

Symmetry energy parameters and nuclear structure in the KIDS framework

KIDS = Korea: IBS-Daegu-SKKU

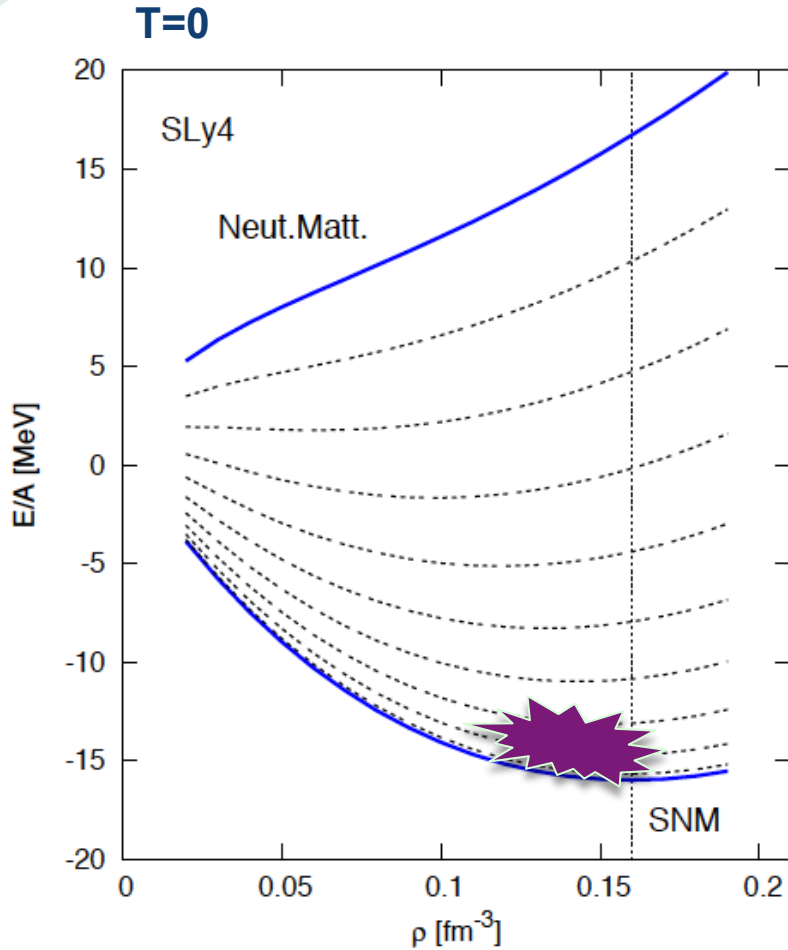
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- ❖ Introduction
 - Symmetry energy parameters
 - Issues: effective mass; correlations between parameters
- ❖ KIDS EoS: Nuclear matter
 - Physical motivation
 - Validation: interpolation and extrapolation
- ❖ KIDS EDF: Nuclei
 - Effective nucleon mass decoupled from bulk static properties
 - Correlations of observables with parameters explored freely
- ❖ Conclusion, prospects

SYMMETRY ENERGY PARAMETERS



Characterization at saturation density by convention

❖ Symmetric matter:

- Density ρ_0
- Binding energy E_0
- Incompressibility (curvature) K_0

❖ Asymmetric matter:

- Symmetry energy J
- Slope L
- Curvature K_{sym}
- Skewness Q_{sym}
- ...

❖ Two definitions

- Derivative:

$$S_{\text{der}}(\rho) = \left. \frac{1}{2} \frac{\partial^2}{\partial \delta^2} \mathcal{E}(\rho, \delta) \right|_{\delta=0}$$

- Difference:

$$S_{\text{diff}}(\rho) = \mathcal{E}(\rho, 1) - \mathcal{E}(\rho, 0)$$

- ❖ *For the purposes of this talk, and for simplicity, the distinction is not important:*

$$S(\rho) : S_{\text{diff}}(\rho) \approx S_{\text{der}}(\rho)$$

- ❖ Expansions around the saturation density:

$$\mathcal{S}(\rho) = J + Lx + \frac{1}{2}K_{\text{sym}}x^2 + \frac{1}{6}Q_{\text{sym}}x^3 + \frac{1}{24}R_{\text{sym}}x^4 + O(x^5),$$

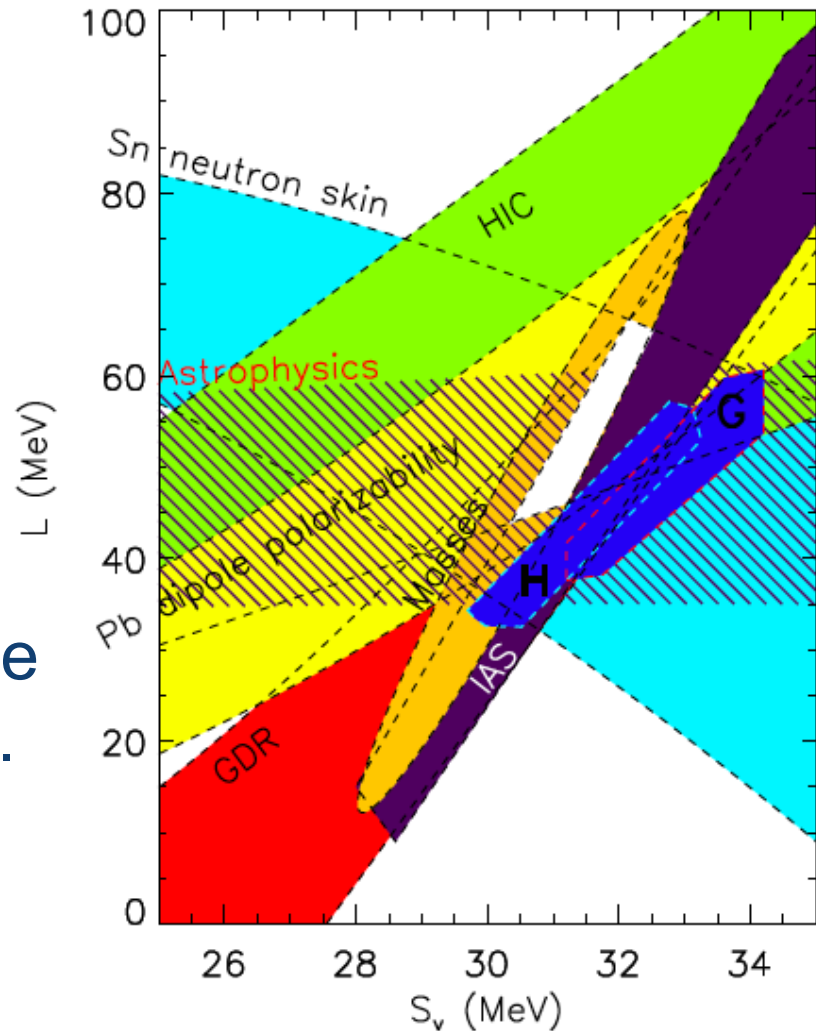
- ❖ where $x = (\rho - \rho_0)/(3\rho_0)$ and we have defined the slope, curvature, skewness, and kurtosis parameters:

$$L \equiv 3\rho_0 \left. \frac{d}{d\rho} \mathcal{S}(\rho) \right|_{\rho=\rho_0}, \quad Q_{\text{sym}} \equiv 27\rho_0^3 \left. \frac{d^3}{d\rho^3} \mathcal{S}(\rho) \right|_{\rho=\rho_0},$$
$$K_{\text{sym}} \equiv 9\rho_0^2 \left. \frac{d^2}{d\rho^2} \frac{\mathcal{S}(\rho)}{\rho} \right|_{\rho=\rho_0}, \quad R_{\text{sym}} \equiv 81\rho_0^4 \left. \frac{d^4}{d\rho^4} \mathcal{S}(\rho) \right|_{\rho=\rho_0}.$$

- ❖ *High-order parameters K_{sym} , Q_{sym} less constrained; active in both high and low densities*

There is one EoS

- ❖ Only one point on this graph corresponds to nature
 - Only one point in the multiparameter space $\{\rho_0, e_0, K_0, \dots, J, L, K_{\text{sym}}, Q_{\text{sym}}, \dots\}$ corresponds to nature
- ❖ Nuclear properties shall be compatible with that point.
 - Microscopic calculations for nuclei: Relying on EDFT



Roca-Maza&Paar, PPNP101(2018)96;
originally from Lattimer&Steiner, EPJA50(2014)40

- ❖ Analyses are model dependent
- ❖ Nuclear DFT:
 - Only few of the hundreds of EDF models can simultaneously describe nuclear matter and finite nuclei
 - Dutra et al., PRC85(12)035201*
 - Stevenson et al., AIP Conf.Proc.1529,262*
 - ... while binding energies and radii “prefer” different values for the effective mass
 - Bender et al., Rev. Mod. Phys. 75,121*
- Assumptions about the **effective mass** affect extrapolations (in basic Skyrme models)
- Artificial **correlations** among parameters

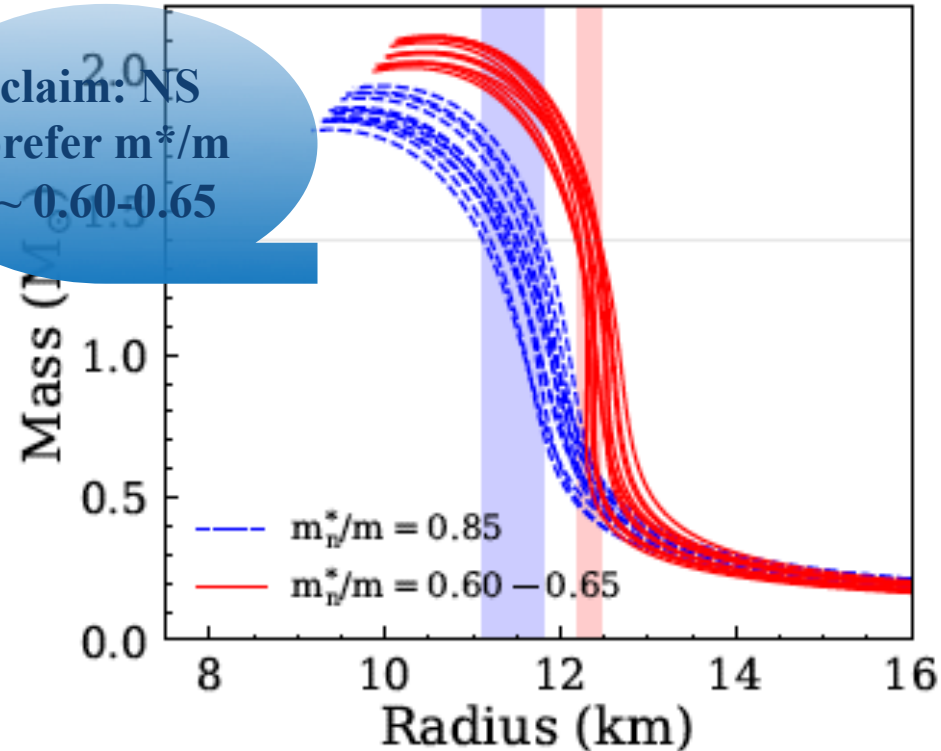
Effective mass

❖ Traditional Skyrme functionals

$$\mathcal{E}(\rho) = f\rho^{2/3} + a_0\rho + a_\gamma\rho^{1+\gamma} + a_2\rho^{5/3}$$

$$\frac{m^*}{m} = \frac{f}{f + a_2\rho}$$

claim: NS
prefer m^*/m
 $\sim 0.60-0.65$



Tsang et al, arXiv:1908.11842

❖ Traditional Skyrme functionals

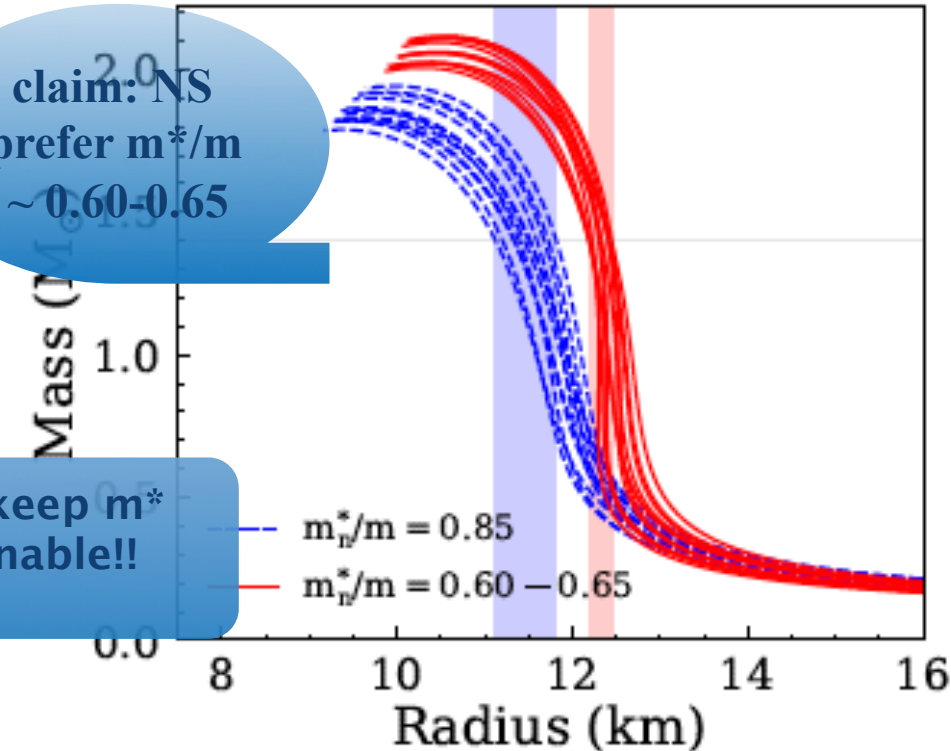
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$$\frac{m^*}{m} = \frac{f}{f + a_2\rho}$$

Neutron stars
want higher a_2 !

Please keep m^*
reasonable!!

claim: NS
prefer m^*/m
 $\sim 0.60-0.65$



Tsang et al, arXiv:1908.11842



❖ Traditional Skyrme functionals

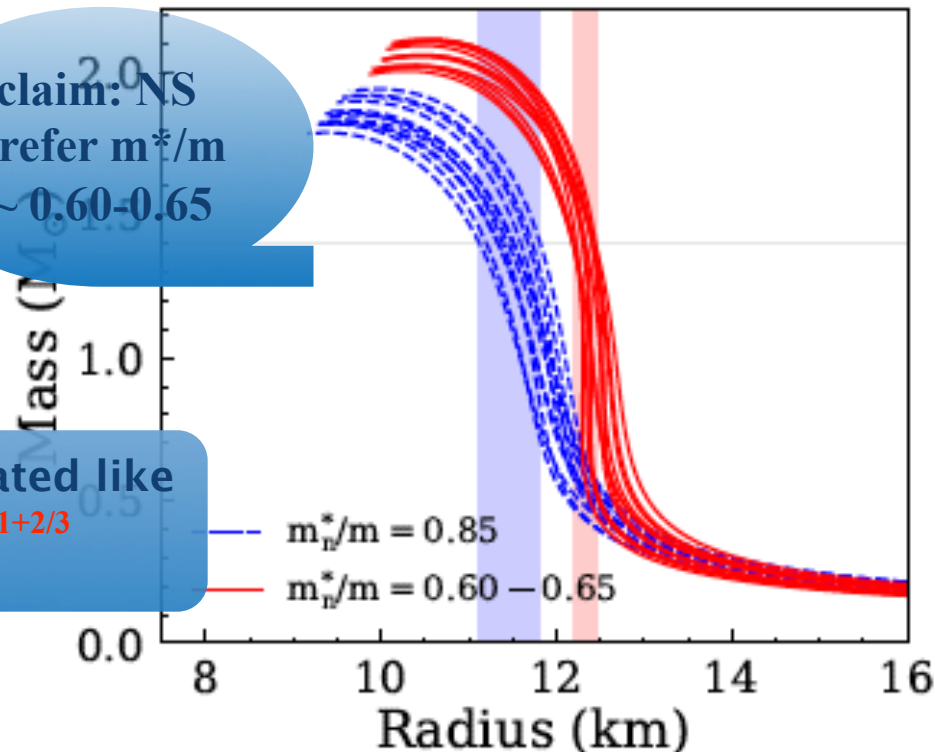
$$\mathcal{E}(\rho) = f\rho^{2/3} + a_0\rho + a_\gamma\rho^{1+\gamma} + a_2\rho^{5/3}$$

$$\frac{m^*}{m} = \frac{f}{f + ka_2\rho}$$

Only part of a_2 in the denominator

claim: NS prefer $m^*/m \sim 0.60-0.65$

The rest treated like $(1-k)a_2\rho^{1+2/3}$



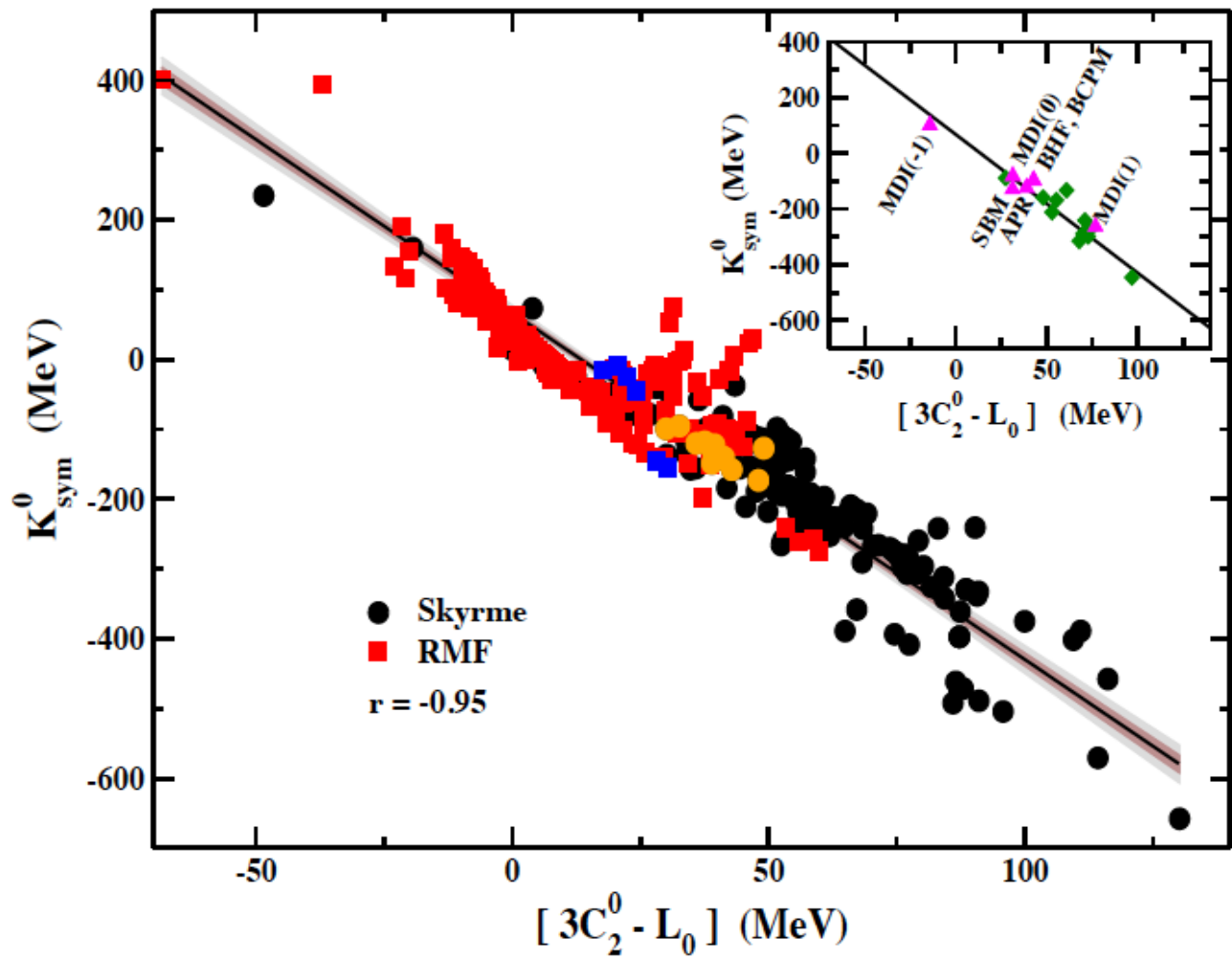
... almost like that... **KIDS**

Correlations between symmetry energy parameters

Correlations between symmetry energy parameters

❖ Interdependence?

Mondal et al., PRC96(2017)021302



❖ SNM:

- 4 Skyrme parameters...

$$\mathcal{E}(\rho, 0) = f\rho^{2/3} + \underline{a_0\rho + a_\gamma\rho^{1+\gamma}} + \textcircled{a_2\rho^{5/3}}$$

- for 4 EoS parameters

$$\underline{\mathcal{E}_0, \rho_0, K_0, \dots}$$

$$\textcircled{\mu_s \equiv m^*/m}$$

❖ Symmetry energy:

- **3** additional Skyrme parameters...

$$S(\rho) = g\rho^{2/3} + \underline{b_0\rho + b_\gamma\rho^{1+\gamma}} + \textcircled{b_2\rho^{5/3}}$$

- For **4+** EoS parameters

$$\underline{J, L, K_{\text{sym}}, Q_{\text{sym}} \dots}$$

$$\textcircled{\mu_v \equiv m_{\text{IV}}^*/m}$$

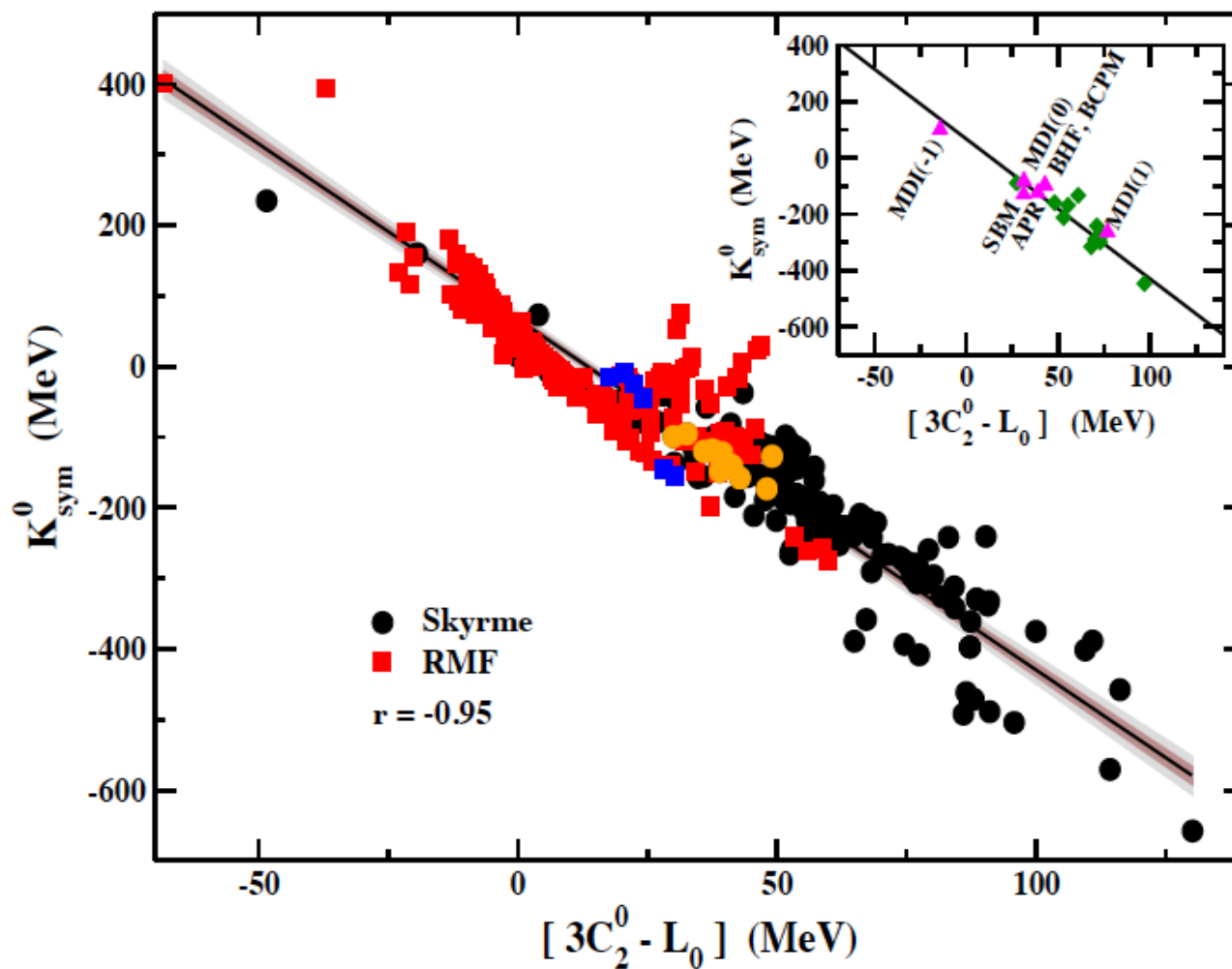
❖ Trivial relation:

$$K_{\text{sym}} = \underline{3(1 + \gamma)(L - 3J)} + (1 + 3\gamma)g\rho_0^{2/3} + 2(2 - 3\gamma)b_2\rho_0^{5/3}$$

*** (f and g are shorthand for the usual kinetic energy constants)*

❖ Interdependence?

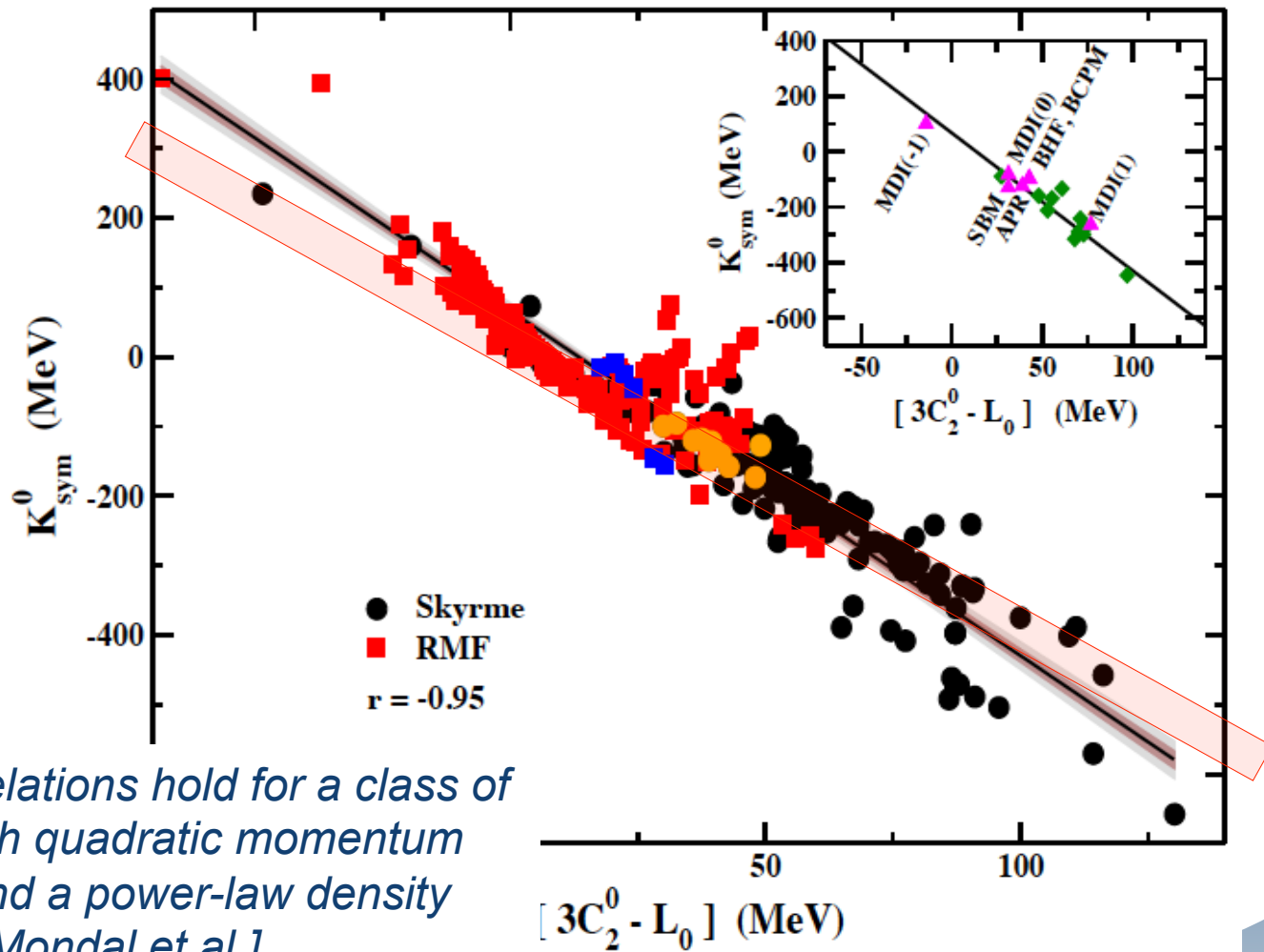
Mondal et al., PRC96(2017)021302



❖ Interdependence?

for $L \approx 40-60 \text{ MeV}$
and $\gamma = 1/3$

Mondal et al., PRC96(2017)021302



Indeed, “The relations hold for a class of interactions with quadratic momentum dependence and a power-law density dependence” [Mondal et al.]

Standard Skyrme: an underdetermined EoS

❖ SNM:

- 4 Skyrme parameters...

$$\mathcal{E}(\rho, 0) = f\rho^{2/3} + \underline{a_0\rho} + \underline{a_\gamma\rho^{1+\gamma}} + \underline{a_2\rho^{5/3}}$$

- for 4 EoS parameters

$$\underline{\mathcal{E}_0, \rho_0, K_0, \dots}$$

$$\mu_s \equiv m^*/m$$

very restrictive

❖ Symmetry energy:

- 3** additional Skyrme parameters...

$$S(\rho) = g\rho^{2/3} + \underline{b_0\rho} + \underline{b_\gamma\rho^{1+\gamma}} + \underline{b_2\rho^{5/3}}$$

- For **4+** EoS parameters

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❖ Trivial relation:

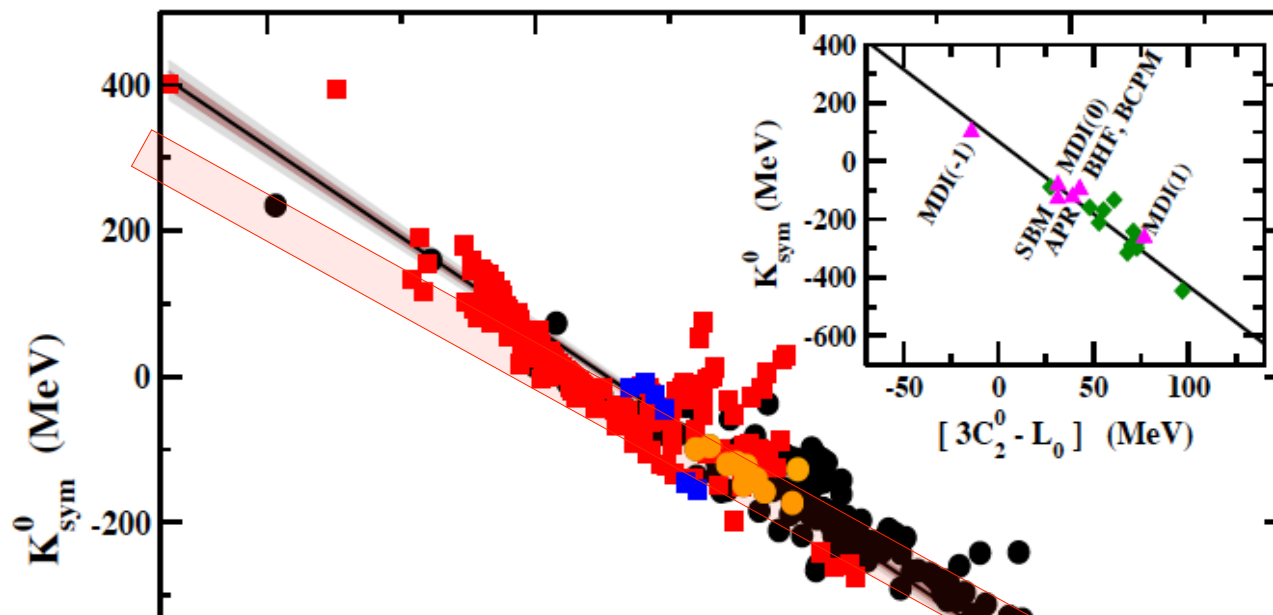
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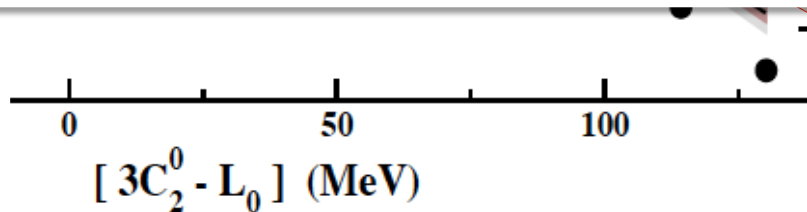
for $L \approx 40-60 \text{ MeV}$
and $\gamma = 1/3$

et al., PRC96(2017)021302



We need to examine all consequential parameters independently
Must go beyond Skyrme

“The relations hold for a class of interactions with quadratic momentum dependence and a power-law density dependence”



KIDS FRAMEWORK

- 1) Homogeneous matter : EOS
- 2) Finite nuclei: EDF

KIDS FRAMEWORK

- 1) **Homogeneous matter : EOS**
- 2) Finite nuclei: EDF

$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} = \mathcal{T}(\rho, \delta) + \sum_{i=0}^3 c_i(\delta) \rho^{1+i/3}$$

❖ If I have SNM and PNM, namely $c_i(0)$ and $c_i(1)$ (plus the quadratic approximation) I obtain analytically:
 $\{\rho_0, E_0, K_0, Q_0\}, \{J, L, K_{\text{sym}}, Q_{\text{sym}}\}$

❖ And vice versa;

❖ Ansatz motivated by basic QMBT and EFT

for details: PP, Park, Lim, Hyun, Phys. Rev. C 97, 014312 (2018)

❖ Why 4 terms? Why low order?

$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} = \mathcal{T}(\rho, \delta) + \sum_{i=0}^3 c_i(\delta) \rho^{1+i/3}$$

- ❖ If I have SNM and PNM, namely (ρ_0, δ_0) and (ρ_0, δ_0) (the quadratic approximation) I obtain analytically:

$$c_i(\delta) = \alpha_i + \beta_i \delta^2$$

- ❖ Ans $\{\rho_0, \delta_0\}$
- ❖ Ans $\begin{bmatrix} K_0 + 2\mathcal{T}_0 \\ \vdots \\ Q_{\text{sym}} - \delta\mathcal{T}_{\text{sym}0} \\ \vdots \end{bmatrix} = \mathbb{A} \begin{bmatrix} \alpha_0 \rho_0 \\ \vdots \\ \beta_3 \rho_0^2 \\ \vdots \end{bmatrix}$ 3)
- ❖ Wh

Guessing the analytical form - part I: Brueckner theory

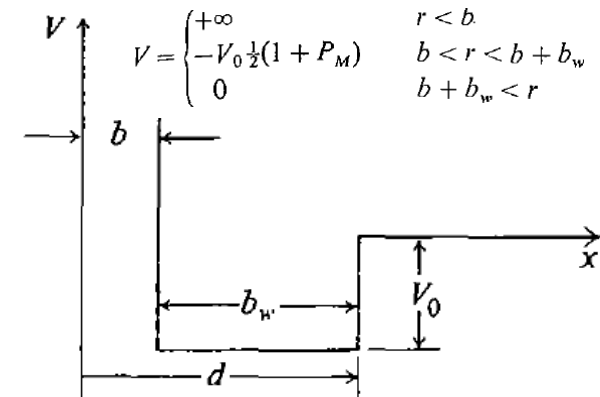
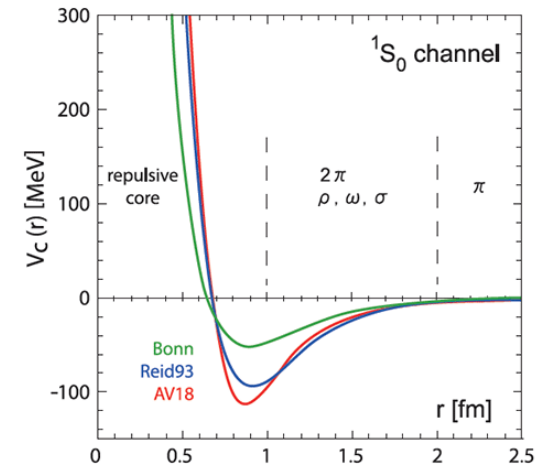
Fetter and Walecka, "Quantum theory of many-particle systems"

- ❖ Realistic potential: strong repulsive core plus attraction at longer range
- ❖ Apply Brueckner methodology in the calculation of nuclear matter energy

➔ Result: $k_F^2, k_F^3, k_F^4, k_F^5, k_F^6, \dots$,
converging

- ◆ Even powers: from repulsive part
- ◆ Odd powers: from both

➔ The Fermi momentum is the relevant variable : **powers of $\rho^{1/3}$**



- ❖ Saturation density is low...
 - with respect to (effective) boson exchange range (?)
 - one-pion exchange: vanishing expectation value
 - next boson: rho with $m_\rho \sim 775 \text{ MeV} \sim 4 \text{ fm}^{-1}$
 - Powers of k_F/m_ρ
- ❖ Expansion of E/A in powers of k_F
 - ... which means, again, powers of $\rho^{1/3}$
 - The Fermi momentum as the relevant variable
 - k_F^3 and k_F^4 (i.e., coupling $\sim \rho^{1/3}$) known to be important for obtaining saturation [Kaiser et al., NPA697(2002)]
- ❖ *Dilute Fermi gas: plus logarithmic terms*

H.-W. Hammer, R.J. Furnstahl / Nuclear Physics A 678 (2000) 277–294

But how many powers? Which are relevant?

- ❖ Fit to homogeneous matter pseudodata
 - Variational Monte Carlo (APR, FP)
- ❖ Statistical analysis of fit quality; naturalness
- ❖ Keep only the important terms! No overtraining

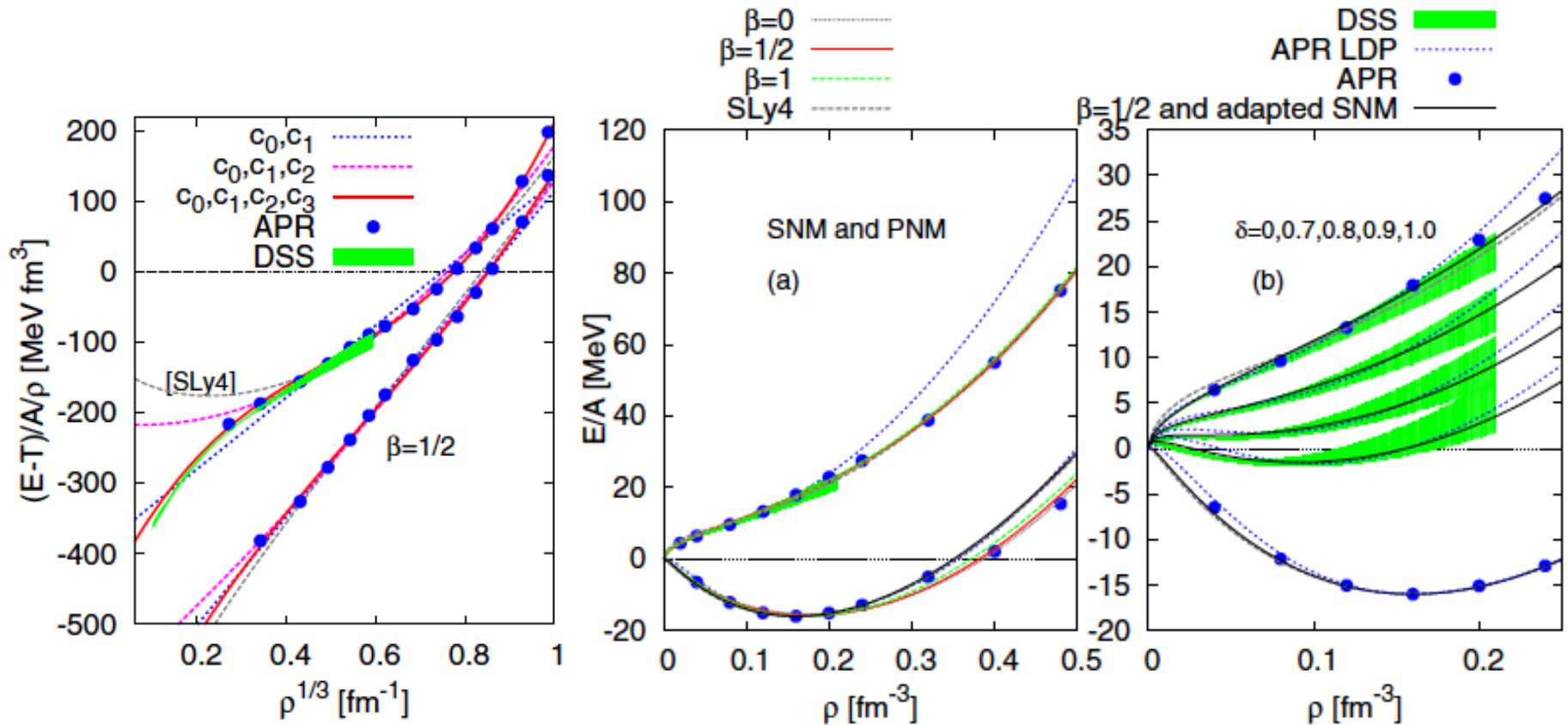
$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} = \mathcal{T}(\rho, \delta) + \sum_{i=0}^3 c_i(\delta) \rho^{1+i/3}$$

- SNM: 3 terms suffice in converging hierarchy ($c_3(0)=0$)
- PNM: 4 terms necessary (*different preferences*)

See *PP, Park, Lim, Hyun, Phys. Rev. C 97, 014312 (2018)*,
Gil, Kim, Hyun, PP, Oh, Phys. Rev. C 100, 014312 (2019)

Fits to APR pseudodata

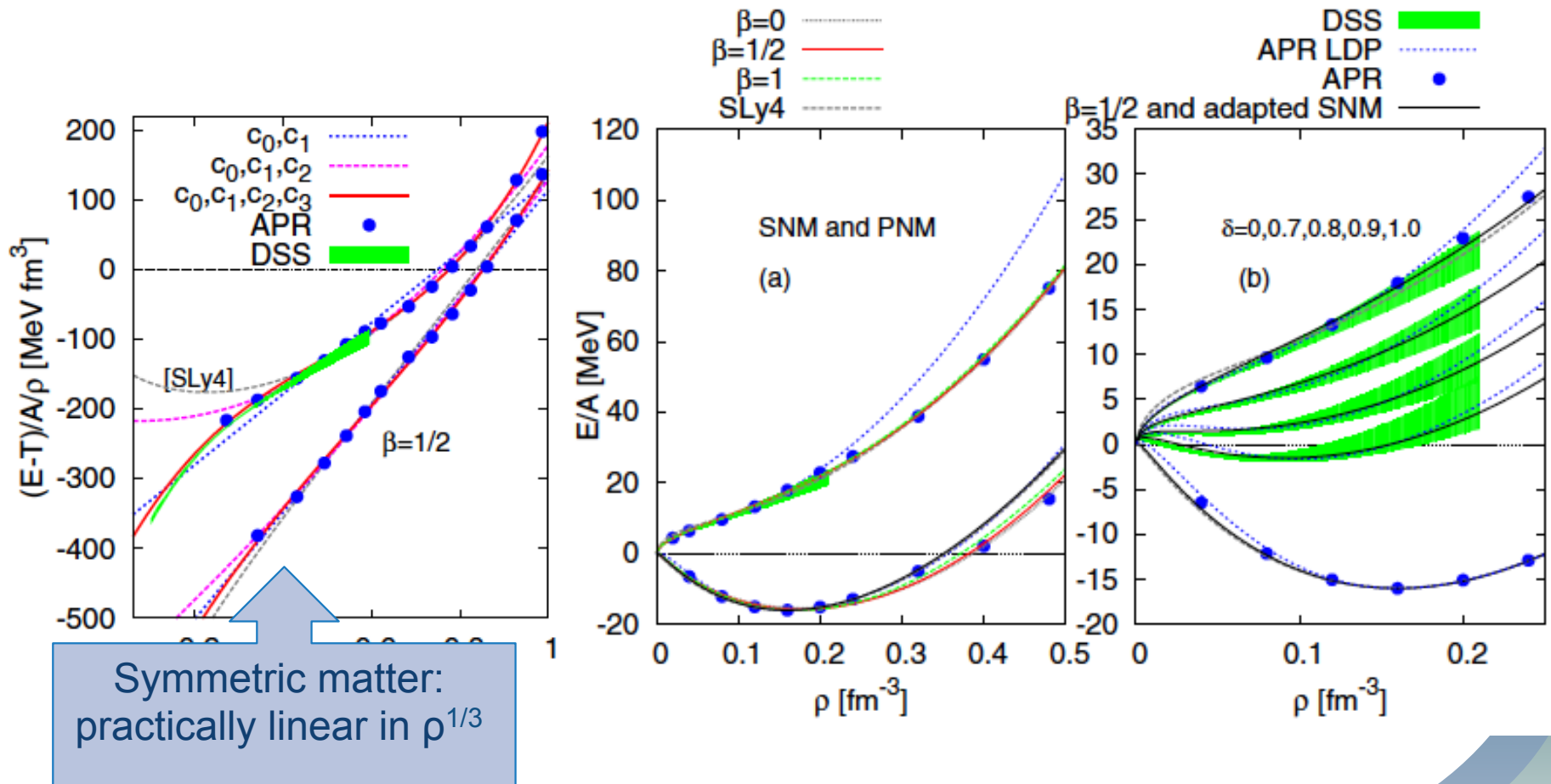
PP, Park, Lim, Hyun, Phys. Rev. C97, 014312 (2018)



[*] β : controls the weight we put on the lower-density data

Fits to APR pseudodata

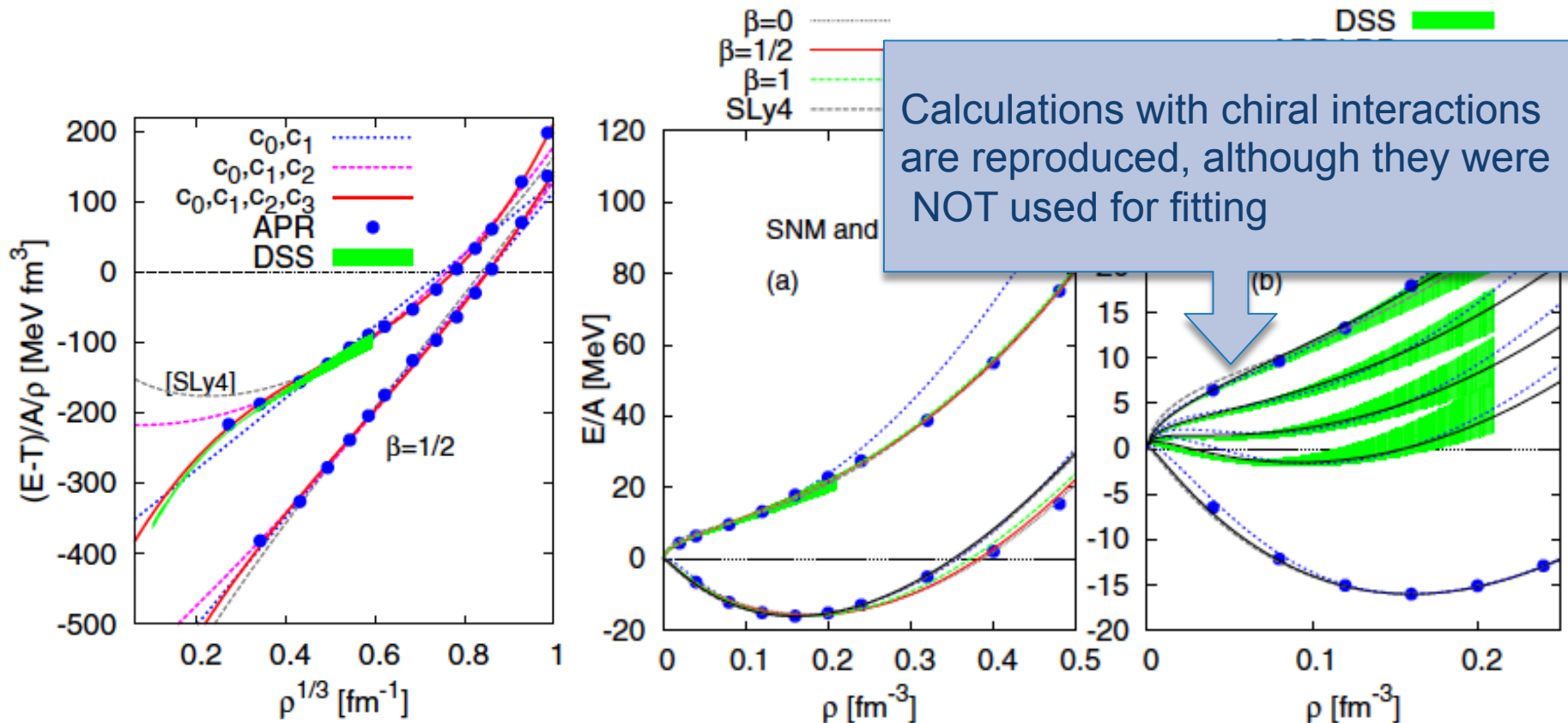
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Fits to APR pseudodata

PP, Park, Lim, Hyun, Phys. Rev. C97, 014312 (2018)



[*] β : controls the weight we put on the lower-density data

❖ Symmetric nuclear matter:

- Set $\rho_0=0.16 \text{ fm}^{-3}$, $E_0=-16\text{MeV}$, $K_0 = 240 \text{ MeV}$
- Determine $c_{0,1,2}(0)$ (analytical expressions)
- Leads to $Q_0=-373 \text{ MeV}$

❖ Pure neutron matter:

- Fit $c_{0,1,2,3}(1)$ to the APR pseudodata for PNM
- Resulting symmetry-energy parameters:

$$J=33\text{MeV}, L=49\text{MeV}, K_{\text{sym}}=-157\text{MeV}, Q_{\text{sym}}=586\text{MeV}$$

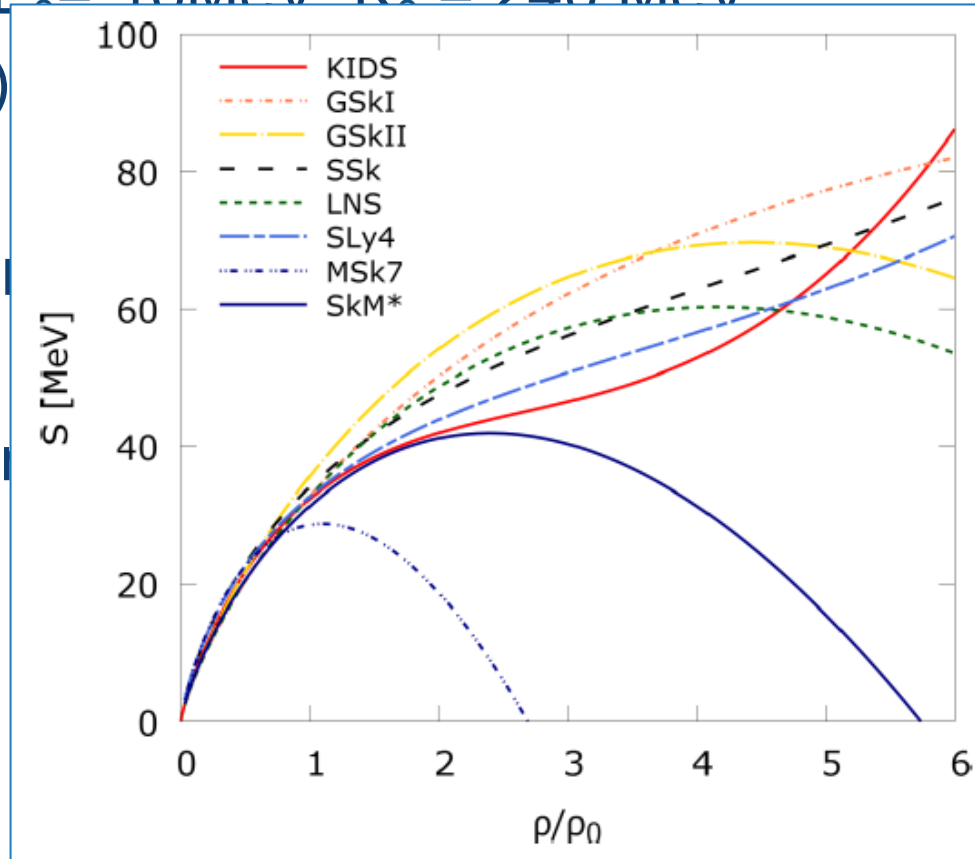
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❖ Pure neutron matter

- Fit $c_{0,1,2,3}(1)$ to the
- Resulting symmetry

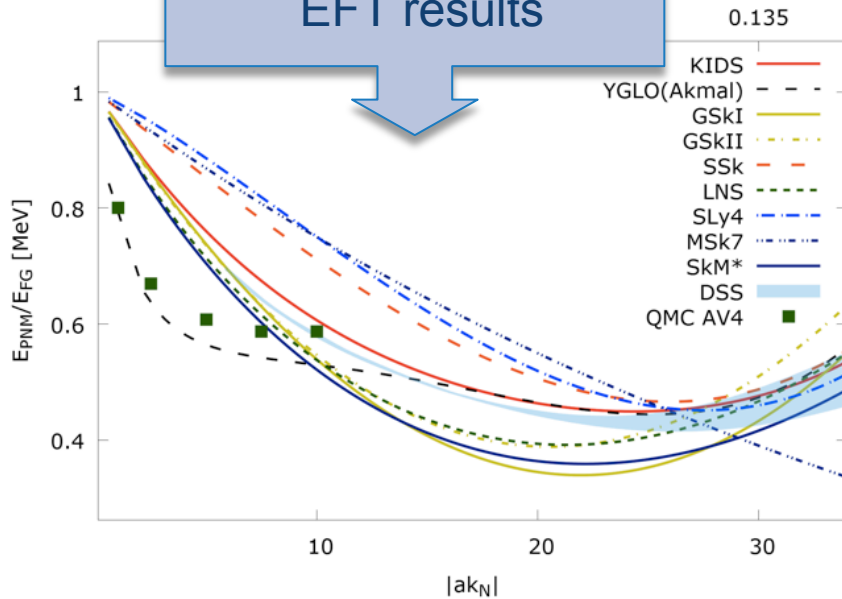
$J=33\text{MeV}$, $L=49\text{MeV}$,



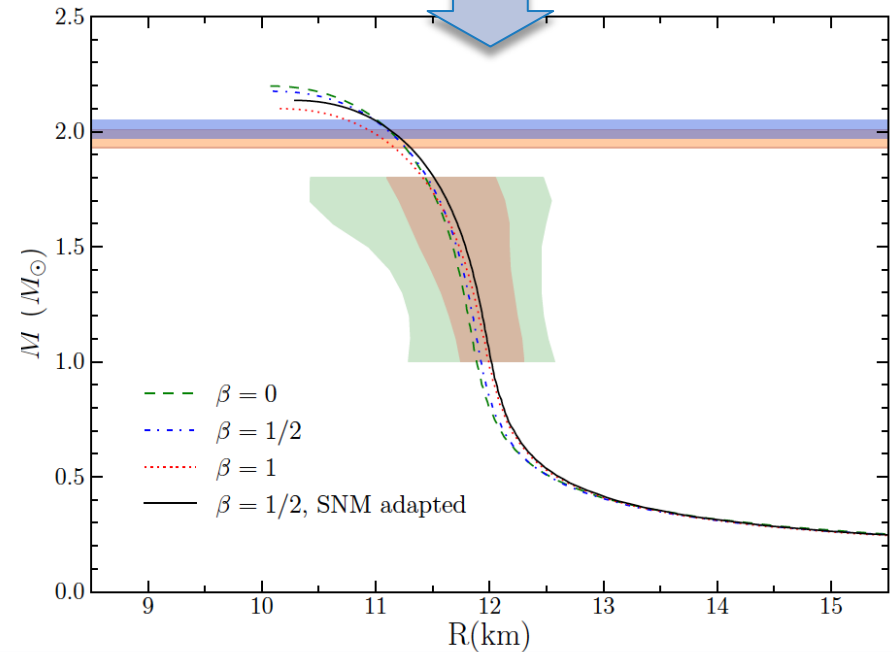
Gil, PP, Hyun, Oh, PRC99, 064319(2019)

Extrapolations to dilute and dense matter

Follows closely chiral EFT results



Mass-Radius relation of NS: Compatible with observational data



We are now free to vary EoS parameters and examine systematically effects on NS, etc.
... but also nuclei

KIDS FRAMEWORK

- 1) Homogeneous matter : EOS
- 2) **Finite nuclei: EDF**

powers of Fermi momentum $\sim \rho^{1/3}$

$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} = \mathcal{T}(\rho, \delta) + \sum_{i=0}^3 c_i(\delta) \rho^{1+i/3}$$

$$\begin{aligned} & t_0(1 + x_0 P_\sigma) \delta(\mathbf{r}_i - \mathbf{r}_j) \\ & + \frac{1}{2} t_1(1 + x_1 P_\sigma) [\delta(\mathbf{r}_i - \mathbf{r}_j) \mathbf{k}^2 + \mathbf{k}'^2 \delta((\mathbf{r}_i - \mathbf{r}_j))] \\ & + t_2(1 + x_2 P_\sigma) \mathbf{k}' \cdot \delta(\mathbf{r}_i - \mathbf{r}_j) \mathbf{k} \\ & + \frac{1}{6} t_3(1 + x_3 P_\sigma) \rho^\alpha \delta(\mathbf{r}_i - \mathbf{r}_j) \\ & + i W_0 \mathbf{k}' \times \delta(\mathbf{r}_i - \mathbf{r}_j) \mathbf{k} \cdot (\boldsymbol{\sigma}_i - \boldsymbol{\sigma}_j), \end{aligned} \quad (3)$$

kinetic energy: $\mathcal{T} = \mathcal{T}_p + \mathcal{T}_n$; $\mathcal{T}_{p,n} = \frac{3}{5} \frac{\hbar^2}{2m_{p,n}} x_{p,n}^{5/3} (3\pi^2 \rho)^{2/3}$; $x_{p,n} \equiv \rho_{p,n} / \rho$

asymmetry: $c_k(\delta) = \alpha_k + \delta^2 \beta_k$
 $\delta = (\rho_n - \rho_p) / \rho$

Nuclear potential	Order	KIDS parameter	Skyrme parameter
\mathcal{E}_0	k_F^3	$c_0(\delta)$	(t_0, x_0)
\mathcal{E}_1	k_F^4	$c_1(\delta)$	$(t_3, x_3), \alpha = 1/3$
\mathcal{E}_2	k_F^5	$c_2(\delta)$	$(t_1, x_1), (t_2, x_2), (t'_3, x'_3), \alpha' = 2/3$
\mathcal{E}_3	k_F^6	$c_3(\delta)$	$(t''_3, x''_3), \alpha'' = 1$

correspondence
with Skyrme



Skyrme-like parameters by reverse engineering

$$\begin{aligned}
 v_{i,j} = & (t_0 + y_0 P_\sigma) \delta(r_{ij}) + \frac{1}{2} (t_1 + y_1 P_\sigma) [\delta(r_{ij}) k^2 + \text{h.c.}] \\
 & + (t_2 + y_2 P_\sigma) k' \cdot \delta(r_{ij}) k + iW_0 k' \times \delta(r_{ij}) k \cdot (\sigma_i - \sigma_j) \\
 & + \frac{1}{6} \sum_{n=1}^3 (t_{3n} + y_{3n} P_\sigma) \rho^{n/3} \delta(r_{ij}), \quad (3)
 \end{aligned}$$

Minimal Skyrme-type “force”

$$\begin{aligned}
 t_0 &= \frac{8}{3} c_0(0), \quad y_0 = \frac{8}{3} c_0(0) - 4c_0(1), \\
 t_{3n} &= 16c_n(0), \quad y_{3n} = 16c_n(0) - 24c_n(1), \quad (n \neq 2) \\
 t_{32} &= 16c_2(0) - \frac{3}{5} \left(\frac{3}{2} \pi^2 \right)^{2/3} \theta_s, \\
 y_{32} &= 16c_2(0) - 24c_2(1) + \frac{3}{5} (3\pi^2)^{2/3} \left(3\theta_\mu - \frac{\theta_s}{2^{2/3}} \right)
 \end{aligned}$$

with

$$\theta_s \equiv 3t_1 + 5t_2 + 4y_2, \quad \theta_\mu \equiv t_1 + 3t_2 - y_1 + 3y_2.$$

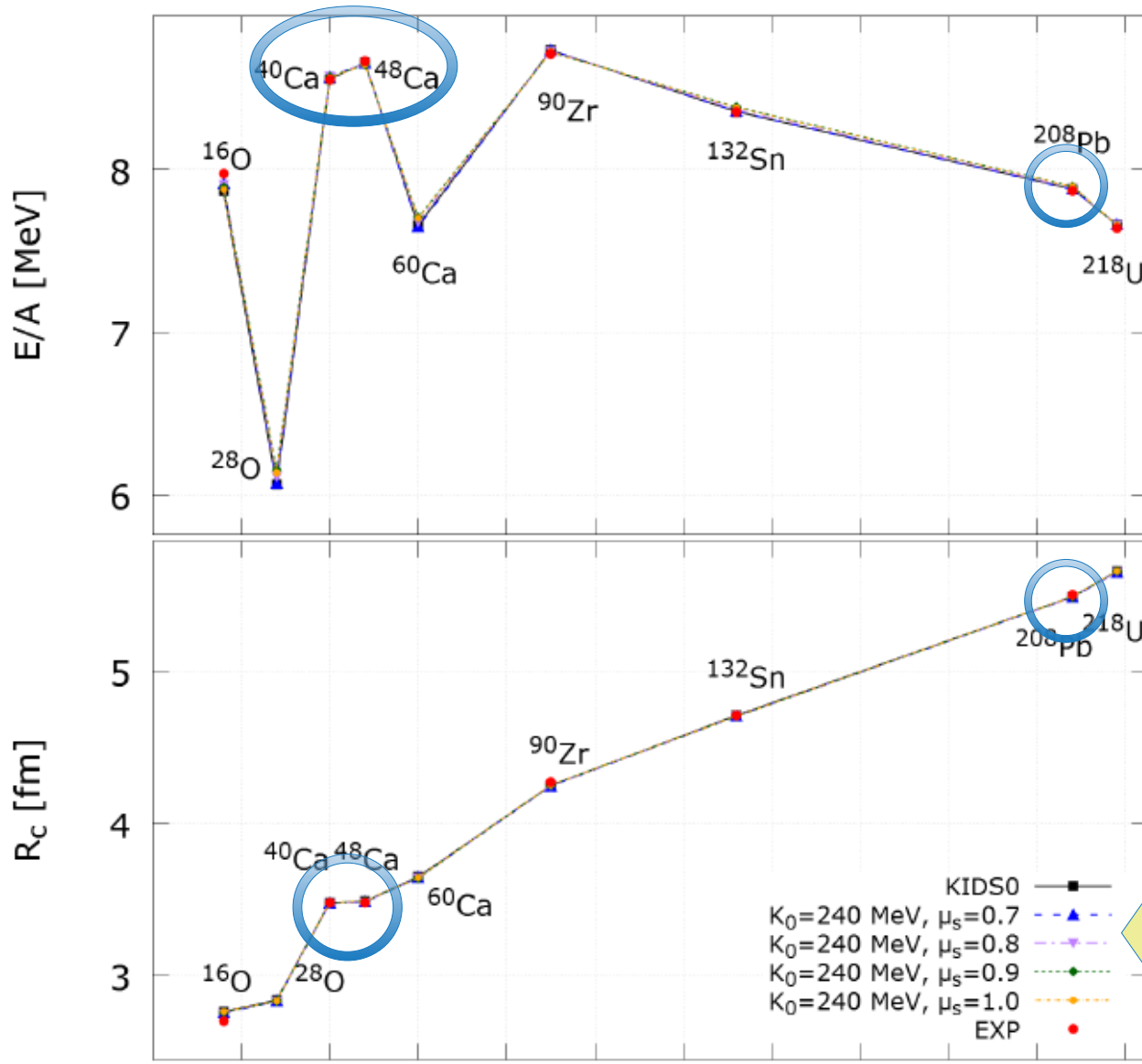
unconstrained from homogenous matter → vary freely
But the total $c_2(0)$, $c_2(1)$ will remain unchanged!

For given KIDS functional $c_i(0)$, $c_i(1)$ (i.e., fixed SNM, PNM)

- ❖ Chose effective masses (vary at will)
- ❖ All t_i , y_i are now known except t_1, t_2, x_1, x_2
- ❖ The two combinations θ_s, θ_μ also known (eff. masses)
- ❖ **Two independent free parameters plus spin-orbit W_0**
 - Fit only to ^{40}Ca , ^{48}Ca , ^{208}Pb
 - Only bulk properties: E/A , charge radius: 6 data

Proof of concept with KIDS-ad2
~Taking APR to nuclei~

Binding energy, charge radii

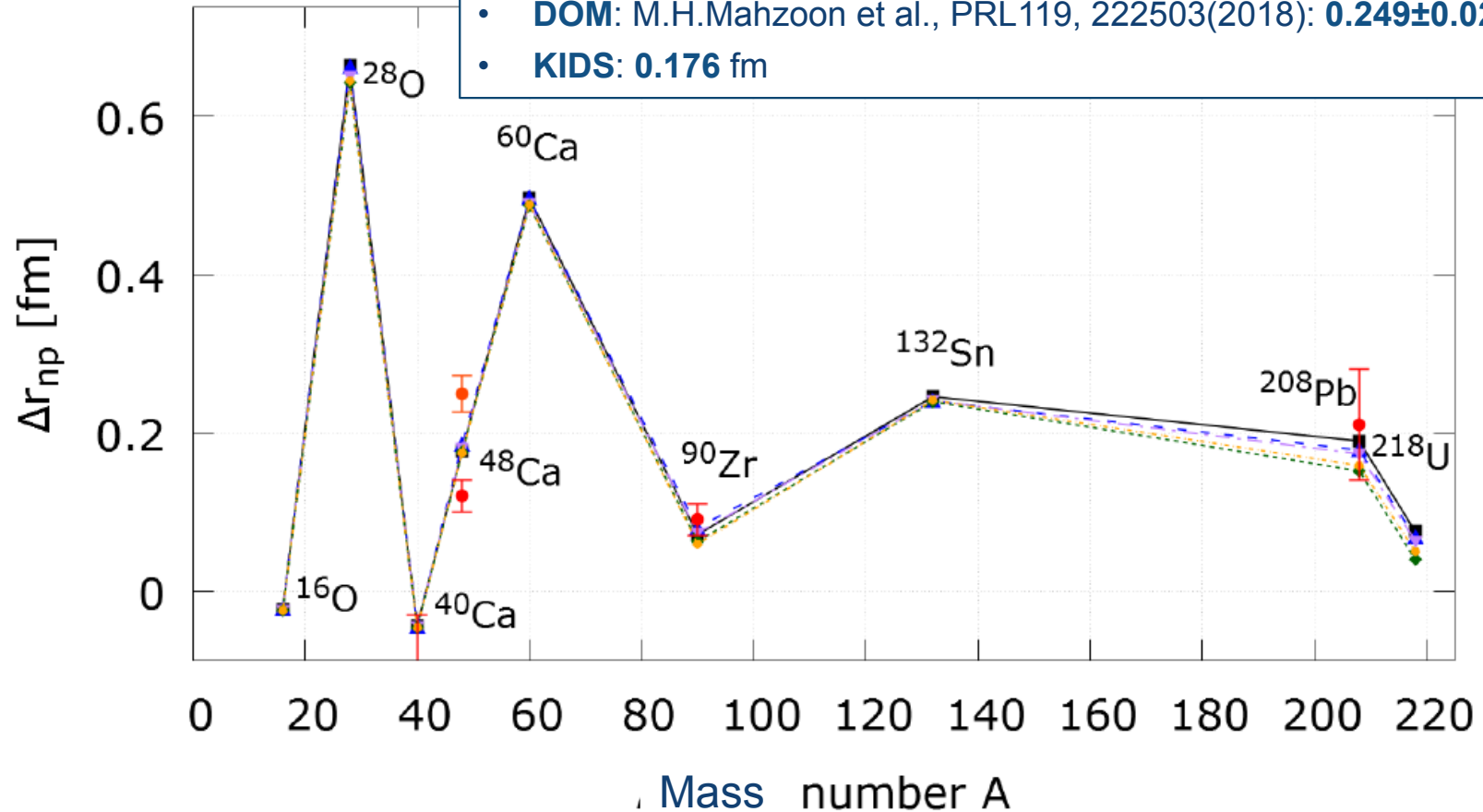


predictions independent of the effective mass assumed

Neutron skin thickness

neutron skin of ^{48}Ca :

- **CCM**: G.Hagen et al., Nature Phys. 12,186(2016): **0.12-0.15 fm**
- **DOM**: M.H.Mahzoon et al., PRL119, 222503(2018): **0.249 ± 0.023 fm**
- **KIDS**: **0.176 fm**

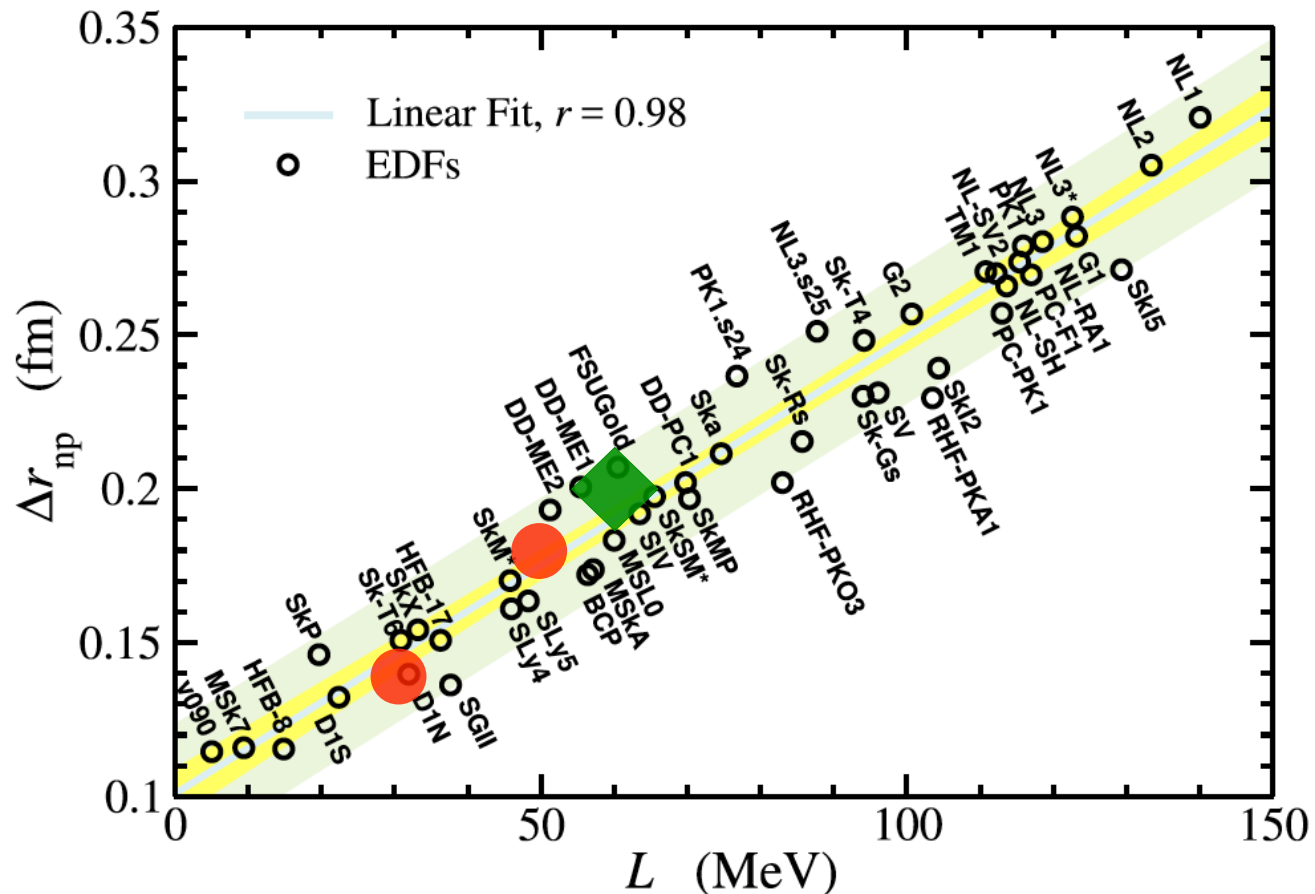


Data: antiprotonic atoms, PREX (^{208}Pb), DOM (^{48}Ca , upper)

Predictions of APR EoS for the neutron skin thickness!

[Roca-Maza et al., PRL106,252501(2013)]

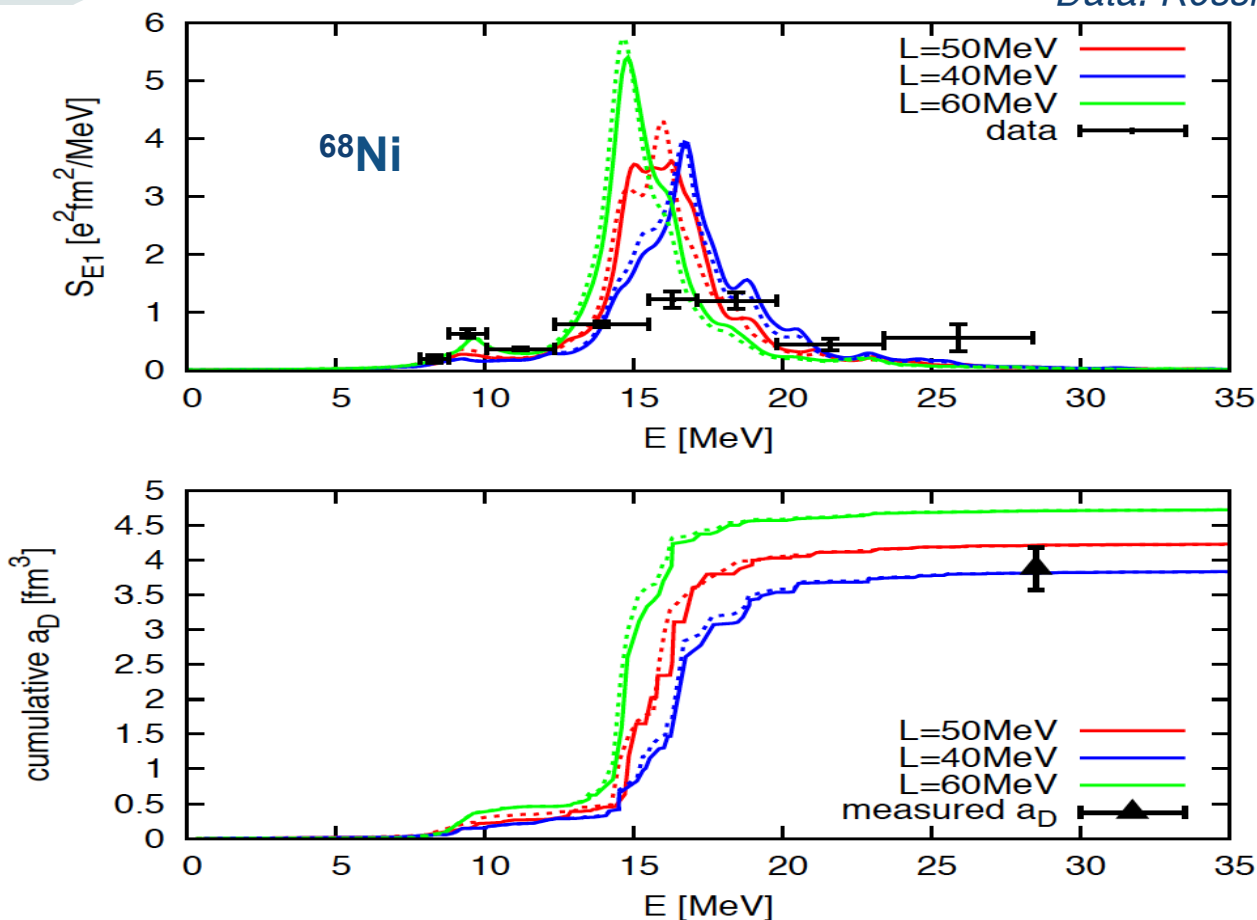
❖ Correlation based on DFT models



❖ KIDS points ●: Varying L only, around the baseline value; Diamond ◆ corresponds to QMC pseudodata

[Carlson et al., RMP87,1067(2015)]

Data: Rossi et al., PRL111,232503(2013)



❖ Enhancement factor (isovector m^*) decouples from static polarizability

INTERRELATIONS OF EOS PARAMETERS?

In progress

- ❖ Begin with baseline KIDS-ad2 parameters
- ❖ Vary one or more EoS parameters at will: L, K0, Ksym, etc -> Corresponding EDF
- ❖ Fit to 6 data (energy and charge radius of ^{40}Ca , ^{48}Ca , ^{208}Pb)

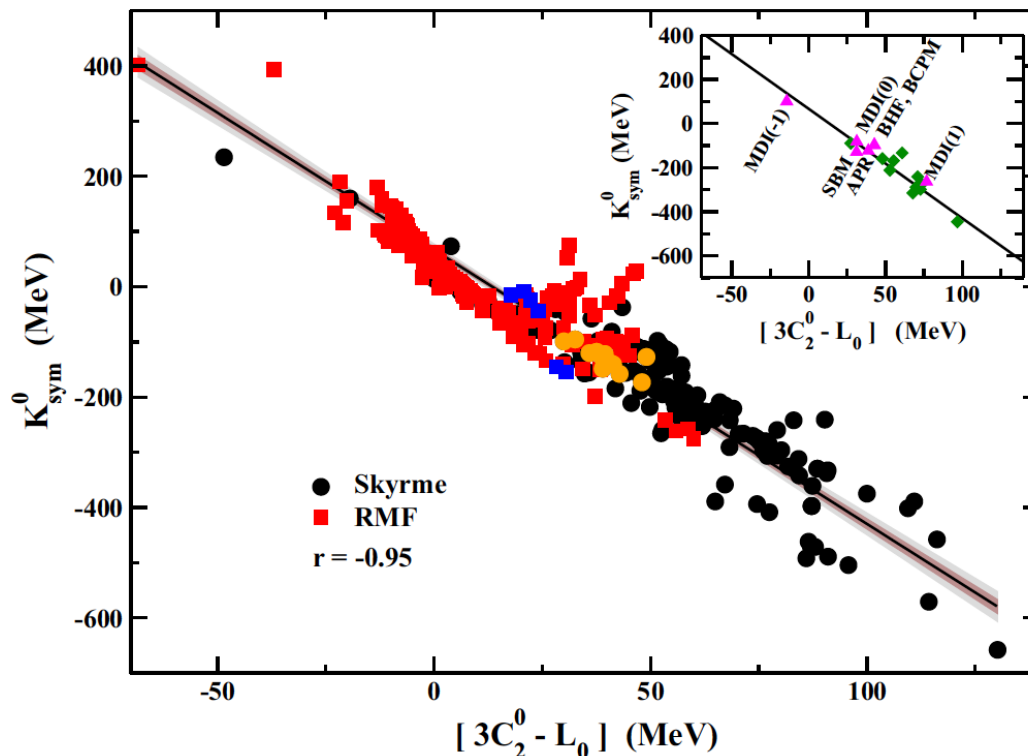
$$\chi_{\text{fit}}^2 = \sum_{d=1}^6 \left| \frac{O_i^{\text{calc}} - O_i^{\text{exp}}}{O_i^{\text{exp}}} \right|^2$$

- ❖ Obtain predictions for the nuclei ^{16}O , ^{28}O , ^{60}Ca , ^{90}Zr , ^{100}Sn , ^{132}Sn , ^{208}Pb , ^{218}U
- ❖ Deviations from known data give a “prediction” error

$$\chi_{\text{pred}}^2 = \sum_{d>6} \left| \frac{O_i^{\text{calc}} - O_i^{\text{exp}}}{O_i^{\text{exp}}} \right|^2$$

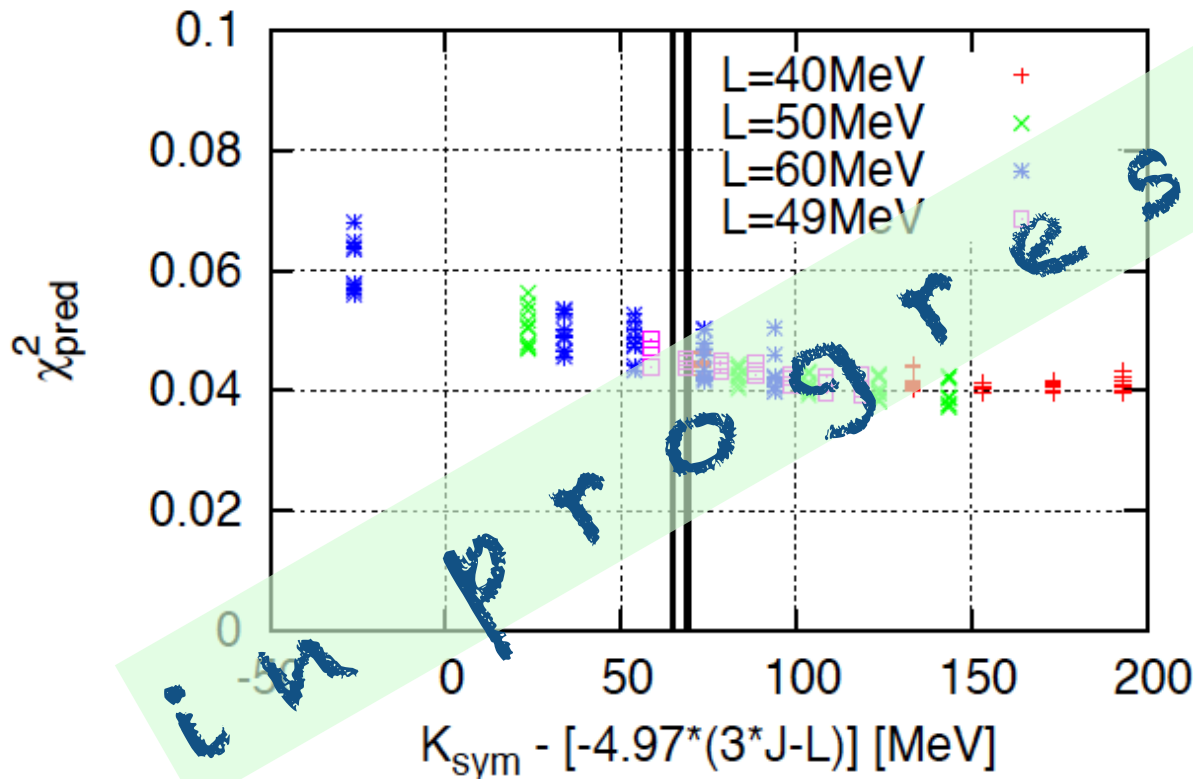
❖ Reported linear fit: $K_{\text{sym}} - 4.97(L-3J) \approx \underline{67 \pm 2 \text{ MeV}}$

Mondal et al., PRC96(2017)021302



❖ If this is physical, fits for various (L, J, K_{sym}) combinations should favor the above value

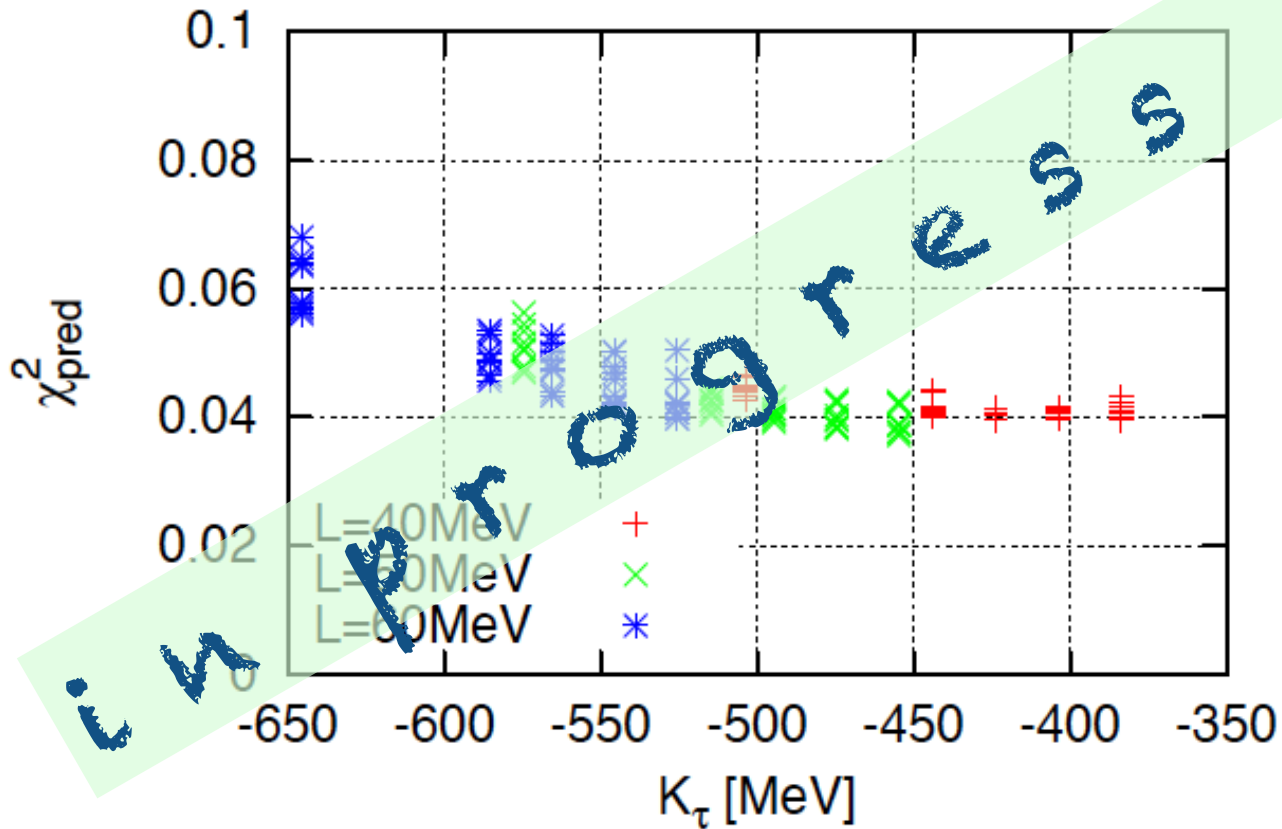
❖ Reported linear fit: $K_{\text{sym}} - 4.97(L-3J) \approx \underline{67 \pm 2 \text{ MeV}}$



❖ No favored value for the examined combinations: Flat trend

$K_0: 240\text{MeV}; -K_{\text{sym}}: 140-220\text{MeV}; J=33\text{MeV}$

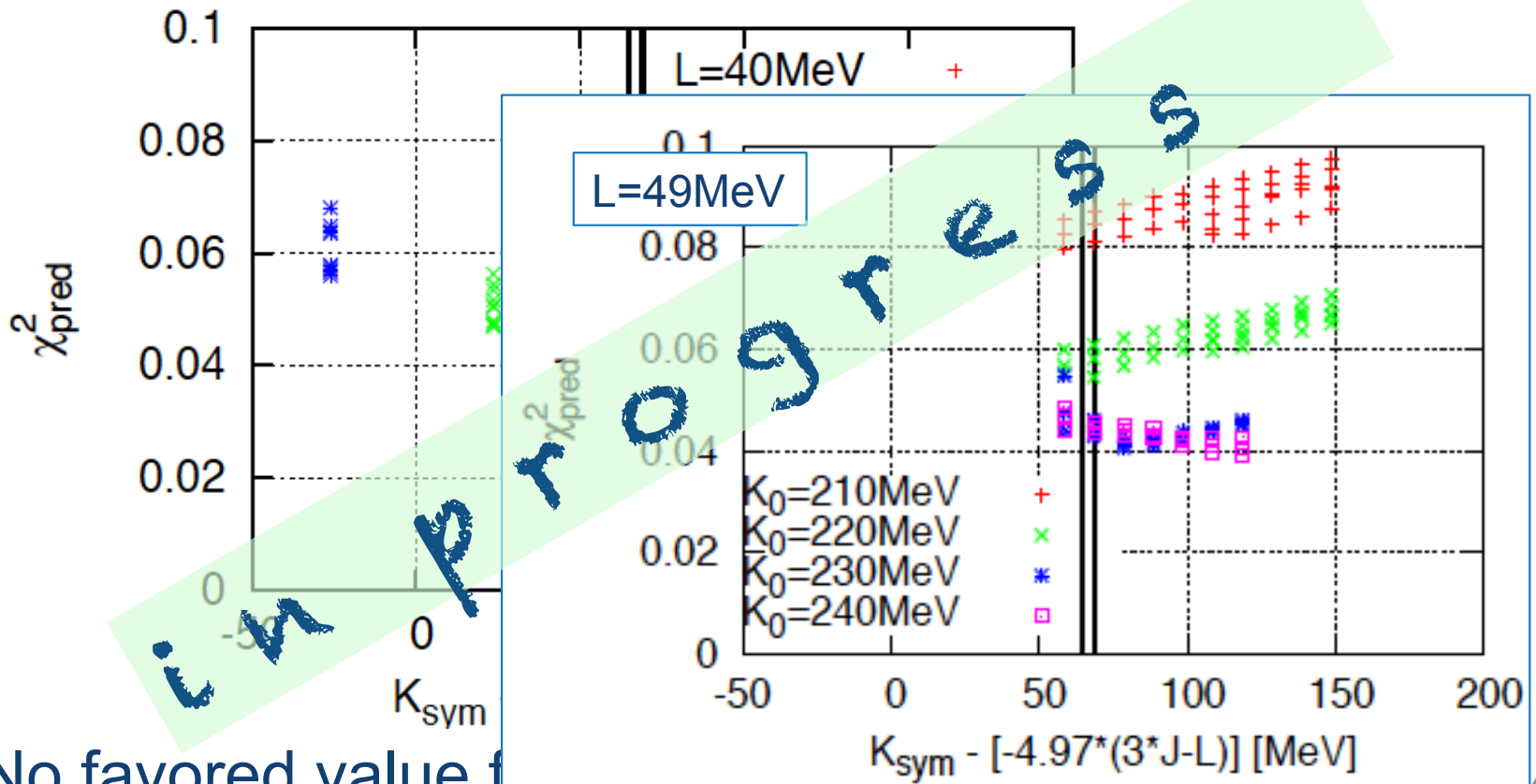
$$\diamond K_T = K_{\text{sym}} + L(Q_0/K_0 - 6)$$



$K_0: 240\text{MeV}; -K_{\text{sym}}: 140\text{-}220\text{MeV}; J=33\text{MeV}$

iW Program

❖ Reported linear fit: $K_{\text{sym}} - 4.97(L-3J) \approx \underline{67 \pm 2 \text{ MeV}}$



❖ No favored value for K_{sym} trend

$K_0: 240 \text{ MeV}$; $-K_{\text{sym}}: 140-220 \text{ MeV}$; $J=33 \text{ MeV}$

iM Progress

- ❖ KIDS functional: direct connection of all EoS parameters of interest with nuclei.
 - Study their effects on observables
 - Avoid overfitting as well as artificial correlations
 - Effective mass decouples from bulk properties
 - In progress: K_{sym} , K_{T} vs L and J
- ❖ Eventually, there is only one EoS. Plan: to determine it in the KIDS framework by **multiparametric fits** (-> *bootstrapping*)
- ❖ **Exotic nuclei to be included**

Thank you!

Supported by the Rare Isotope Science Project
of the Institute for Basic Science
funded by Ministry of Science, ICT and Future Planning
and the National Research Foundation (NRF) of Korea
(2013M7A1A1075764).