z_C[n, T, V] = \int_0^{2\pi} \frac{\mu_{qI}/T}{2\pi} e^{-n\mu_{qI}/T} Z_{GC}[\mu_{qI}, T, V]$$

- For imaginary chemical potential, there is no SIGN problem

One can do MCMC or Metropolis-Hastings MC

Then, we obtain  $Z_{GC}$  on LQCD

## 2. Application Lattice **QCD**

Canonical approach developed

### **Lattice QCD**

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Number density formulation  
V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transform

$$Z(n, T, V)$$

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-\infty}^{\infty} Z(n, T, V) \xi^n \quad \xi = e^{\mu_q/T}$$

If we get  $Z_n$  for all  $n$ , we can search at **ANY** density!

Like Hohenberg-Kohn  
theorem??

## 2. Application Lattice **QCD**

Canonical approach

### **Lattice QCD**

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Number density formulation  
V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transform

$$Z(n, T, V)$$

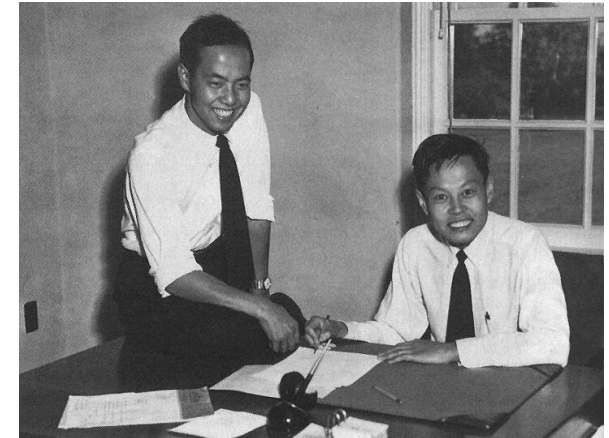
$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n \quad \xi = e^{\mu_q/T}$$

In numerical calculations,  $n$  is **finite**.

## 2. Application Lattice **QCD**

- Application of canonical method: **Lee-Yang zeros**
- Zeros of  $Z_{GC}$  so-called Lee-Yang Zeros (LYZ) contain a valuable information on the phase transitions of a system.

*T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)*



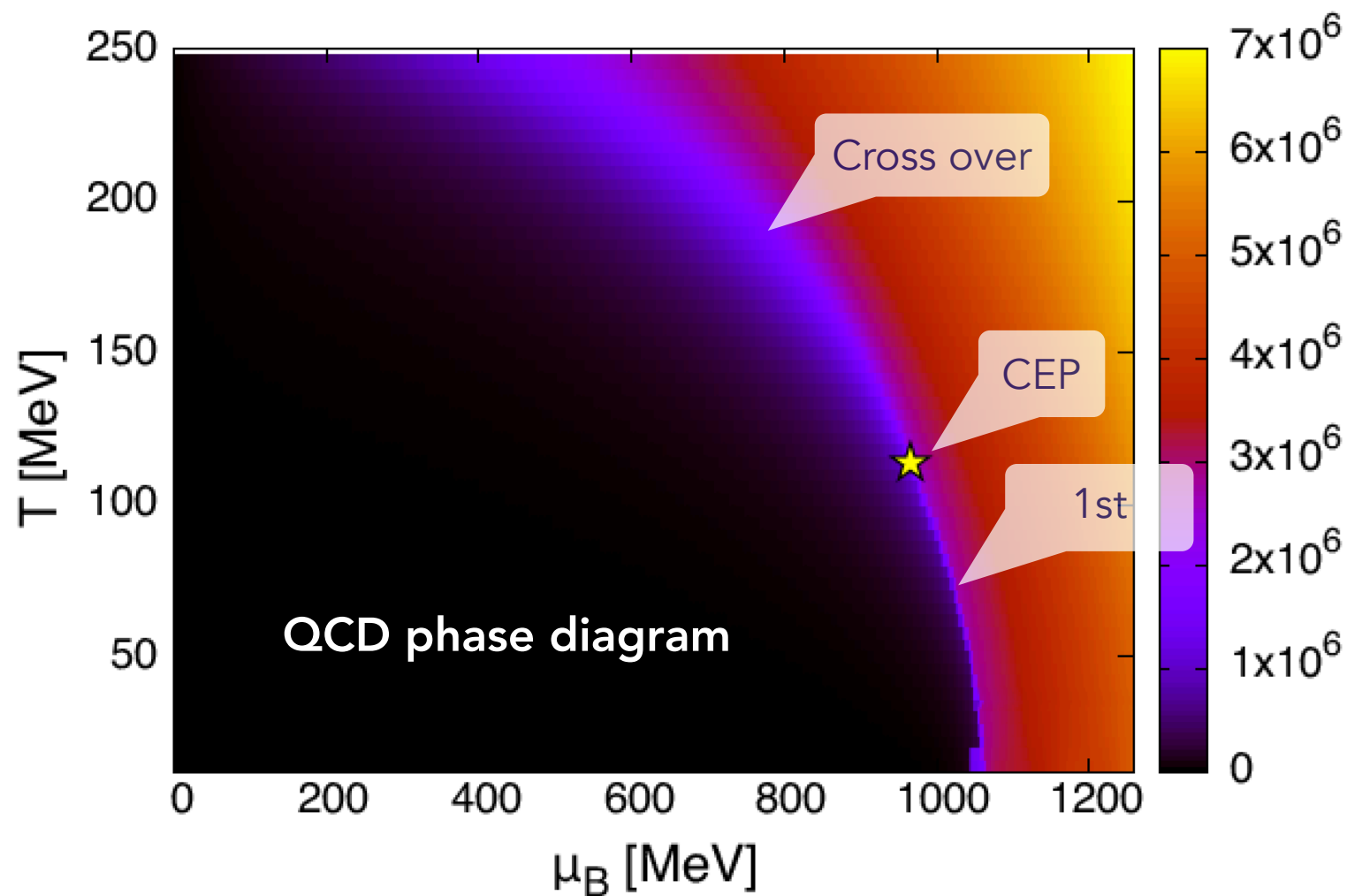
$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z_c(n, T, V) \xi^n = 0$$

