Nusym2018, Sep. 10-13th, 2018 Hanwha Resort, Haeundae, Busan

Relevant studies on isospin splitting of nucleon effective mass Jun Xu (徐骏)

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Based on:

Phys. Rev. C 91, 014611 (2015); Phys. Rev. C 91, 037601 (2015); Phys. Rev. C 91, 047601 (2015); Phys. Rev. C 95, 034324 (2017); Prog. Part. Nucl. Phys. 99, 29 (2018); arXiv: 1807.01849 [nucl-th]

Nucleon effective mass

Electron effective mass:

iı

dispersion relation different from free electrons near the energy gap

$$\frac{1}{m^*} = \frac{1}{\hbar^2} \frac{d^2 \epsilon}{dk^2}$$
Nucleon effective mass:
in-medium interaction lowers the nucleon mass

$$\mathbf{P}\text{-mass:} \quad \frac{\widetilde{m}_{\tau}^*}{m} = \left[1 + \frac{m}{p} \frac{\partial U_{\tau}(p, \varepsilon_{\tau}(p))}{\partial p}\right]^{-1}$$

$$\tau = n, p$$
Dirac mass: $m_{Dirac,\tau}^* = m + \Sigma_{\tau}^s$

$$\Sigma_{\tau}^{s:} \text{ scalar self-energy}$$

$$\frac{\widetilde{m}_{\tau}^*}{m} = \frac{1}{2} \frac{\partial U_{\tau}(p, \varepsilon_{\tau}(p))}{\partial \varepsilon_{\tau}}$$

Skyrme-Hartree-Fock: non-relativistic, momentum-dependent potential **Relativistic mean-field:** relativistic, meson exchange

Comparison between non-relativistic mass with relativistic mass Lorentz effective mass:

$$m^*_{Lorentz,\tau} = m \left(1 - \frac{dU_{SEP,\tau}}{dE_{\tau}} \right) = \left(E_{\tau} - \Sigma^0_{\tau} \right) \left(1 - \frac{d\Sigma^0_{\tau}}{dE_{\tau}} \right) - \left(m + \Sigma^s_{\tau} \right) \frac{d\Sigma^s_{\tau}}{dE_{\tau}} + m - E_{\tau}$$

M. Jaminon and C. Mahaux, PRC (1989); B.A. Li, L.W. Chen, and C.M. Ko, Phys. Rep. (2008); Z.X. Li, Nucl. Phys. Rev. (2014)

Neutron-proton effective mass splitting

Isospin dynamics in nuclear reactions

$$\frac{d\vec{p}}{dt} = -\nabla U_{\tau} \qquad E(\rho,\delta) = E_0(\rho) + E_{sym}(\rho)\delta^2 + O(\delta^4), \delta = \frac{\rho_n - \rho_p}{\rho}$$

$$\frac{d\vec{r}}{dt} = \frac{\vec{p}}{m} + \nabla_p U_{\tau} = \frac{\vec{p}}{m_{\tau}^*} \qquad Symmetry energy/potential$$

$$Effective mass \quad \frac{m_{\tau}^*}{m} = \left[1 + \frac{m}{p} \frac{dU_{\tau}(p)}{dp}\right]^{-1}, \tau = n, p$$

(non-relativistic p-mass)

Isoscalar effective mass: $m_s^* \approx m_{n(p)}^* (\delta = 0)$ **Isovector effective mass:** $m_n^* - m_p^* \approx \frac{2m_s^*}{m_v^*} (m_s^* - m_v^*) \delta$ **Hugenholtz–Van Hove theorem**

$$E_{\rm sym}(\rho) = \frac{1}{3} \frac{\hbar^2 k_F^2}{2m_0^*} + \frac{1}{2} U_{\rm sym}(\rho, k_F) \qquad L(\rho) \approx \frac{2}{3} \frac{\hbar^2 k_F^2}{2m_0^*} + \frac{3}{2} U_{\rm sym}(\rho, k_F) + \frac{\partial U_{\rm sym}}{\partial k} \Big|_{k_F} k_F$$

C. Xu, B.A. Li, and L.W. Chen, PRC (10); R. Chen, B.J. Cai, L.W. Chen, B.A. Li, X.H. Li, and C. Xu, PRC (12)



np effective mass and HIC dynamics I



-0.02

1.2L

200

E/A(MeV)

100

300

100

E/A(MeV)

200

0.5

0.5

p/p

1.5

np effective mass and HIC dynamics II



An improved momentum-dependent interaction (ImMDI)

