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# **Relevant studies on isospin splitting of nucleon effective mass Jun Xu (**徐骏**)**

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**Based on:**

**Phys. Rev. C 91, 014611 (2015); Phys. Rev. C 91, 037601 (2015); Phys. Rev. C 91, 047601 (2015); Phys. Rev. C 95, 034324 (2017); Prog. Part. Nucl. Phys. 99, 29 (2018); arXiv: 1807.01849 [nucl-th]**

## **Nucleon effective mass**

#### **Electron effective mass**:

**dispersion relation different from free electrons near the energy gap**

$\frac{1}{m^*} = \frac{1}{\hbar^2} \frac{d^2 \epsilon}{dk^2}$	$\frac{\frac{1}{\frac{x+\epsilon}{m}}}{\frac{x+\epsilon}{m}}$
<b>Nucleon effective mass:</b>	
<b>h</b> -medium interaction lowers the nucleon mass	
<b>P</b> -mass:	
$\frac{\tilde{m}_r^*}{m} = \left[1 + \frac{m}{p} \frac{\partial U_r(p, \varepsilon_r(p))}{\partial p}\right]^{-1}$	<b>E</b> -mass:
$\frac{\overline{m}_r^*}{m} = 1 - \frac{\partial U_r(p, \varepsilon_r(p))}{\partial \varepsilon_r}$	
$\tau = n, p$	
<b>Dirac mass:</b>	
$m^* = 1 - \frac{\partial U_r(p, \varepsilon_r(p))}{\partial \varepsilon_r}$	

**Skyrme-Hartree-Fock: non-relativistic, momentum-dependent potential Relativistic mean-field: relativistic, meson exchange**

**Comparison between non-relativistic mass with relativistic mass Lorentz effective mass:**

$$
m_{Lorentz,\tau}^* = m \left( 1 - \frac{dU_{SEP,\tau}}{dE_{\tau}} \right) = (E_{\tau} - \Sigma_{\tau}^0) \left( 1 - \frac{d\Sigma_{\tau}^0}{dE_{\tau}} \right) - (m + \Sigma_{\tau}^s) \frac{d\Sigma_{\tau}^s}{dE_{\tau}} + m - E_{\tau}
$$

**M. Jaminon and C. Mahaux, PRC (1989); B.A. Li, L.W. Chen, and C.M. Ko, Phys. Rep. (2008); Z.X. Li, Nucl. Phys. Rev. (2014)**

## **Neutron-proton effective mass splitting**

#### **Isospin dynamics in nuclear reactions**  $\frac{1}{\sqrt{2}}$

$$
\frac{d\vec{p}}{dt} = -\nabla U_{\tau} \underbrace{\vec{p}}_{m_{\tau}^{*}} + \nabla_{p} U_{\tau} = \frac{\vec{p}}{m_{\tau}^{*}}
$$
\n
$$
\underbrace{\vec{p}}_{m_{\tau}} = \frac{\vec{p}}{m_{\tau}} + \nabla_{p} U_{\tau} = \frac{\vec{p}}{m_{\tau}}
$$
\n
$$
\underbrace{\text{Effective mass}}_{m} \underbrace{m_{\tau}^{*}}_{m} = \left[1 + \frac{m}{p} \frac{dU_{\tau}(p)}{dp}\right]^{-1}, \tau = n, p
$$
\n
$$
\underbrace{\text{Effective mass}}_{m} \underbrace{m_{\tau}^{*}}_{m} = \left[1 + \frac{m}{p} \frac{dU_{\tau}(p)}{dp}\right]^{-1}, \tau = n, p
$$
\n(non-relativistic p-mass)