Physically, at LYZ, critical-end point (CEP) appears!!

## 2. Application Lattice QCD

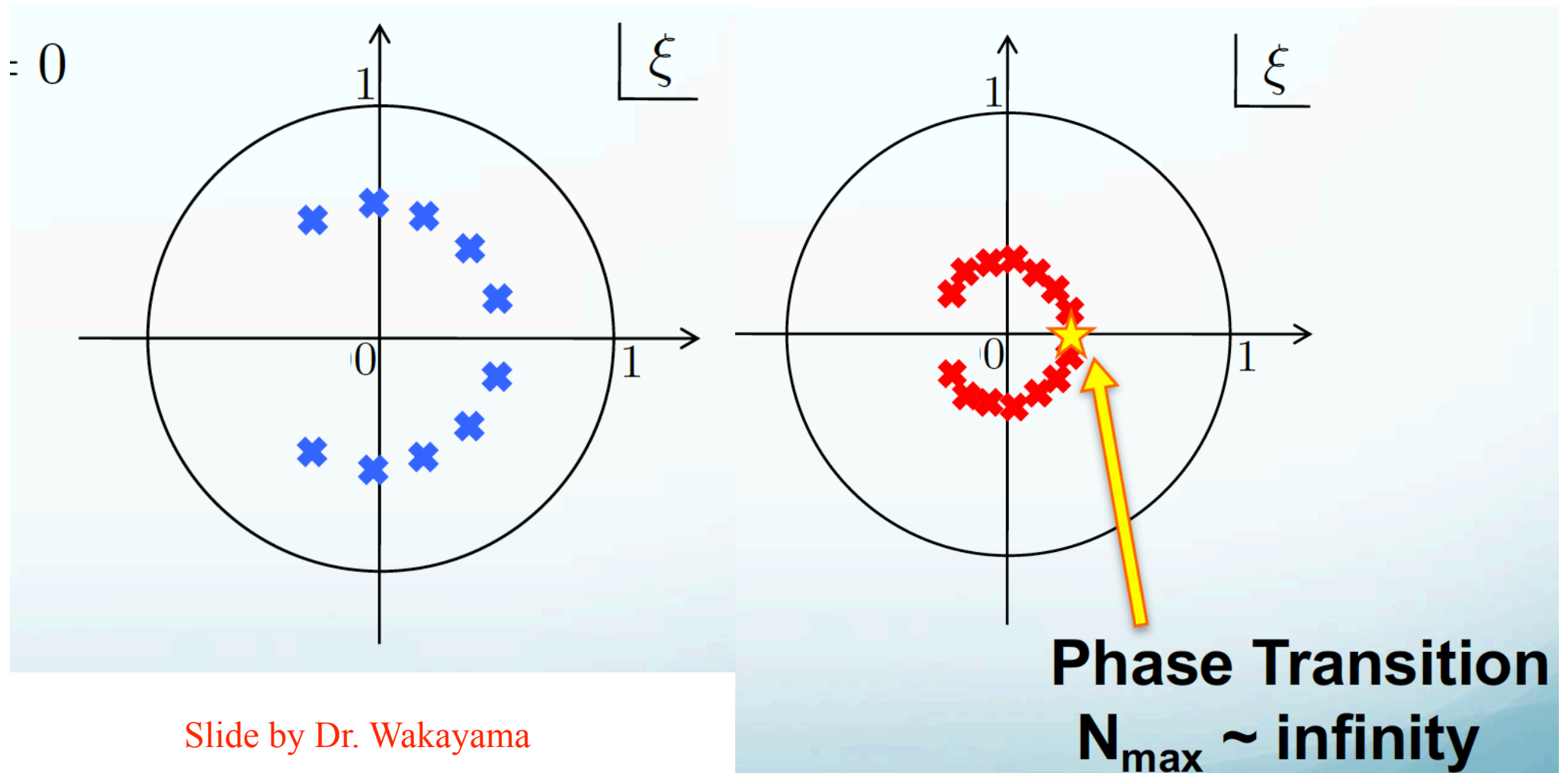
Application of canonical method: **Lee-Yang zeros**

What is critical-end point (CEP)??



## 2. Application Lattice **QCD**

- Application of canonical method: **Lee-Yang zeros**
  - There are  $2N_{\max}$  LYZs in complex fugacity plane



Slide by Dr. Wakayama

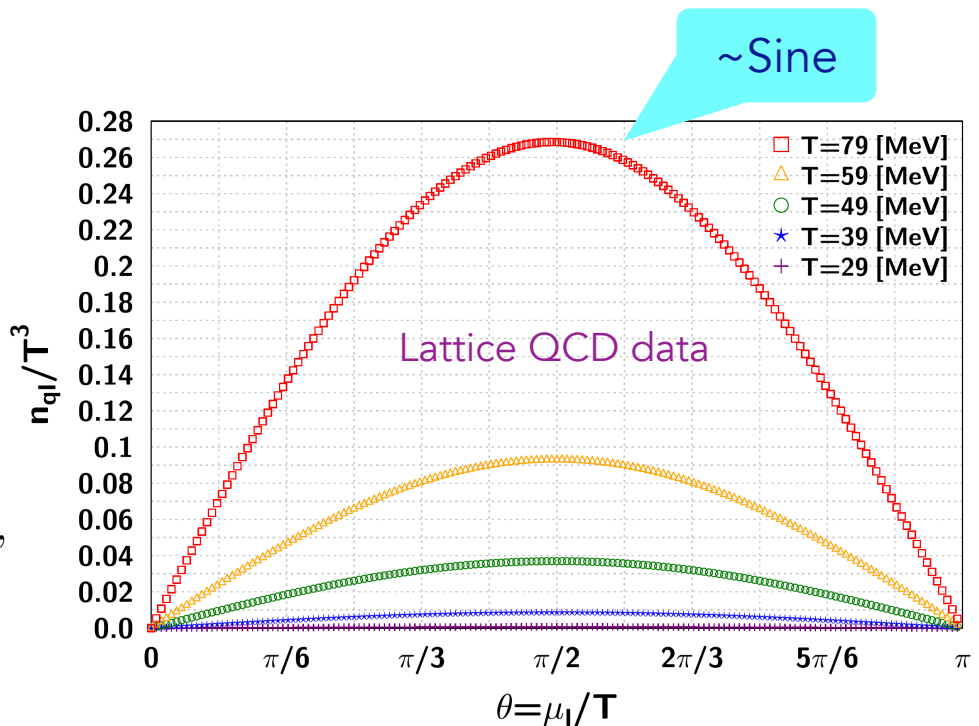


## 2. Application Lattice QCD

- Application of canonical method: **Lee-Yang zeros**
- First, we parameterize number density with sine function for more reliable numerical treatment in lattice QCD