Effective NN potential:

$$v(\vec{r}_1, \vec{r}_2) = \frac{1}{6} t_3 (1 + x_3 P_{\sigma}) \rho^{\gamma} \left(\frac{\vec{r}_1 + \vec{r}_2}{2}\right) \delta(\vec{r}_1 - \vec{r}_2)$$

$$e^{-\mu |\vec{r}_1 - \vec{r}_2|}$$

$$+(W+GP_{\sigma}-HP_{\tau}-MP_{\sigma}P_{\tau})\frac{e^{-\mu(\tau-\tau_{2})}}{|\vec{r}_{1}-\vec{r}_{2}|}$$

Potential energy density:

$$V(\rho,\delta) = \frac{A_u \rho_n \rho_p}{\rho_0} + \frac{A_l}{2\rho_0} \left(\rho_n^2 + \rho_p^2\right) + \frac{B}{\sigma + 1} \frac{\rho^{\sigma+1}}{\rho_0^{\sigma}} \times (1 - x\delta^2) + \frac{1}{\rho_0} \sum_{\tau,\tau'} C_{\tau,\tau'}$$

$$\times \iint d^3p d^3p' \frac{f_\tau(\vec{r},\vec{p}\,)f_{\tau'}(\vec{r},\vec{p}\,')}{1+(\vec{p}-\vec{p}\,')^2/\Lambda^2}.$$

Mean-field potential:

$$U_{\tau}(\rho,\delta,\vec{p}\,) = A_u \frac{\rho_{-\tau}}{\rho_0} + A_l \frac{\rho_{\tau}}{\rho_0}$$

$$+ B\left(\frac{\rho}{\rho_0}\right)^{\sigma} (1 - x\delta^2) - 4\tau x \frac{B}{\sigma + 1} \frac{\rho^{\sigma - 1}}{\rho_0^{\sigma}} \delta \rho + \frac{2C_l}{\rho_0} \int d^3 p' \frac{f_\tau(\vec{r}, \vec{p}\,')}{1 + (\vec{p} - \vec{p}\,')^2 / \Lambda^2} \\ + \frac{2C_u}{\rho_0} \int d^3 p' \frac{f_{-\tau}(\vec{r}, \vec{p}\,')}{1 + (\vec{p} - \vec{p}\,')^2 / \Lambda^2}.$$

$$\begin{aligned} A_l(x,y) &= A_{l0} + y + x \frac{2B}{\sigma + 1}, \\ A_u(x,y) &= A_{u0} - y - x \frac{2B}{\sigma + 1}, \\ C_l(y,z) &= C_{l0} - 2(y - 2z) \frac{p_{f0}^2}{\Lambda^2 \ln\left[\left(4p_{f0}^2 + \Lambda^2\right)/\Lambda^2\right]}, \\ C_u(y,z) &= C_{u0} + 2(y - 2z) \frac{p_{f0}^2}{\Lambda^2 \ln\left[\left(4p_{f0}^2 + \Lambda^2\right)/\Lambda^2\right]}, \end{aligned}$$

0, we choose the following empirical values: $\rho_0 = 0.16 \text{ fm}^{-3}$, $E_0(\rho_0) = -16 \text{ MeV}$, $K_0 = 230 \text{ MeV}$, $m_s^{\star} = 0.7m$, $E_{\text{sym}}(\rho_0) = 32.5 \text{ MeV}$, and $U_{0,\infty} = 75 \text{ MeV}$, which lead to $A_{l0} = A_{u0} = -66.963 \text{ MeV}$, B = 141.963 MeV, $C_{l0} = -60.4860 \text{ MeV}$, $C_{u0} = -99.7017 \text{ MeV}$, $\Lambda = 2.42401 p_{f0}$, and $\sigma = 1.26521$.

$\rho_{-\tau} \qquad \text{For nuclear matter} \\ f_{\tau}(\vec{r}, \vec{p}\,)_{.} \sim \frac{1}{\exp\left[\left(\frac{p^2}{2m} + U_{\tau}(\vec{p}) - \mu_{\tau}\right)/T\right] + 1}$

Relevant parameters: x, y, z X, L.W. Chen, and B.A. Li, PRC 91, 014611 (2015)



np effective mass splitting and nuclear thermodynamics I



np effective mass splitting and nuclear thermodynamics II



Shear viscosity



Shear viscosity from a relaxation time approach



 $\sigma_{NN}^{\text{medium}} = \sigma_{NN} \left(\frac{\mu_{NN}^{\star}}{\mu_{NN}} \right)$



Shear viscosity:

$$\eta = \sum_{\tau} -\frac{d}{(2\pi)^3} \int \tau_{\tau}(p) \frac{p_z^2 p_x^2}{p m_{\tau}^{\star}} \frac{dn_{\tau}}{dp} dp_x dp_y dp_z$$

$$n_{\tau}^{*}(\vec{p}) = \left\{ \exp\left[\left(\frac{p^{2}}{2m} + U_{\tau}(\vec{p}) - \mu_{\tau}\right) / T\right] + 1 \right\}^{-1}$$

near Fermi surface

JX, PRC 84, 064603 (2011)

np effective mass splitting and nuclear transport properties





Photon absorption measurement $E_{-1} = 13.46$ MeV

Isovector giant dipole resonance Symmetry energy as a restoring force

Harmonic oscillator $\omega \propto \sqrt{\frac{k}{m}}$

²fm²/0.2 MeV)

Constrain the symmetry energy and the np effective mass splitting using the exp data of ²⁰⁸Pb giant resonance

With random-phase approximation: Z. Zhang and L.W. Chen, PRC (2017)

> With IBUU transport model: Hai-Yun Kong, JX*, et al., Phys. Rev. C 95, 034324 (2017)

Subtract quasideuteron excitation $\alpha_{\rm D} = 19.6 \pm 0.6 \, {\rm fm^3}$

Extract m_s^{*} from ISGQR

Operator of isoscalar giant quadrupole resonance (ISGQR) :

$$\begin{split} \hat{Q} &= \sum_{i=1}^{A} r_i^2 Y_{20}\left(\hat{r_i}\right) = \sum_{i=1}^{A} \sqrt{\frac{5}{16\pi}} \left(3z_i^2 - r_i^2\right) \\ & \text{From } \alpha - ^{208}\!Pb \text{ scattering data} \end{split}$$

Initial excitation of ISGQR (based on scaling relation):

$$\begin{cases} x \to x/\lambda \\ y \to y/\lambda \\ z \to \lambda^2 z \end{cases} \begin{cases} p_x \to \lambda p_x \\ p_y \to \lambda p_y \\ p_z \to p_z/\lambda^2 \end{cases}$$
$$\lambda = 1.1$$

Hai-Yun Kong, JX*, et al., Phys. Rev. C 95, 034324 (2017)



Extract L and m_v^{*} from **IVGDR**

Operator of

isovector giant dipole resonance (IVGDR):

$$\hat{D} = \frac{NZ}{A}\hat{X},$$

Initial excitation of IVGDR:

$$p_i \rightarrow \begin{cases} p_i - \eta \frac{N}{A} & (\text{protons}) \\ p_i + \eta \frac{N}{A} & (\text{neutrons}) \end{cases}$$

Strength function: $S(E) = \frac{-Im\left[\tilde{D}(\omega)\right]}{\pi\eta}$

$$\tilde{D}(\omega) = \int_{t_0}^{t_{max}} D(t) e^{i\omega t} dt$$

Extracted slope parameter of symmetry energy:

(a), (b), and (c) correspond to different values of L, m_v^{*}

$$L = 53.85 \pm 10.29 \; (MeV)$$

Electronic dipole polarizability:

Extracted np effective mass splitting:

 $\alpha_D = \frac{\hbar c}{2\pi^2} \int \frac{\sigma_f}{\omega^2} d\omega = \int_0^\infty E^{-1} S(E) dE \qquad (m_n^* - m_p^*)/m = (0.216 \pm 0.114)\delta$

Hai-Yun Kong, JX*, et al., Phys. Rev. C 95, 034324 (2017)



Isospin transport in HIC

The isovector current: $\vec{j}_n - \vec{j}_p = (D_n^{\rho} - D_p^{\rho})\nabla\rho - (D_n^I - D_p^I)\nabla\delta$

ISOS

Isospin drift

Isospin diffusion



Initial configuration

 $< R_i >$

0.0

-0.5

 $\begin{array}{c} D_n^\rho - D_p^\rho \propto 4\delta \frac{\partial E_{sym}}{\partial \rho} \\ D_n^I - D_p^I \propto 4\rho E_{sym} \\ \hline \mathbf{V}_{\mathbf{c.m.}} \\ \hline \mathbf{V}_{\mathbf{c.m.}} \\ \mathbf{TLF}^{\star} \\ \mathbf{TLF}^{\star$

Hudan et al., Phys. