

# Form factors of a Baryon

### Hyun-Chul Kim

Department of Physics, Inha University Incheon, Korea

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# Form factors in general

Form factors tell you how the corresponding particle looks like in various aspects.





$$\frac{d\sigma}{d\Omega} = \frac{V^2 E'^2}{(2\pi)^2 (\hbar c)^4} \left| \langle \psi_f \left| \mathcal{H}_{\text{int}} \right| \psi_i \rangle \right|^2$$

$$\frac{d\sigma}{d\Omega} = \frac{V^2 E'^2}{(2\pi)^2 (\hbar c)^4} |\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle|^2$$
$$\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle = \frac{e}{V} \int \phi(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}/\hbar} d^3x$$
$$= -\frac{e\hbar^2}{V|\mathbf{q}|^2} \int \nabla^2 \phi(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}/\hbar} d^3x$$

$$\frac{d\sigma}{d\Omega} = \frac{V^2 E'^2}{(2\pi)^2 (\hbar c)^4} \frac{|\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle|^2}{|\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle|^2}$$
$$\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle = \frac{e}{V} \int \phi(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}/\hbar} d^3 x$$
$$= -\frac{e\hbar^2}{V |\mathbf{q}|^2} \int \nabla^2 \phi(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}/\hbar} d^3 x$$
$$\nabla^2 \phi(\mathbf{r}) = -\frac{\rho_{\text{ch}}(\mathbf{r})}{\varepsilon_0}$$

$$\frac{d\sigma}{d\Omega} = \frac{V^2 E'^2}{(2\pi)^2 (\hbar c)^4} \frac{|\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle|^2}{|\langle \psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle|^2}$$

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$$\nabla^2 \phi(\mathbf{r}) = -\frac{\rho_{\text{ch}}(\mathbf{r})}{\varepsilon_0}$$

$$\psi_f | \mathcal{H}_{\text{int}} | \psi_i \rangle = \frac{Z4\pi\alpha\hbar^3 c}{|\mathbf{q}|^2 \cdot V} \int \rho(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}/\hbar} d^3x$$

$$F(\mathbf{q}) = \int \rho(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}/\hbar} d^3x$$

Rutherford scattering

$$\rho(\mathbf{r}) = \delta(\mathbf{r})$$

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: The particle taken as a point-like one

$$\left(\frac{d\sigma}{d\Omega}\right)_{\rm Rutherford} =$$

It decrease very quickly as q becomes larger.

 $\frac{4Z^2\alpha^2(\hbar c)^2 E'^2}{\mathbf{q}^4 c^4}$ 

 $E = E', \quad E \approx |\mathbf{k}|c \qquad |\mathbf{q}| = 2|\mathbf{k}|\sin\frac{\theta}{2}$ 

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Rutherford}} = \frac{Z^2 \alpha^2 (\hbar c)^2}{4E^2 \sin^4 \theta/2}$$

Mott scattering

Electron spins are considered

$$\left(\frac{d\sigma}{d\Omega}\right)_{\rm Mott} = \left(\frac{d\sigma}{d\Omega}\right)_{\rm Rutherford} \left(1 - \frac{v^2}{c^2}\sin^2\frac{\theta}{2}\right)$$

(Recoil effects are still neglected.)

It can be easily derived by using the interaction Lagrangian:

 $\mathcal{L}_{\rm int} = -e\bar{\psi}(x)\gamma^{\mu}\psi(x)A^{\rm ext}_{\mu}$ 

HW. If you have time, please try to derive the Mott formula using the following S-matrix:

$$S_{fi} = -ie \int d^4x \bar{\psi}_f(x) \gamma^\mu \psi(x)_i A^{\text{ext}}_\mu(x)$$

Please, keep in mind that the Mott formula applies only for structureless particles such as electrons etc.

Charge distribution $f(r)$		Form Factor $F(q^2)$	
point exponential Gaussian homogeneous sphere	$\frac{\delta(r)/4\pi}{(a^3/8\pi) \cdot \exp(-ar)}$ $\binom{a^2/2\pi}{3/2} \cdot \exp(-a^2r^2/2)$ $\begin{cases} 3/4\pi R^3 \text{ for } r \leq R\\ 0 & \text{for } r > R \end{cases}$	$ \begin{array}{c} 1\\ \left(1+\boldsymbol{q}^2/a^2\hbar^2\right)^{-2}\\ \exp\left(-\boldsymbol{q}^2/2a^2\hbar^2\right)\\ 3\alpha^{-3}\left(\sin\alpha-\alpha\cos\alpha\right)\\ \text{with} \alpha= \boldsymbol{q} R/\hbar\end{array} $	constant dipole Gaussian oscillating

Charge distribution $f(r)$		Form Factor $F(q^2)$	
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homogeneous sphere	$\begin{cases} 3/4\pi R^3 \text{ for } r \le R\\ 0  \text{for } r > R \end{cases}$	$\begin{array}{c} 3  \alpha^{-3} \left( \sin \alpha - \alpha \cos \alpha \right) \\ \text{with}  \alpha =  \boldsymbol{q}  R / \hbar \end{array}$	oscillating

An oscillating form factor corresponds to a homogeneous sphere with sharp edge!

Particles & Nuclei by Povh et al.



$$F(\mathbf{q}) = \int \rho(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}/\hbar} \, d^3x$$

$$\langle r^2 \rangle = -6\hbar^2 \frac{dF(\mathbf{q}^2)}{d\mathbf{q}^2} \Big|_{\mathbf{q}^2 = 0}$$

Particles & Nuclei by Povh et al.



R. Hofstadter, Ann. Rev. Nucl. Sci 7, 231 (1957)



Those of which the form factors fall off faster, the corresponding sizes are larger!

R. Hofstadter, Ann. Rev. Nucl. Sci 7, 231 (1957)



# He3 & He4 Form factors



# Nucleon structure



FIG. 11. The angular distribution of electrons scattered from a 2-mil gold foil at 125 Mev. The point charge calculation of Feshbach is indicated. Theoretical points based on the first Born approximation for exponential charge distributions are shown. Values of  $\alpha = 2.0, 2.2, 2.36, 2.8 \times 10^{-13}$  cm are chosen to demonstrate the sensitivity of the angular distribution to change of radius. All curves are normalized arbitrarily at 35°.



FIG. 26. Typical angular distribution for elastic scattering of 400-Mev electrons against protons. The solid line is a theoretical curve for a proton of finite extent. The model providing the theoretical curve is an exponential with rms radii= $0.80 \times 10^{-13}$  cm.

### Nucleon structure



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#### Nucleon has a size!

# Nucleus structure

#### The Nobel Prize in Physics 1961



Robert Hofstadter

"For his pioneering studies of electron scattering in atomic nuclei and for his thereby achieved discoveries concerning the structure of the nucleons"

Electron scattering: A clean-cut probe to the nucleon

The electron is immune to the strong interaction that contains a full of dirt.











# What is the nucleon?

# Nucleon has internal structure!



FIG. 1.  $(d^2\sigma/d\Omega dE')/\sigma_{Mott}$ , in GeV<sup>-1</sup>, vs  $q^2$  for W = 2, 3, and 3.5 GeV. The lines drawn through the data are meant to guide the eye. Also shown is the cross section for elastic e-p scattering divided by  $\sigma_{Mott}$ ,  $(d\sigma/d\Omega)/\sigma_{Mott}$ , calculated for  $\theta = 10^{\circ}$ , using the dipole form factor. The relatively slow variation with  $q^2$  of the inelastic cross section compared with the elastic cross section is clearly shown.

#### 1990, Nobel Laureates



J. Friedman H. Kendall R. Taylor

"For their pioneering investigations concerning deep inelastic scattering of electrons on protons and bound neutrons, which have been of essential importance for the development of the quark model in particle physics"

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### What we know about the Nucleon

- Charge
  - Proton:  $Q_p = +1$
  - Neutron:  $Q_n = 0$
- Miss:  $M_p = 938.272046 + -0.000021 \text{ MeV/c}^2$  $M_n = 939.565379 + -0.000021 \text{ MeV/c}^2$ 
  - Proton + neutron make up 99.9% of the mass of the visible universe







### What we know about the Nucleon

- Spin: s = ½ħ
  - Magnetic moment  $\mu_p = 2.79 \mu_{N,\mu_p} = -1.91 \mu_{N}$
  - Anomalous magnetic moment  $\kappa_p = 1.79 \mu_N \kappa_n = -1.91 \mu_N$







#### The Non-Relativistic Quark Model



 $egin{aligned} |N
angle \sim |qqq
angle \ |N
angle \sim |qqq
angle \ |N
angle \sim |q_{\uparrow}q_{\uparrow}q_{\downarrow}
angle \end{aligned}$ 



Constituent quark mass

 $M_q \simeq 350 \,\mathrm{MeV}$  $M_N \approx 3M_q$ 

- No explanation was given why the quark mass is so large.
- No interaction and dynamics were considered.



The Nucleon as three quarks in an instantaneous potential

- Symmetry + Phenomenological dynamics
- Nucleon excited states can be described (confinement potential)
- Many properties were nicely explained.

- Potential originated from heavy-quark systems, not for the light-quark system.
- Failure of explaining strong decays (correct feature for resonances)
- Not fully relativistic (No sea quark, one needs field theory).



The Nucleon, the most messy object in the Universe

- Valence quarks + Sea quarks + gluons + ...
- Too complicated to solve?
- Brute force way: Lattice QCD
- Hadrons as relevant degrees of freedom (Effective Field Theory)
- Holographic QCD (5D QCD)
- Instantons
- Monopoles
- Large Nc QCD
- Skyrme models, NJL models, Chiral quark models...

### Each approach has pros and cons.



# Strong interactions



Indication that the quarks live inside the nucleon! QCD

#### Nobel prize in Physics 2004



D.J. Gross H.D. Politzer F. Wilczek

"For the discovery of asymptotic freedom in the theory of the strong interaction"



#### Quantum Chromodynamics (QCD)

- Fundamental Theory for the strong interaction
- A Fundamental Mathematical problem: One of Millennium Prize Problems

 $\begin{aligned} & \mathcal{QCD} \text{ Partition function or Lagrangian} \\ & \mathcal{Z}_{\text{QCD}} = \int DA_{\mu}D\psi D\psi^{\dagger} \exp\left[\sum_{f=1}^{N_{f}}\int d^{4}x\psi_{f}^{\dagger}(i\not\!\!D + im_{f})\psi_{f} - \frac{1}{4g^{2}}\int d^{4}xG^{2}\right] \\ & = \int DA_{\mu}\exp\left[-\frac{1}{4g^{2}}\int d^{4}xG^{2}\right] \operatorname{Det}(i\not\!\!D + im_{f}) \end{aligned}$ (No gauge fixing, no ghost field)

Most important Two Features of QCD

•Quark Confinement

• <u>Spontaneous Breakdown of Chiral Symmetry</u>

# QED & QCD: Analogy and difference

to study structure of an atom...



QED Quantum Electro Dynamics

Christina Markert's talk
to study structure of an atom...

nucleus

electron

... separate constituents

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Confinement: fundamental & crucial (but not understood!) feature of strong force

- colored objects (quarks) have  $\infty$  energy in normal vacuum



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Christina Markert's talk QCD: Quantum ChromoDymanics

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to study structure of an atom...