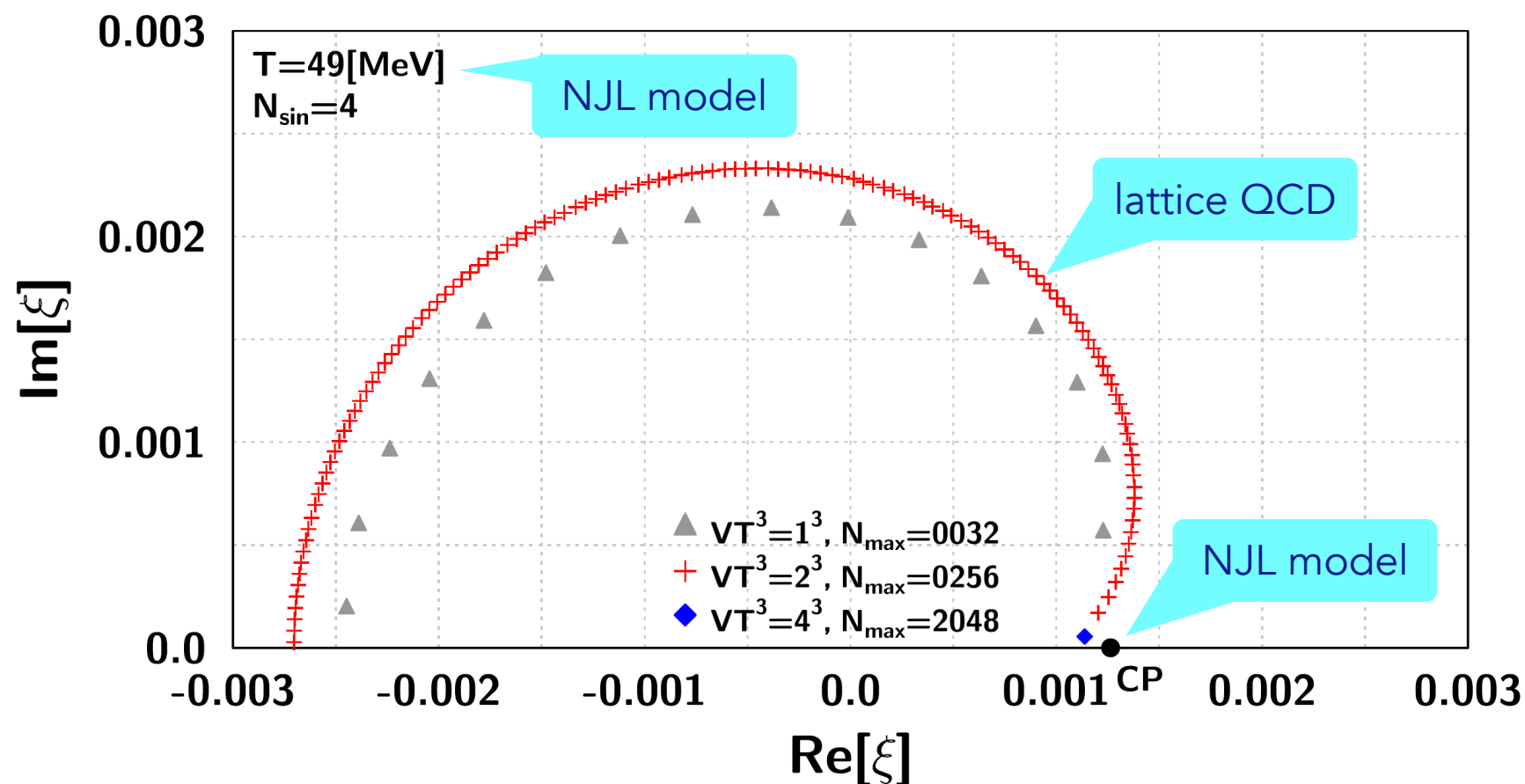
$$\frac{n_{qI}}{T^3}(\theta) = \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

$$\begin{aligned} Z_{\text{GC}}(i\mu_I, T, V) &= C \exp \left\{ -V \int_0^\theta d\theta' n_{qI}(\theta') \right\} \\ &= C \exp \left\{ VT^3 \sum_{k=1}^{N_{\text{sin}}} \frac{f_k}{k} \cos(k\theta) \right\}, \end{aligned}$$



## 2. Application Lattice **QCD**

- Application of canonical method: **Lee-Yang zeros**
  - We observe LYZs cross the  $\text{Im}[\xi]=0$  line: CEP



## 2. Application Lattice **QCD**

- Application of canonical method: **QCD phase structure**
- This method is not full lattice QCD but mimics it closely
  - Then, can we describe QCD phase diagram???: Yes!!!
    - Before doing lattice QCD with canonical method,
      - we test it in effective models: NJL and PNJL

### Thermodynamic potential of PNJL

$$\omega = \frac{1}{2G} (M - m_q)^2 - 2N_c N_f \int \frac{d^3p}{(2\pi)^3} E_p - 2N_f T \int \frac{d^3p}{(2\pi)^3} \left\{ \text{Tr}_c \ln \left[ 1 + L e^{-\frac{E_p - \mu}{T}} \right] + \text{Tr}_c \ln \left[ 1 + L^\dagger e^{-\frac{E_p + \mu}{T}} \right] \right\} + T^4 \left[ -\frac{b_2(T)}{2} \ell \bar{\ell} - \frac{b_3}{6} (\ell^3 + \bar{\ell}^3) + \frac{b_4}{4} (\ell \bar{\ell})^2 \right]$$

Quark

Quark-Gluon

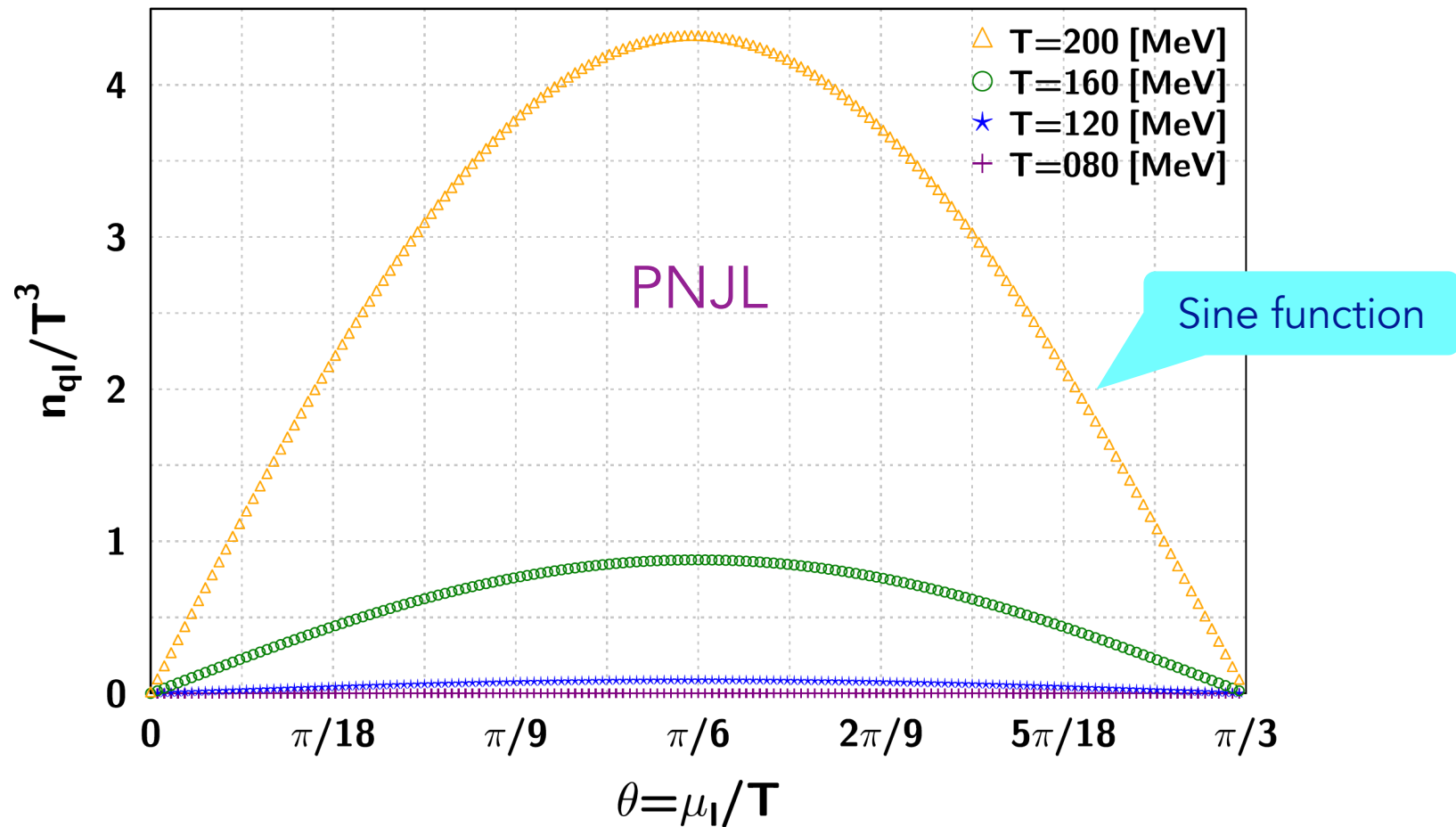
Mass gap

Quark-Gluon

Gluon ~ Z(Nc)

## 2. Application Lattice **QCD**

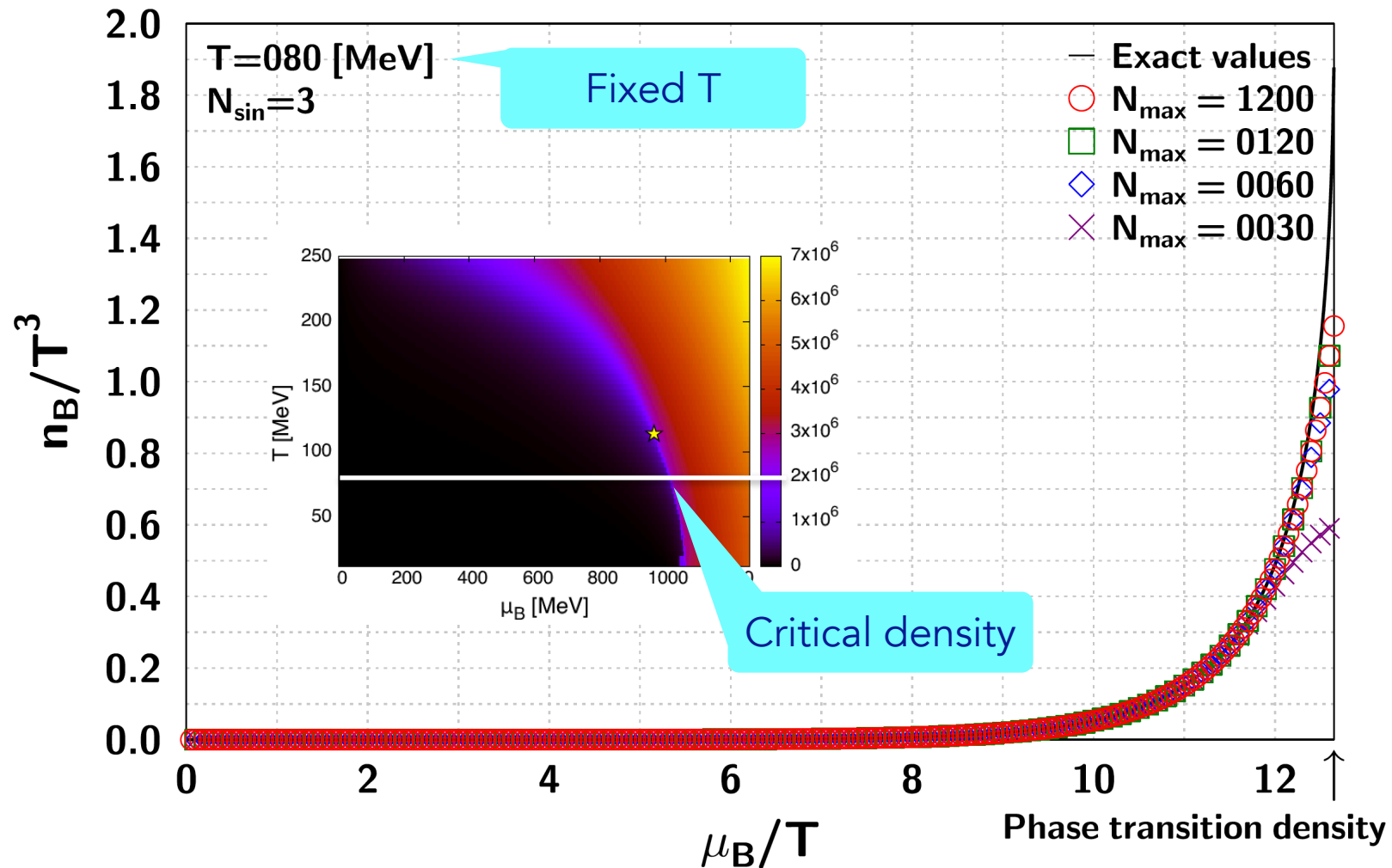
Application of canonical method: **QCD phase structure**



## 2. Application Lattice QCD

Wakayama, Nam, and Hosaka, PRD (2020)

### Application of canonical method: QCD phase structure

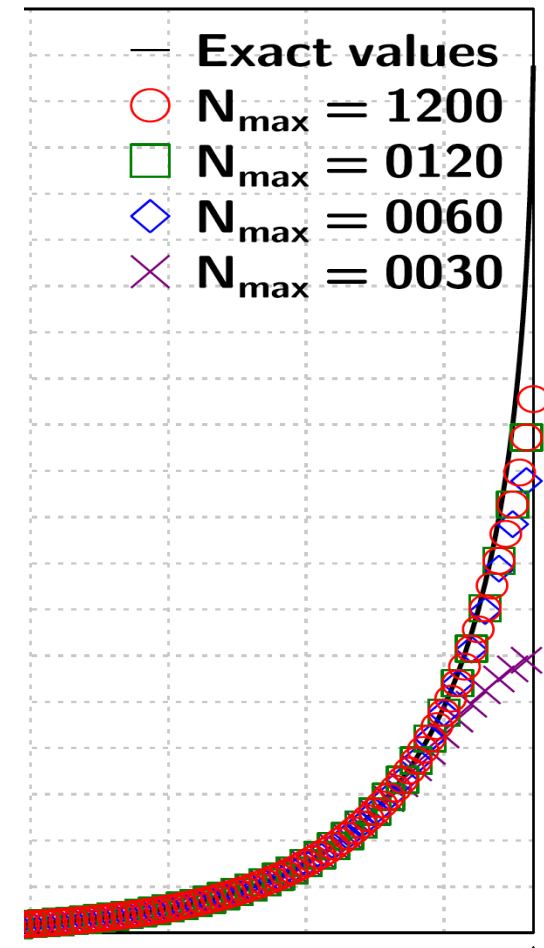


## 2. Application Lattice QCD

Wakayama, Nam, and Hosaka, PRD (2020)

- Application of canonical method: **QCD phase structure**
- As  $N_{\max}$  increases, results from canonical method reaches to exact value
- Nonetheless, canonical method does not coincide with exact one: limitation of the method...
- Then, how do we quantify phase transition in this method?: Taking tolerance

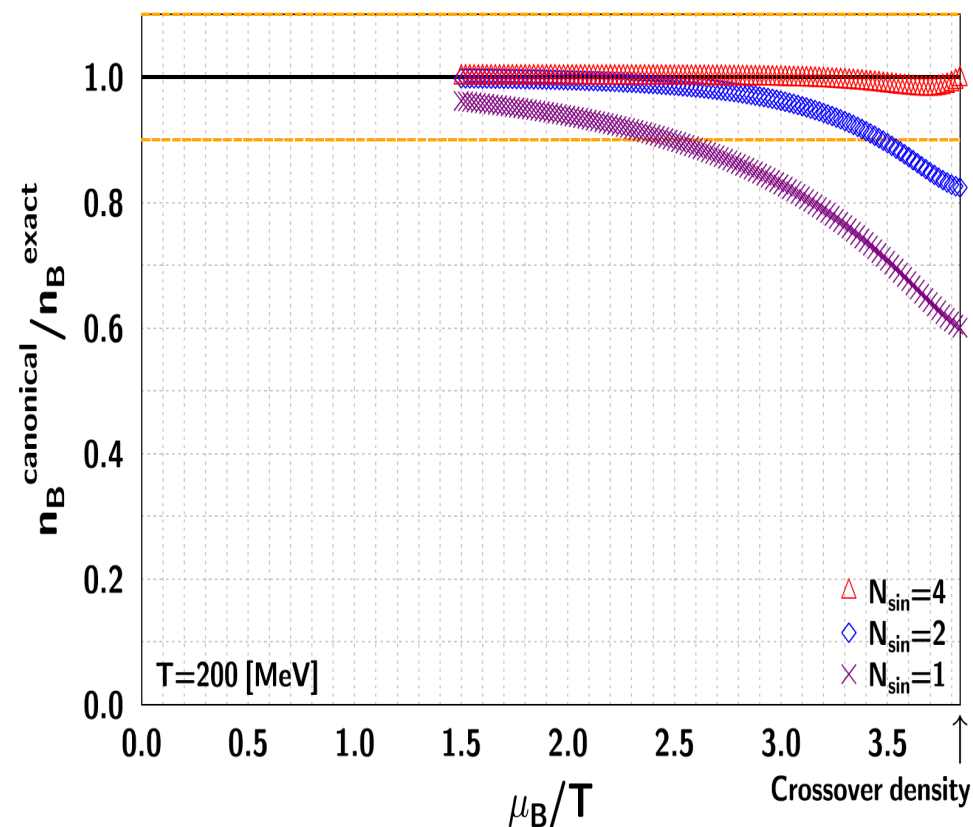
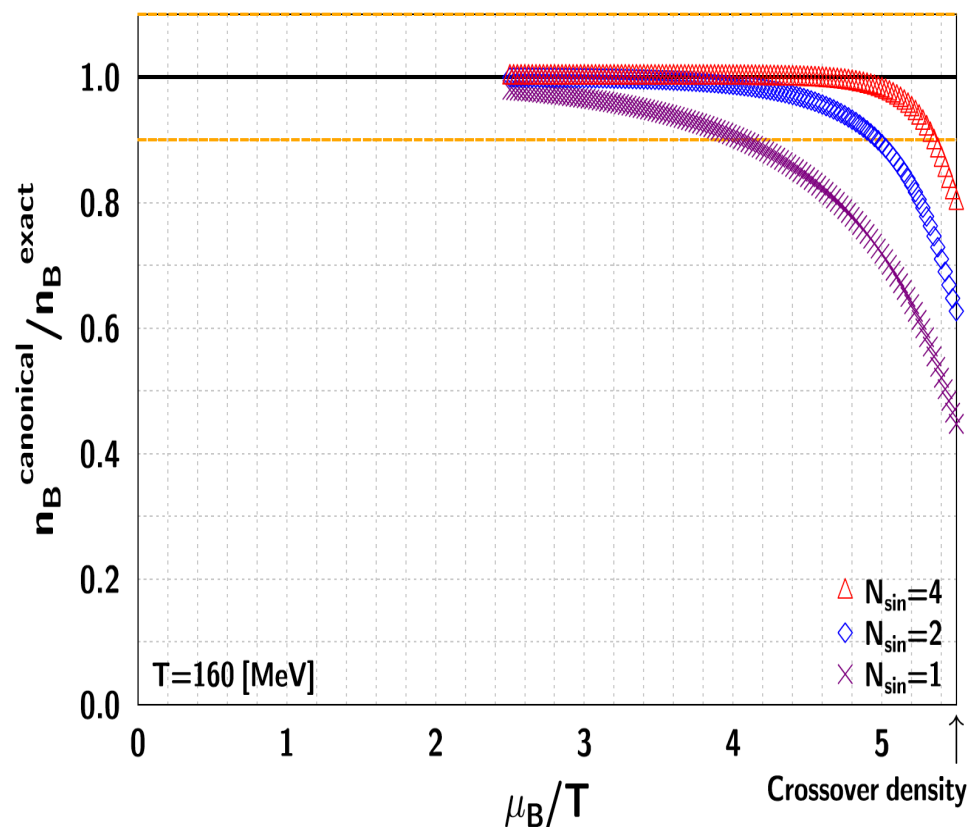
$$\frac{n_B^{\text{PNJL}}}{n_B^{\text{Canonical}}} < 10\%$$



## 2. Application Lattice QCD

Wakayama, Nam, and Hosaka, PRD (2020)

### Application of canonical method: QCD phase structure

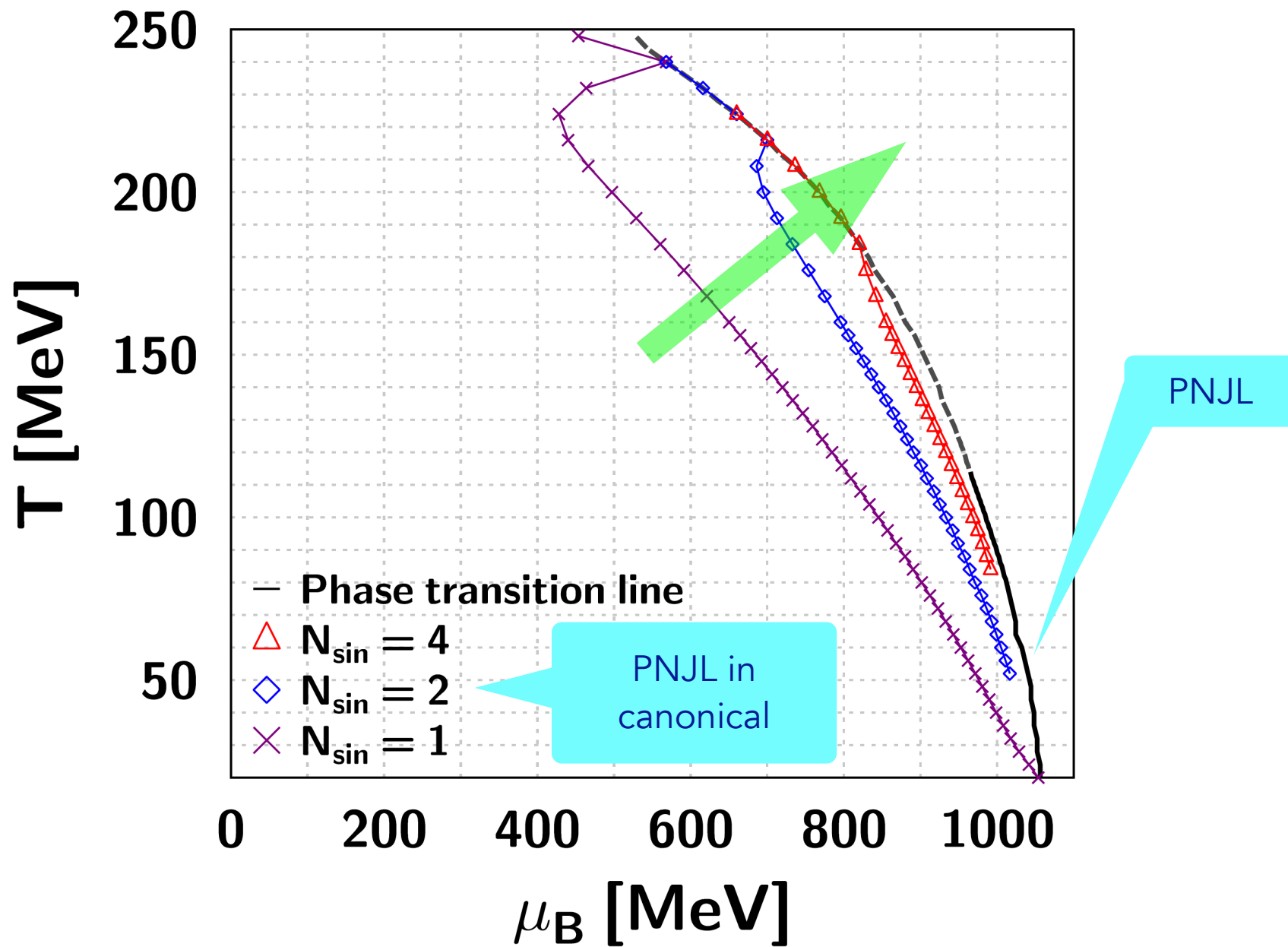


$$\frac{n_B^{\text{PNJL}}}{n_B^{\text{Canonical}}} < 10\%$$



## 2. Application Lattice QCD

Wakayama, Nam, and Hosaka, PRD (2020)



Thank you for your attention!!