**Hugenholtz–Van Hove theorem**  $\sum_{n(n)}^{*} (\delta = 0)$  $(p)$ **Isoscalar effective mass:**  $m^*_s \approx m^*_{n(p)}\big(\delta = 0\big)$  <code>Isovector</code> effective mass:

$$
E_{sym}(\rho) = \frac{1}{3} \frac{\hbar^2 k_F^2}{2m_0^*} + \frac{1}{2} U_{sym}(\rho, k_F) \qquad L(\rho) \approx \frac{2}{3} \frac{\hbar^2 k_F^2}{2m_0^*} + \frac{3}{2} U_{sym}(\rho, k_F) + \frac{\partial U_{sym}}{\partial k} \bigg|_{k_F} k_F
$$

**C. Xu, B.A. Li, and L.W. Chen, PRC (10); R. Chen, B.J. Cai, L.W. Chen, B.A. Li, X.H. Li, and C. Xu, PRC (12)**

 $\sim$ 



# **np effective mass and HIC dynamics I**



## **np effective mass and HIC dynamics II**



#### **An improved momentum-dependent interaction (ImMDI)**

#### **Effective NN potential**:

$$
v(\vec{r}_1, \vec{r}_2) = \frac{1}{6} t_3 (1 + x_3 P_\sigma) \rho^{\gamma} \left( \frac{\vec{r}_1 + \vec{r}_2}{2} \right) \delta(\vec{r}_1 - \vec{r}_2)
$$
  

$$
v(W + CP - HP - MP - NP^{-p}) e^{-\mu |\vec{r}_1 - \vec{r}_2|}
$$

 $+(W+GP_{\sigma}-HP_{\tau}-MP_{\sigma}P_{\tau})\frac{e}{|\vec{r}_1-\vec{r}_2|}$ <br>**Potential energy density:** 

$$
V(\rho,\delta) = \frac{A_u \rho_n \rho_p}{\rho_0} + \frac{A_l}{2\rho_0} \left(\rho_n^2 + \rho_p^2\right) + \frac{B}{\sigma + 1} \frac{\rho^{\sigma+1}}{\rho_0^{\sigma}}
$$

$$
\times (1 - x\delta^2) + \frac{1}{\rho_0} \sum_{\tau,\tau'} C_{\tau,\tau'}
$$

$$
\times \iint d^3p d^3p' \frac{f_{\tau}(\vec{r},\vec{p}\,)f_{\tau'}(\vec{r},\vec{p}\,')}{1+(\vec{p}-\vec{p}\,')^2/\Lambda^2}.
$$

**Mean-field potential:**<br> $U_{\tau}(\rho,\delta,\vec{p}) = A_u \frac{\rho_{-\tau}}{\rho_0} + A_l \frac{\rho_{\tau}}{\rho_0}$ 

$$
+B\left(\frac{\rho}{\rho_0}\right)^{\sigma} (1 - x\delta^2) - 4\tau x \frac{B}{\sigma + 1} \frac{\rho^{\sigma - 1}}{\rho_0^{\sigma}} \delta \rho_{-\tau} + \frac{2C_l}{\rho_0} \int d^3 p' \frac{f_{\tau}(\vec{r}, \vec{p}\,')}{1 + (\vec{p} - \vec{p}\,')^2/\Lambda^2} + \frac{2C_u}{\rho_0} \int d^3 p' \frac{f_{-\tau}(\vec{r}, \vec{p}\,')}{1 + (\vec{p} - \vec{p}\,')^2/\Lambda^2}. \qquad \mathbf{R}(\mathbf{r}, \mathbf{r})
$$

$$
A_{l}(x, y) = A_{l0} + y + x \frac{2B}{\sigma + 1},
$$
  
\n
$$
A_{u}(x, y) = A_{u0} - y - x \frac{2B}{\sigma + 1},
$$
  
\n
$$
C_{l}(y, z) = C_{l0} - 2(y - 2z) \frac{p_{f0}^{2}}{\Lambda^{2} \ln \left[ (4p_{f0}^{2} + \Lambda^{2})/\Lambda^{2} \right]},
$$
  
\n
$$
C_{u}(y, z) = C_{u0} + 2(y - 2z) \frac{p_{f0}^{2}}{\Lambda^{2} \ln \left[ (4p_{f0}^{2} + \Lambda^{2})/\Lambda^{2} \right]},
$$

0, we choose the following empirical values:  $\rho_0 = 0.16$  fm<sup>-3</sup>,  $E_0(\rho_0) = -16 \text{ MeV}, K_0 = 230 \text{ MeV}, m_s^* = 0.7m, E_{sym}(\rho_0) =$ 32.5 MeV, and  $U_{0,\infty} = 75$  MeV, which lead to  $A_{l0} = A_{u0} =$  $-66.963$  MeV,  $B = 141.963$  MeV,  $C_{l0} = -60.4860$  MeV,  $C_{u0} = -99.7017$  MeV,  $\Lambda = 2.42401 p_{f0}$ , and  $\sigma = 1.26521$ .

### $f_{\tau}(\vec{r}, \vec{p})$  ~  $\frac{1}{\exp[(\frac{p^2}{2m} + U_{\tau}(\vec{p}) - \mu_{\tau})/T] + 1}$ **For nuclear matter**

**Relevant parameters: x, y, z JX, L.W. Chen, and B.A. Li, PRC 91, 014611 (2015)**



#### **np effective mass splitting and nuclear thermodynamics I**



#### **np effective mass splitting and nuclear thermodynamics II**



# **Shear viscosity**



## **Shear viscosity from a relaxation time approach**





**Shear viscosity:**

$$
\eta = \sum_{\tau} -\frac{d}{(2\pi)^3} \int \tau_{\tau}(p) \frac{p_z^2 p_x^2}{p_{\tau}} \frac{dn_{\tau}}{dp} dp_x dp_y dp_z
$$

$$
\tau = n, p
$$
  

$$
n_{\tau}^{*}(\vec{p}) = \left\{ \exp \left[ \left( \frac{p^{2}}{2m} + U_{\tau}(\vec{p}) - \mu_{\tau} \right) / T \right] + 1 \right\}^{-1}
$$

**From linearizing isospin-dependent BUU equation**

$$
\sigma_{NN}^{\text{medium}} = \sigma_{NN} \left( \frac{\mu_{NN}^{\star}}{\mu_{NN}} \right)^2
$$

**near Fermi surface**

**JX, PRC 84, 064603 (2011)**

#### **np effective mass splitting and nuclear transport properties**





**Photon absorption measurement**  $E_{-1} = 13.46 \text{ MeV}$ 

**Isovector giant dipole resonance Symmetry energy as a restoring force**

**Harmonic oscillator** *m k*  $\omega \propto$ 

 $MeV$ 

 $e^{2}$ fin $^{2}/0.2$ 

**Constrain the symmetry energy and the np effective mass splitting using the exp data of <sup>208</sup>Pb giant resonance**

**With random-phase approximation: Z. Zhang and L.W. Chen, PRC (2017)**

> **Hai-Yun Kong, JX\*, et al., Phys. Rev. C 95, 034324 (2017) With IBUU transport model:**

**Subtract quasideuteron excitation**  $\alpha_{\rm p}$  = 19.6 ± 0.6 fm<sup>3</sup>

# **Extract m<sup>s</sup> \* from ISGQR**

**Operator of isoscalar giant quadrupole resonance (ISGQR)** :

$$
\hat{Q}=\sum_{i=1}^{A}r_i^2Y_{20}\left(\hat{r_i}\right)=\sum_{i=1}^{A}\sqrt{\frac{5}{16\pi}}\left(3z_i^2-r_i^2\right)
$$
From  $\alpha-\text{}^{208}Pb$  scattering data

**Initial excitation of ISGQR (based on scaling relation)**:

$$
\begin{cases}\nx \to x/\lambda \\
y \to y/\lambda \\
z \to \lambda^2 z\n\end{cases}\n\begin{cases}\np_x \to \lambda p_x \\
p_y \to \lambda p_y \\
p_z \to p_z/\lambda^2\n\end{cases}
$$
\n
$$
\lambda = 1.1
$$

**Hai-Yun Kong, JX\*, et al., Phys. Rev. C 95, 034324 (2017)**



# **Extract L and**  $m_v^*$  **from IVGDR**

**Operator of** 

**isovector giant dipole resonance (IVGDR)**:

$$
\hat{D} = \frac{NZ}{A}\hat{X},
$$

**Initial excitation of IVGDR:**

$$
p_i \rightarrow \begin{cases} p_i - \eta \frac{N}{A} & \text{(protons)}\\ p_i + \eta \frac{N}{A} & \text{(neutrons)} \end{cases}
$$

**Strength function:**  $S(E) = \frac{-Im\left[\tilde{D}(\omega)\right]}{\pi n}$ **function:**  $S(E) = \frac{E - E}{\pi \eta}$  (a), (b), and (c) correspond to different values of L, m<sub>v</sub>

$$
\tilde{D}(\omega) = \int_{t_0}^{t_{max}} D(t) e^{i\omega t} dt
$$

**Extracted slope parameter of symmetry energy:**

$$
L = 53.85 \pm 10.29 \text{ (MeV)}
$$

**\***

**Electronic dipole polarizability:**

**Extracted np effective mass splitting:**

 $\int_0^\infty E^{-1} S(E) dE$  $\infty$  $=\int_{a}^{\infty} E^{-}$ 0  $\overline{\ }^{1}S(E)$ 

**Hai-Yun Kong, JX\*, et al., Phys. Rev. C 95, 034324 (2017)**



# **Isospin transport in HIC**

**The isovector current**:  $\vec{j}_n - \vec{j}_p = (D_n^{\rho} - D_p^{\rho})\nabla \rho - (D_n^I - D_p^I)\nabla \delta$ .

time

Initial configuration Pile-up/bounce-back

Complete equilibration

Partial transparency



**Isospin drift Isospin diffusion**



**Hudan et al., Phys. Rev. C. 86, 921603(R) (2012)**



**B.A. Li, L.W. Chen, and C.M. Ko, Phys. Rep. 464, 113 (2008)**



**M. B. Tsang et al., Phys. Rev. Lett. 92, 062701 (2004)**

**Jedele et al., Phys. Rev. Lett. 118, 062501 (2017)***.*

### **Isospin transport between projectile and target**

*<sup>40</sup>Ca+<sup>124</sup>Sn@b=1fm*

$$
\lambda(t) \equiv \frac{(n/p)_{y>0}}{(n/p)_{y<0}}
$$

#### **characterizing isospin stopping/equilibrium**

**Isospin relaxation time τ is defined when the isospin equlibration meter [λ(t)-1]/[λ(0)-1] first crosses 0.**



**H.S. Wang, JX\*, et al., arXiv: 1807.01849 [nucl-th]**

## **Isospin transport between neck and spectator**



### **Isospin transport between neck and spectator**



## **Final remarks**

## • **The effect of np effective mass splitting is as important as Esym in isospin dynamics of HIC and non-negligible for properties of asymmetric nuclear matter.**<br>The neutron-proton effective mass splitting  $m_{n-p}(\rho_0)$  in neutron-rich matter of isospin asymmetry  $\delta$  at saturation density.



## **Acknowledge**

**Collaborators**: **Bao-An Li (TAMUC) Lie-Wen Chen (SJTU)** **Students in SINAP**: **Hai-Yun Kong Han-Sheng Wang**

**Thank you!** xujun@sinap.ac.cn

# **Backup 1**

**MDI: from NN interaction to energy density**

**Effective two-body NN interaction:**

$$
v(\vec{r}_1, \vec{r}_2) = \frac{1}{6} t_3 (1 + x_3 P_\sigma) \rho^\alpha \left(\frac{\vec{r}_1 + \vec{r}_2}{2}\right) \delta(\vec{r}_1 - \vec{r}_2)
$$
  
+ 
$$
(W + BP_\sigma - HP_\tau - MP_\sigma P_\tau) \frac{e^{-\mu |\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|}
$$



**Hartree-Fock framework** 

**Potential energy density:**

$$
H(\rho,\delta) = \frac{A_1}{2\rho_0} \rho^2 + \frac{A_2}{2\rho_0} \rho^2 \delta^2 + \frac{B}{\sigma+1} \frac{\rho^{\sigma+1}}{\rho_0^{\sigma}} (1 - x\delta^2) \qquad H = \frac{\Lambda^2}{3\pi\rho_0} (-2A_2 - C_u) + \frac{1}{\rho_0} \sum_{\tau,\tau'} C_{\tau,\tau'} \iint d^3 p d^3 p' \frac{f_{\tau}(\vec{r}, \vec{p}) f_{\tau'}(\vec{r}, \vec{p}')}{1 + (\vec{p} - \vec{p}')^2/\Lambda^2} \qquad M = \frac{\Lambda^2}{3\pi\rho_0} (A_2 + 2C_u).
$$

#### **JX and C.M. Ko, PRC 82, 044311 (2010)**

$$
t_3 = \frac{16B}{(\sigma + 1)\rho_0^{\sigma}},
$$
  
\n
$$
x_3 = \frac{3x - 1}{2},
$$
  
\n
$$
\alpha = \sigma - 1,
$$
  
\n
$$
\mu = \Lambda,
$$
  
\n
$$
W = \frac{\Lambda^2}{3\pi \rho_0} (A_1 - A_2 + C_l - C_u),
$$
  
\n
$$
B = \frac{\Lambda^2}{6\pi \rho_0} (-A_1 + A_2 - 4C_l + 4C_u)
$$
  
\n
$$
H = \frac{\Lambda^2}{3\pi \rho_0} (-2A_2 - C_u),
$$
  
\n
$$
M = \frac{\Lambda^2}{\rho_0} (A_2 + 2C_u).
$$

# **Backup 2**

The cross section for the scattering of two nucleons in vacuum, from momentum states  $k_1$  and  $k_2$  to states  $k_3$ and  $k_4$  is given by

$$
\frac{d\sigma}{d\Omega} = \frac{L^3}{v_{\text{rel}}} \frac{2\pi}{\hbar} |t|^2 D_f , \qquad (2.1)
$$

**Effective mass scaling of NN cross section**

where 
$$
L^3
$$
 is the normalization volume,  $v_{\text{rel}}$  the relative velocity,

$$
v_{\text{rel}} = \hbar |{\bf k}_1 - {\bf k}_2| / m ,
$$
  
\n
$$
\frac{1}{\hbar} \frac{de(k, \rho)}{dk} = \frac{\hbar k}{m} + \frac{1}{\hbar} \frac{d}{dk} U(k, \rho) \equiv \frac{\hbar k}{m^*(k, \rho)}
$$
  
\nthe density of final states  
\n
$$
D_f = L^3 m |{\bf k}_3 - {\bf k}_4| / 32\pi^3 \hbar^2 .
$$
  
\n
$$
D_f' = D_f \frac{m^* [\sqrt{\frac{1}{2}(k_3^2 + k_4^2)}, \rho]}{m}
$$

the present context. Using  $t' \approx t$  we obtain

and the density

$$
\frac{d\sigma'}{d\Omega} = \frac{v_{\text{rel}}}{v_{\text{rel}}'} \frac{D_f'}{D_f} \frac{d\sigma}{d\Omega}
$$
\n
$$
= \frac{|\mathbf{k}_1 - \mathbf{k}_2|}{m} \left[ \left| \frac{\mathbf{k}_1}{m^*(k_1, \rho)} - \frac{\mathbf{k}_2}{m^*(k_2, \rho)} \right| \right]^{-1}
$$
\nPandharipande and Peiper  
\n
$$
\times \frac{m^*[\sqrt{(k_3^2 + k_4^2)/2}, \rho]}{m} \frac{d\sigma}{d\Omega}.
$$
\n(2.9)