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**QED** Quantum Electro Dynamics

Confinement: fundamental & crucial (but not understood!) feature of strong force

- colored objects (quarks) have ∞ energy in normal vacuum quark-antiquark pair

created from vacuum

Christina Markert's talk

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"white" proton (baryon)

(confined quarks)

Christina Markert's talk

••white" π<sup>0</sup> (meson)
(confined quarks)
QCD: Quantum ChromoDymanics

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quarks

"white" proton (baryon)

(confined quarks)

Christina Markert's talk









#### Nonperturbative nature of QCD in low energies

#### Three different Charges in QCD

#### **Quark Confinement**

- You can not see the color charges, i.e. quarks in free space!
- You can only find hadrons (mesons and baryons), i.e. colorless (white) particles

SU(3) Color symmetry  $3 \otimes 3 \otimes 3 = 1 \oplus 8_s \oplus 8_a \oplus 10$ Color Singlet



**R**. G. **B** 

## **Proton Mass**

Electron:  $m_e = 9.11 \times 10^{-31} \text{ kg}$ Proton:  $M_p = 1.67 \times 10^{-27} \text{ kg}$ 

A proton is about 2000 times heavier than an electron.

- •A proton consists of three quarks (2 up + 1 down).
- quark mass:  $m_q \sim 10^{-29}\,{
  m kg}$

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$$M_N \simeq 3m_q \simeq 3 \times 10^{-29} \,\mathrm{kg}\,???$$

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A possible answer comes from the spontaneous breakdown of chiral symmetry.



#### Taken from a book by Y. Nambu



Classical Example of spontaneous breakdown



Classical Example of spontaneous breakdown



Classical Example of spontaneous breakdown





 $L = \frac{1}{2}mR^2\dot{\theta}^2 + \frac{1}{2}mR^2\omega^2\sin^2\theta + mgR\cos\theta$ 











Merzbacher, Quantum Mechanics, pp.150 Sakurai, Modern Quantum Mechanics, pp.257





- Chiral symmetry: key ingredient for hadron physics 1. Spontaneous breaking of chiral symmetry:
  - quark condensate
  - dynamical quarks with finite mass
  - "massless" Goldstone bosons (pions, kaons, eta)
- 2. Quark Confinement: Important for excited states.



Y. Nambu



M. Kobayashi



T. Maskawa

#### 2008, Nobel Laureates

"For the discovery of the mechanism of spontaneous broken symmetry in subatomic physics", the other half jointly to Makoto Kobayashi and Toshihide Maskawa "for the discovery of the origin of the broken symmetry which predicts the existence of at least three families of quarks in nature"



#### QCD partition function

$$\begin{aligned} \mathcal{Z}_{\text{QCD}} &= \int DA_{\mu} D\psi D\psi^{\dagger} \exp\left[\sum_{f=1}^{N_{f}} \int d^{4}x \psi_{f}^{\dagger} (i\not\!\!D + im_{f}) \psi_{f} - \frac{1}{4g^{2}} \int d^{4}x G^{2}\right] \\ &= \int DA_{\mu} \exp\left[-\frac{1}{4g^{2}} \int d^{4}x G^{2}\right] \operatorname{Det}(i\not\!\!D + im_{f}) \end{aligned}$$

QCD Lagrangian is invariant under chiral symmetry but its vacuum is **infinitely** degenerate and is **not invariant** under that symmetry.

Banks-Casher theorem  $\rightarrow$  Zero-mode spectrum  $\nu(0)$ 

$$Det(i\nabla + im) = \prod_{n} (\lambda_n + im) = \sqrt{\prod(\lambda_n^2 + m^2)} \qquad i D \Phi_n = \lambda_n \Phi_n$$
$$= \exp\left[\frac{1}{2} \sum_{n} \ln(\lambda_n^2 + m^2)\right] = \exp\left[\frac{1}{2} \int_{-\infty}^{\infty} d\lambda \nu(\lambda) \ln(\lambda_n^2 + m^2)\right]$$

 $u(\lambda) := \sum_{n} \delta(\lambda - \lambda_{n})$ : Spectral density of the Dirac operator

Quark condensate, an order parameter for spontaneous breakdown of chiral symmetry

$$\begin{split} \langle \bar{\psi}\psi \rangle &= \frac{1}{V} \frac{\partial}{\partial m} \left[ \frac{1}{2} \int d\lambda \nu (\bar{\lambda}) \ln(\lambda^2 + m^2) \right]_{m \to 0} \\ &= -\frac{1}{V} \int_{-\infty}^{\infty} d\lambda \nu (\bar{\lambda}) \frac{m}{\lambda^2 + m^2} \bigg|_{m \to 0} \end{split}$$

#### **Banks-Casher relation**

Quark condensate is proportional to the spectral density of the Dirac operator with zero eigenvalues(zero modes).

 $\langle \bar{\psi}\psi \rangle \neq 0$ , Broken phase or Nambu-Goldstone phase,  $\langle \bar{\psi}\psi \rangle = 0$ , Unbroken phase or Weyl phase

#### In the case of massless quarks


#### Spontaneous breakdown of chiral symmetry

#### In the case of massless quarks



#### Spontaneous breakdown of chiral symmetry



 $\bar{q}_L q_R + \bar{q}_R q_L : (3, 3^*) + (3^*, 3)$ 

#### **Effective Partition function**

#### QCD partition function

$$\begin{aligned} \mathcal{Z}_{\text{QCD}} &= \int DA_{\mu} D\psi D\psi^{\dagger} \exp\left[\sum_{f=1}^{N_{f}} \int d^{4}x \psi_{f}^{\dagger} (i \not\!\!\!D + i m_{f}) \psi_{f} - \frac{1}{4g^{2}} \int d^{4}x G^{2}\right] \\ &= \int DA_{\mu} \exp\left[-\frac{1}{4g^{2}} \int d^{4}x G^{2}\right] \operatorname{Det}(i \not\!\!\!\!D + i m_{f}) \end{aligned}$$

Integrating over gluons means averaging the partition function over (anti-)instantons

$$\implies \quad \mathcal{Z}_{\text{eff}} = \text{Det}(i \not D + i m_f)$$

#### **Effective Partition function**

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#### Zero-mode solution

#### Zero-mode equation



Fourier transform of the zero mode will bring about the momentum dependent quark mass.

Momentum-dependent quark mass M(k)

$$F(k\rho) = 2t \left[ I_0(t)K_1(t) - I_1(t)K_0(t) - \frac{1}{t}I_1(t)K_1(t) \right] \Big|_{t=k\rho/2}$$

#### Spontaneous breakdown of chiral symmetry

Consequences

### Quark condensate: $\langle \bar{q}q \rangle \approx -(250 \,\mathrm{MeV})^3$

#### Dynamic quark mass: $M(q^2)$



## Spontaneous breakdown of chiral symmetry



Helicity of a light quark is flipped by hoping from instants to anti-instantons and vice versa. By doing that, the quark acquires the dynamical quark mass M(p).

$$\implies S(p) = \frac{i}{\not p + iM(p^2)}$$

Nonzero quark condensate:  $-i\langle\psi^{\dagger}\psi\rangle = 4N_c \int \frac{d^4p}{(2\pi)^4} \frac{M(p)}{p^2 + M^2(p)} = -(253 \,\mathrm{MeV})^3$ 

#### Eff. Chiral Action from the instanton vacuum

#### Effective QCD action from the instanton vacuum

$$\mathcal{Z} = \int D\psi D\psi^{\dagger} \exp\left(\int d^4x \sum_{f=1}^{N_f} \bar{\psi}_f i \partial \!\!\!/ \psi^f\right) \left(\frac{Y_{N_f}^+}{V M_1^{N_f}}\right)^{N_+} \left(\frac{Y_{N_f}^-}{V M_1^{N_f}}\right)^{N_-}$$

$$Y_{N_f}^{+} = \int d\rho \, d(\rho) \int dU \prod_{f=1}^{N_f} \left\{ \int \frac{d^4 k_f}{(2\pi)^4} \left[ 2\pi \rho F(k_f \rho) \right] \int \frac{d^4 l_f}{(2\pi)^4} \left[ 2\pi \rho F(l_f \rho) \right] \right\}$$

$$\cdot (2\pi)^4 \delta(k_1 + \ldots + k_{N_f} - l_1 - \ldots - l_{N_f}) \cdot U_{i'_f}^{\alpha_f} U_{\beta_f}^{\dagger j'_f} \epsilon^{i_f i'_f} \epsilon_{j_f j'_f} \left[ i \psi_{Lf\alpha_f i_f}^{\dagger}(k_f) \psi_L^{f\beta_f j_f}(l_f) \right] \bigg\}.$$

#### $d(\rho)$ : instanton distribution, U: Color orientation

After integrating over zero modes and bosonizing, we get the effective chiral action:

$$S_{\rm eff} = -N_c \text{Tr} \log \left[ i\partial \!\!\!/ + i\sqrt{M(i\partial)} U^{\gamma_5} \sqrt{M(i\partial)} \right]$$

Electromagnetic form factors of the Nucleon

ep scattering (Rosenbluth formula)



#### **Translational Invariance**

 $\langle N(p')|J_{\mu}(x)|N(p)\rangle = e^{ix \cdot (p'-p)} \langle N(p')|J_{\mu}(0)|N(p)\rangle = \bar{u}(\mathbf{p}',s')\Gamma_{\mu}(p',p)u(\mathbf{p},s),$ 

Ward Identity (Gauge invariance)

 $q^{\mu}\bar{u}(\mathbf{p}',s')\Gamma_{\mu}(p',p)u(\mathbf{p},s)=0$ 

Decomposition of the matrix elements

 $\bar{u}(\mathbf{p}',s')\Gamma^{\mu}(p',p)u(\mathbf{p},s) = \bar{u}(\mathbf{p}',s')\left[A^{\mu} + B^{\mu\nu}\gamma_{\nu} + C^{\mu\nu\rho}\sigma_{\nu\rho} + D^{\mu\nu}\gamma_{\nu}\gamma_{5} + E^{\mu}\gamma_{5}\right]u(\mathbf{p},s)$ 

Guideline: Gauge invariance, Lorentz invariance and parity invariance

Which term will survive?

 $\bar{u}(\mathbf{p}',s')\Gamma^{\mu}(p',p)u(\mathbf{p},s) = \bar{u}(\mathbf{p}',s')\left[A^{\mu} + B^{\mu\nu}\gamma_{\nu} + C^{\mu\nu\rho}\sigma_{\nu\rho} + D^{\mu\nu}\gamma_{\nu}\gamma_{5} + E^{\mu}\gamma_{5}\right]u(\mathbf{p},s)$  $A, B, \cdots, E \text{ depend on } p, p'$ 

 $A^{\mu} = a_1 p^{\mu} + a_2 p'^{\mu}$ 

 $a_1$  and  $a_2$  depend only on  $p \cdot p'$ , because  $p^2 = p'^2 = M_N^2$ .

$$\implies a_1(q^2), a_2(q^2) \quad (q^2 = (p - p')^2 = 2M_N^2 - 2p \cdot p')$$

 $B^{\mu\nu} = b_1 p^{\mu} p^{\nu} + b_2 p^{\mu} p^{\prime\nu} + b_3 p^{\prime\mu} p^{\nu} + b_4 p^{\prime\mu} p^{\prime\nu} + b_5 g^{\mu\nu} \qquad b_i := b_i (q^2)$ 

 $C^{\mu\nu\rho} = c_1 p^{\mu} (p^{\nu} p^{\prime\rho} - p^{\rho} p^{\prime\nu}) + c_2 p^{\prime\mu} (p^{\nu} p^{\prime\rho} - p^{\rho} p^{\prime\nu}) + c_3 (g^{\mu\nu} p^{\rho} - g^{\mu\rho} p^{\nu}) + c_4 (g^{\mu\nu} p^{\prime\rho} - g^{\mu\rho} p^{\prime\nu})$ 

 $\sigma_{\nu\rho} = -\sigma_{\rho\nu} \quad \Longrightarrow \quad C^{\mu\nu\rho} = -C^{\mu\rho\nu}$ 

 $\bar{u}(\mathbf{p}',s')\Gamma^{\mu}(p',p)u(\mathbf{p},s) = \bar{u}(\mathbf{p}',s')\left[A^{\mu} + B^{\mu\nu}\gamma_{\nu} + C^{\mu\nu\rho}\sigma_{\nu\rho} + D^{\mu\nu}\gamma_{\nu}\gamma_{5} + E^{\mu}\gamma_{5}\right]u(\mathbf{p},s)$ 

 $D^{\mu\nu} = d\epsilon^{\mu\nu\rho\sigma} p_{\rho} p'_{\sigma}$ 

 $E^{\mu}=0$  There is no way to express the pseudo-vector in terms of two vectors

How to determine  $a_i, b_i, c_i, d$ 

Using the Dirac equations

 $\begin{array}{lll} (i\partial -M)u(\boldsymbol{p},s) &=& 0,\\ \bar{u}(\boldsymbol{p},s)(i\partial -M) &=& 0, \end{array}$ 

we can show that  $a_1$ ,  $a_2$ ,  $b_1$  and  $b_2$  can be related:

 $(b_1 p^{\mu} p^{\mu} + b_2 p^{\mu} p'^{\nu}) \bar{u}(\mathbf{p}', s') \gamma_{\nu} u(\mathbf{p}, s) = (b_1 + b_2) M p_{\mu} \bar{u}(\mathbf{p}', s') u(\mathbf{p}, s).$ Thus,

 $a_1 = (b_1 + b_2)M.$ 

Similarly,

 $(b_3 p'^{\mu} p^{\nu} + b_4 p'^{\mu} p'^{\nu}) \bar{u}(\mathbf{p}', s') \gamma_{\nu} u(\mathbf{p}, s) = (b_3 + b_4) M p'^{\mu} \bar{u}(\mathbf{p}', s') u(\mathbf{p}, s),$ from which we get

 $a_2 = (b_3 + b_4)M.$ 

We can reduce the number of functions further by doing the similar procedure:

$$(p^{\nu}p'^{\rho} - p^{\rho}p'^{\nu})\bar{u}(p',s')\sigma_{\nu\rho}u(p,s) = i\bar{u}(p',s')(pp' - p'p)u(p,s) = \frac{i}{2}\bar{u}(p',s')(pp' - p'p - p'p + pp')u(p,s) = 4i(p \cdot p' - M^2)\bar{u}(p',s')u(p,s), = 4i(p \cdot p' - M^2)\bar{u}(p',s')u(p,s), = i\bar{u}(p',s')(\gamma^{\mu}p - p\gamma^{\mu})u(p,s) = 2i(M\gamma^{\mu} - p^{\mu})\bar{u}(p',s')u(p,s).$$

Thus,  $C^{\mu\nu\rho}$  can be related to  $A^{\mu}$ . Using the relation

$$i\epsilon^{\mu\nu\rho\sigma}\gamma_{\nu}\gamma_{5} = i\epsilon^{\rho\sigma\mu\nu}\gamma_{\nu}\gamma_{5} = g^{\rho\sigma}\gamma^{\mu} + g^{\sigma\mu}\gamma^{\rho} - g^{\mu\rho}\gamma^{\sigma} - \gamma^{\rho}\gamma^{\sigma}\gamma^{\mu},$$

we can show that the term with  $D^{\mu\nu}$  can be written as

$$\bar{u}(\boldsymbol{p}',s')\epsilon^{\rho\sigma\mu\nu}\gamma_{\nu}\gamma_{5}u(\boldsymbol{p},s)p_{\rho}p_{\sigma}' = \bar{u}(\boldsymbol{p}',s')(\gamma^{\mu}p\cdot p'-g^{\sigma\mu}\gamma^{\rho}+g^{\mu\rho}\gamma^{\sigma}+\gamma^{\rho}\gamma^{\sigma}\gamma^{\mu})u(\boldsymbol{p},s)p_{\rho}p_{\sigma}'$$

$$= \bar{u}(\boldsymbol{p}',s')(\gamma^{\mu}p\cdot p'+pp^{\mu'}-p^{\mu}p'-pp'\gamma^{\mu})u(\boldsymbol{p},s)$$

$$= (-p\cdot p'-M^{2})\bar{u}(\boldsymbol{p}',s')\gamma^{\mu}u(\boldsymbol{p},s)+MP^{\mu}\bar{u}(\boldsymbol{p}',s')u(\boldsymbol{p},s).$$

$$\bar{u}(\mathbf{p}',s')\Gamma^{\mu}u(\mathbf{p},s) = \bar{u}(\mathbf{p}',s')\left[a\gamma^{\mu} + bP^{\mu} + cq^{\mu}\right]u(\mathbf{p},s)$$

If we use the Gordan decomposition

$$\langle N(p')|J_{\mu}(0)|N(p)\rangle = \bar{u}(p',s') \left[ F_{1}(q^{2})\gamma_{\mu} + \frac{2M\gamma_{\mu} - P_{\mu}}{2M}F_{2}(q^{2}) \right] u(p,s) = \bar{u}(p',s') \left[ (F_{1}(q^{2}) + F_{2}(q^{2}))\gamma_{\mu} - \frac{F_{2}(q^{2})}{2M}P_{\mu} \right] u(p,s) \langle N(p')|J_{0}(0)|N(p)\rangle = \bar{u}(p',s') \left[ (F_{1}(q^{2}) + F_{2}(q^{2}))\gamma_{0} - \frac{F_{2}(q^{2})}{2M}P_{0} \right] u(p,s)$$

In the Breit frame  $\, {f p} = - {f p}'$ 

$$q^{2} = -4\boldsymbol{p}^{2} = -4(p_{0}^{2} - M^{2}), \quad \frac{p_{0}^{2}}{M^{2}} = 1 - \frac{q^{2}}{4M^{2}}, \quad \bar{u}(\boldsymbol{p}', s')u(\boldsymbol{p}, s) = \frac{p_{0}}{M}\bar{u}(\boldsymbol{p}', s')\gamma_{0}u(\boldsymbol{p}, s),$$

$$\begin{aligned} \langle N(p')|J_0(0)|N(p)\rangle &= \bar{u}(p',s') \left[ (F_1(q^2) + F_2(q^2))\gamma_0 - \frac{F_2(q^2)}{2M}P_0 \right] u(p,s) \\ &= (F_1(q^2) + F_2(q^2))\bar{u}(p',s')\gamma_0 u(p,s) - F_2(q^2)\frac{p_0}{M}\bar{u}(p',s')u(p,s) \\ &= \left[ (F_1(q^2) + F_2(q^2)) - F_2(q^2) \left(1 - \frac{q^2}{4M^2}\right) \right] \bar{u}(p',s')\gamma_0 u(p,s). \end{aligned}$$

Sachs Form factors

$$G_E(q^2) = F_1 + \frac{q^2}{4M^2}F_2,$$
  
 $G_M(q^2) = F_1 + F_2$ 

$$\langle N(p')|J_0(0)|N(p)\rangle = G_E(q^2)\bar{u}(p',s')\gamma_0 u(p,s) = 2G_E E \delta_{s's}.$$

 $\langle N(p')|J_i(0)|N(p)\rangle = \bar{u}(p',s') \left[ (F_1(q^2) + F_2(q^2))\gamma_i \right] u(p,s) = G_M(q^2)\bar{u}(p',s')\gamma_i u(p,s)$ 

#### Homework I: Think about it!

Pion Electromagnetic form factor

$$\langle \pi^a(p_f) | \bar{\psi}(0) \gamma^\mu \psi(0) | \pi^b(p_i) \rangle = (p_f + p_i)^\mu \delta^{ab} F_\pi(q^2)$$

Can you justify this expression?

#### Homework II: Think about it!

$$\langle B_8 | J_\mu(0) | B_{10} \rangle = \bar{u}_{B_8}(\mathbf{p}', s') \Gamma_{\beta\mu} u_{B_{10}}^{\beta}(\mathbf{p}, s) , \qquad u_\mu(\mathbf{p}, s) = \sum_{\lambda_\alpha \lambda_\beta} \left\langle 1\lambda_\alpha \frac{1}{2} \lambda_\beta \right| \frac{3}{2} \Lambda \right\rangle u(\mathbf{p}, \lambda_\beta) \epsilon_\mu(\mathbf{p}, \lambda_\alpha) ,$$

$$= \sqrt{2} \left[ \operatorname{set} \left\{ 2 \operatorname{set} M_{\mu\nu}(\mathbf{p}, \mathbf{p}) \right\} - \operatorname{set} M_{\mu\nu}(\mathbf{p}, \mathbf{p}) \right]$$

$$= \operatorname{Rarita-Schwinger field}$$

$$\Gamma_{\beta\mu} = i\sqrt{\frac{2}{3}} \left[ G_M^*(q^2) \mathcal{K}_{\beta\mu}^M + G_E^*(q^2) \mathcal{K}_{\beta\mu}^E + G_C^*(q^2) \mathcal{K}_{\beta\mu}^C \right]$$

$$\begin{split} \mathcal{K}^{M}_{\beta\mu} &= -i \frac{3(M_{10} + M_8)}{2M_8[(M_{10} + M_8)^2 - q^2]} \epsilon_{\beta\mu\lambda\sigma} P^{\lambda} q^{\sigma}, \\ \mathcal{K}^{E}_{\beta\mu} &= -\mathcal{K}^{M}_{\beta\mu} - i \frac{6(M_{10} + M_8)}{M_8 \Delta(q^2)} \epsilon_{\beta\sigma\lambda\rho} P^{\lambda} q^{\rho} \epsilon^{\sigma}_{\mu\kappa\delta} P^{\kappa} q^{\delta} \gamma^5, \\ \mathcal{K}^{C}_{\beta\mu} &= -i \frac{3(M_{10} + M_8)}{M_8 \Delta(q^2)} q_{\beta} (q^2 P_{\mu} - q \cdot P q_{\mu}) \gamma^5 \end{split}$$

$$\Delta(q^2) = [(M_{10} + M_8)^2 - q^2][(M_{10} - M_8)^2 - q^2]$$

Can you justify this decomposition?

## Modern Concept of the Form factors

#### Traditional way of a hadron structure

Traditional way of studying structures of hadrons



#### Traditional way of a hadron structure

Traditional way of studying structures of hadrons



#### Traditional way of a hadron structure

Traditional way of studying structures of hadrons













## State of the art of the nucleon tomography

Figure taken from Eur. Phys. J. A (2016) 52: 268



Today's topic to discuss

State of the art of the nucleon tomography

Figure taken from Eur. Phys. J. A (2016) 52: 268

#### 3D Nucleon Tomography



Transverse densities of Form factors

GPDs Nucleon Tomography Structure functions Parton distributions

#### 3D Nucleon Tomography



Transverse densities of Form factors

GPDs Nucleon Tomography Structure functions Parton distributions

Probes are unknown for Tensor form factors and the Energy-Momentum Tensor form factors!



Probes are unknown for Tensor form factors and the Energy-Momentum Tensor form factors!



# Nucleon as Nc quarks bound by the pion mean fields

## Mean-Field Approximation

Simple picture of a mean-field approximation



Mean-field potential that is produced by all other particles.

- Nuclear shell models
- Ginzburg-Landau theory for superconductivity
- Quark potential models for baryons
# Mean-Field Approximation

More theoretically defined mean fields

Given action,  $S[\phi]$ 

 $\left.\frac{\delta S}{\delta \phi}\right|_{\phi=\phi_0}=0$  : Solution of this saddle-point equation  $\phi_0$ 

Key point: Ignore the quantum fluctuation.



How we can understand the structure of baryons, based on this mean field approach, this is the subject of the present talk.

- \* A baryon can be viewed as a state of Nc quarks bound by mesonic mean fields (E. Witten, NPB, 1979 & 1983).
  - Its mass is proportional to Nc, while its width is of order O(1).
  - Mesons are weakly interacting (Quantum fluctuations are suppressed by 1/Nc: O(1/Nc).

#### Meson mean-field approach (Chiral Quark-Soliton Model)

\* Baryons as a state of Nc quarks bound by mesonic mean fields.

 $S_{\rm eff} = -N_c \mathrm{Tr} \ln \left( i \partial \!\!\!/ + i M U^{\gamma_5} + i \hat{m} \right)$ 

\* Key point: Hedgehog Ansatz

$$\pi^{a}(\mathbf{r}) = \begin{cases} n^{a}F(r), n^{a} = x^{a}/r, & a = 1, 2, 3\\ 0, & a = 4, 5, 6, 7, 8. \end{cases}$$



 $\rightarrow$  It breaks spontaneously  $SU(3)_{flavor} \otimes O(3)_{space} \rightarrow SU(2)_{isospin+space}$ 

#### \*Merits of the Chiral Quark-Soliton Model

It is directly related to nonperturbative QCD via the Instanton vacuum.

Natural scale of the model given by the instanton size:  $ho pprox (600\,{
m MeV})^{-1}$ 

 Fully relativistic quantum-field theoretic model (we have a QCD vacuum): It explains almost all properties of the lowest-lying baryons.

 It describes the light & heavy baryons on an equal footing (Advantage of the mean-field approach).

 Basically, no free parameter to fit the experimental data. Cutoff parameter is fixed by the pion decay constant, and Dynamical quark mass (M=420 MeV) is fixed by the proton radius.























system is stabilized

# A light baryon in pion mean fields



 $\langle J_B J_B^{\dagger} \rangle_0 \sim e^{-N_c E_{\rm val} T}$ 

Presence of Nc quarks will polarize the vacuum or create mean fields.



# A light baryon in pion mean fields



$$E_{\rm cl} = N_c E_{\rm val} + E_{\rm sea}$$



Classical Nucleon mass is described by the Nc valence quark energy and sea-quark energy.



## An observable for the light baryon



# EM Form factors of the Nucleon



# No probe for the tensor & EMT (grav.) form factors!



 $G_E^{p,n}(Q^2) \iff \rho_{\rm ch}^{p,n}(r^2)$ 

Fourier transform

Textbook physics since 1950s.

 $G_E^{p,n}(Q^2) \iff \rho_{\rm ch}^{p,n}(r^2)$ 

Fourier transform

Textbook physics since 1950s.



#### Generalized Parton Distributions

$$\langle N(P')|O_V^{\mu}(x)|N(P)\rangle = \overline{U}(P') \left\{ \gamma^{\mu}H(x,\xi,t) + \frac{\mathrm{i}\sigma^{\mu\nu}\Delta_{\nu}}{2m_N}E(x,\xi,t) \right\} U(P) + \mathrm{ht},$$

$$\langle N(P')|O_A^{\mu}(x)|N(P)\rangle = \overline{U}(P') \left\{ \gamma^{\mu}\gamma_5 \widetilde{H}(x,\xi,t) + \frac{\gamma_5\Delta^{\mu}}{2m_N}\widetilde{E}(x,\xi,t) \right\} U(P) + \mathrm{ht},$$

$$\langle N(P')|O_T^{\mu\nu}(x)|N(P)\rangle = \overline{U}(P') \left\{ \mathrm{i}\sigma^{\mu\nu}H_T(x,\xi,t) + \frac{\gamma^{[\mu}\Delta^{\nu]}}{2m_N}E_T(x,\xi,t) + \frac{\overline{P}^{[\mu}\Delta^{\nu]}}{2m_N}E_T(x,\xi,t) + \frac{\overline{P}^{[\mu}\Delta^{\nu]}}{m_N}\widetilde{E}_T(x,\xi,t) \right\} U(P) + \mathrm{ht},$$

#### Generalized Parton Distributions

Melin transform

Generalized Form factors

$$\begin{split} \langle N(P')|O_V^{\mu}(x)|N(P)\rangle &= \overline{U}(P') \left\{ \gamma^{\mu}H(x,\xi,t) + \frac{\mathrm{i}\sigma^{\mu\nu}\Delta_{\nu}}{2m_N}E(x,\xi,t) \right\} U(P) + \mathrm{ht}, \\ \langle N(P')|O_A^{\mu}(x)|N(P)\rangle &= \overline{U}(P') \left\{ \gamma^{\mu}\gamma_5 \widetilde{H}(x,\xi,t) + \frac{\gamma_5 \Delta^{\mu}}{2m_N} \widetilde{E}(x,\xi,t) \right\} U(P) + \mathrm{ht}, \\ \langle N(P')|O_T^{\mu\nu}(x)|N(P)\rangle &= \overline{U}(P') \left\{ \mathrm{i}\sigma^{\mu\nu}H_T(x,\xi,t) + \frac{\gamma^{[\mu}\Delta^{\nu]}}{2m_N} E_T(x,\xi,t) + \frac{\mu^{[\mu}\Delta^{\nu]}}{2m_N} E_T(x,\xi,t) + \frac{\mu^{[\mu}\Delta^{\nu]}}{m_N^2} \widetilde{E}_T(x,\xi,t) \right\} U(P) + \mathrm{ht}, \end{split}$$

$$F_{1}(t) = \int_{-1}^{1} dx H(x, \xi, t), \qquad F_{2}(t) = \int_{-1}^{1} dx E(x, \xi, t),$$

$$G_{A}(t) = \int_{-1}^{1} dx \widetilde{H}(x, \xi, t), \qquad G_{P}(t) = \int_{-1}^{1} dx \widetilde{E}(x, \xi, t),$$

$$A_{T10}(t) = \int_{-1}^{1} dx H_{T}(x, \xi, t), \qquad B_{T10}(t) = \int_{-1}^{1} dx E_{T}(x, \xi, t), \qquad \widetilde{A}_{T10}(t) = \int_{-1}^{1} dx \widetilde{H}_{T}(x, \xi, t)$$

#### Generalized Parton Distributions

Melin transform

Generalized Form factors

$$\begin{split} \langle N(P')|O_{V}^{\mu}(x)|N(P)\rangle &= \overline{U}(P') \left\{ \gamma^{\mu}H(x,\xi,t) + \frac{\mathrm{i}\sigma^{\mu\nu}\Delta_{\nu}}{2m_{N}}E(x,\xi,t) \right\} U(P) + \mathrm{ht}, \\ \langle N(P')|O_{A}^{\mu}(x)|N(P)\rangle &= \overline{U}(P') \left\{ \gamma^{\mu}\gamma_{5}\widetilde{H}(x,\xi,t) + \frac{\gamma_{5}\Delta^{\mu}}{2m_{N}}\widetilde{E}(x,\xi,t) \right\} U(P) + \mathrm{ht}, \\ \langle N(P')|O_{T}^{\mu\nu}(x)|N(P)\rangle &= \overline{U}(P') \left\{ \mathrm{i}\sigma^{\mu\nu}H_{T}(x,\xi,t) + \frac{\gamma^{[\mu}\Delta^{\nu]}}{2m_{N}}E_{T}(x,\xi,t) + \frac{\overline{P}^{[\mu}\Delta^{\nu]}}{m_{N}^{2}}\widetilde{E}_{T}(x,\xi,t) + \frac{\overline{P}^{[\mu}\Delta^{\nu]}}{m_{N}^{2}}\widetilde{E}_{T}(x,\xi,t) \right\} U(P) + \mathrm{ht}, \end{split}$$

$$F_{1}(t) = \int_{-1}^{1} dx H(x, \xi, t), \qquad F_{2}(t) = \int_{-1}^{1} dx E(x, \xi, t),$$

$$G_{A}(t) = \int_{-1}^{1} dx \widetilde{H}(x, \xi, t), \qquad G_{P}(t) = \int_{-1}^{1} dx \widetilde{E}(x, \xi, t),$$

$$A_{T10}(t) = \int_{-1}^{1} dx H_{T}(x, \xi, t), \qquad B_{T10}(t) = \int_{-1}^{1} dx E_{T}(x, \xi, t), \qquad \widetilde{A}_{T10}(t) = \int_{-1}^{1} dx \widetilde{H}_{T}(x, \xi, t)$$

2D Fourier transform

Transverse charge densities

Quark probabilities inside a nucleon

Ζ.



Quark probabilities inside a nucleon

#### Transverse charge density

#### Why transverse charge densities?

2-D Fourier transform of the GPDs in impact-parameter space



#### Transverse charge density

#### Why transverse charge densities?

2-D Fourier transform of the GPDs in impact-parameter space



#### Proton & neutron EM fom factors



Silva, Urbano, HChK, PTEP, 2018

#### Transverse charge density

#### Inside an unpolarized nucleon

M. Burkardt, PRD **62**, 071503 (2000); Int. J. Mod. Phys. A **18**, 173 (2003).

G.A. Miller, PRL 99, 112001 (2007)

$$\rho_{\rm ch}^{\chi}(b) = \int_0^\infty \frac{dQ}{2\pi} Q J_0(Qb) F_1^{\chi}(Q^2)$$

#### Inside an polarized nucleon

Carlson and Vanderhaeghen, PRL 100, 032004

$$\rho_T^{\chi}(b) = \rho_{\rm ch}^{\chi}(b) - \sin(\phi_b - \phi_S) \frac{1}{2M_N} \int_0^\infty \frac{dQ}{2\pi} Q^2 J_1(Qb) F_2^{\chi}(Q^2)$$

Transverse charge densities inside an unpolarized proton



Transverse charge densities inside an unpolarized proton



Transverse charge densities inside an unpolarized proton



Centered positive charge distribution

Transverse charge densities inside an unpolarized neutron




Surprisingly, negative charge distribution in the center of the neutron!





Relativistically invariant!



Relativistically invariant!



#### Transverse charge densities inside an polarized nucleon













### Flavor structure



### Flavor structure

#### Nucleon polarized along the x direction



# EM transition form factors of the decuplet



 $(\omega, \boldsymbol{q})$   $(E_{\Delta}, \boldsymbol{0})$   $(E_N, -\boldsymbol{q})$ 

EM transition FFs provide information on how the Delta looks like.



 EM transition FFs are related to the VBB coupling constants through VDM & CFI.

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Essential to understand a production mechanism of hadrons.

Carlson & Vanderhaeghen, PRD 100 (2008) 032004

# Multipole patter in the transverse plane



# Gravitational Form factors of the Nucleon

# **Gravitational form factors**



 $\delta S = 0$  under Poincaré transform

# **Stability**

 Nucleon: The stability is guaranteed by the balance between the core valence quarks and the sea quarks (XQSM).



# **Stability**

 Nucleon: The stability is guaranteed by the balance between the pion and rho mesons (Skyrme picture).



#### Original Skyrme model

#### pi-rho-omega model

Cebulla et al., NPA794 (2007) 87

HChK, P. Schweitzer, U. Yakhshiev, PLB 718 (2012) 625 J.H. Jung, U. Yakhshiev, HChK, J.Phys. G41 (2014) 055107

# Summary & Outlook



- In the present talk, we aimed at reviewing a certain aspect on the form factors of the nucleon.
- We discussed first the transverse charge densities and its conceptual difference from the traditional 3D charge densities.
- We briefly discussed the gravitational form factors of the nucleon.

Though this be madness, yet there is method in it.

> Hamlet Act 2, Scene 2 by Shakespeare

Thank you very much for the attention!