PHYSICAL REVIEW C, VOLUME 65, 045201

#### Asymmetric nuclear matter: The role of the isovector scalar channel

B. Liu,<sup>1,2</sup> V. Greco,<sup>1</sup> V. Baran,<sup>1,3</sup> M. Colonna,<sup>1</sup> and M. Di Toro<sup>1</sup> <sup>1</sup>Laboratorio Nazionale del Sud, Via S. Sofia 44, I-95123 Catania, Italy and University of Catania, I-95123 Catania, Italy <sup>2</sup>Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100039, China <sup>3</sup>NIPNE-HH, Bucharest, Romania (Received 5 October 2001; published 19 March 2002) **Langrangian**  $\sigma, \omega, \rho, \delta$ 900  $\mathcal{L} = \bar{\psi} \left[ i \gamma_{\mu} \partial^{\mu} - (M_N - g_{\sigma} \phi - g_{\delta} \vec{\tau} \cdot \vec{\delta}) - g_{\omega} \gamma_{\mu} \omega^{\mu} \right.$ <br>  $-g_{\rho} \gamma^{\mu} \vec{\tau} \cdot \vec{b}_{\mu} \left] \psi + \frac{1}{2} (\partial_{\mu} \phi \partial^{\mu} \phi - m_{\sigma}^2 \phi^2) - U(\phi) \right.$ <br>  $+ \frac{1}{2} m_{\omega}^2 \omega_{\mu} \omega^{\mu} + \frac{1}{2} m_{\rho}^2 \vec{b}_{\mu} \cdot \vec{b}$  $\alpha = 0.5$ **Dirac effective mass**800 700 600 500 **Field equation**  $m_s^2 \delta_3 = g_\delta \bar{\psi} \tau_3 \psi = g_\delta \rho_{S3}$ 400  $0.0$  $0.5$  $2.0$  $2.5$  $1.0$ 1.5  $\rho_{\rm B}/\rho_{\rm 0}$ 





#### **D.D.S. Coupland et al., arXiv:1406.4546**

$$
DR(n/p) = \frac{[Y(n)/Y(p)]_{124Sn+124Sn}}{[Y(n)/Y(p)]_{112Sn+112Sn}}
$$

**Still below the NSCL/MSU data no matter how the symmetry energy and effective mass splitting is adjusted.**

**H.Y. Kong, Y. Xia, JX\*, L.W. Chen, B.A. Li, and Y.G. Ma PRC 91, 047601 (2015)**

## **How to explain DR(n/p) data?**

DR(n/p)



$$
E_{kin} = \alpha \int_0^\infty \frac{\hbar^2 k^2}{2m} n(k) k^2 dk
$$

$E_{sym}^{kin} = E_{PNM}^{kin} - E_{SNM}^{kin} < 0$				
12	...	Fermi gas	...	Fermi gas
10	...	...	Fermi gas	
0.8	Tenso +	...	...	...
0.9	...	...	...	
0.4	...	...	...	
0.2	...	...	...	
0.3	...	...	...	

**PNM** 

 $1.0$ 

 $k$ (fm $^1$ )

1.5

 $2.0$ 

2.5

**SNM** 

 $1.0$ 

 $k(fm^1)$ 

 $1.5$ 

 $2.0$ 

 $0.0$ 

 $0.5$ 

 $0.5$ 

 $0.0$ 

 $0.0$ 





**(x=0, y=-115 Mev) and (x=1,y=115 MeV) have almost the same density dependence of Esym, but Usym at lower momenta is different, and the difference increases with increasing density.**

**JX, L.W. Chen, and B.A. Li, PRC 91, 014611 (2015)**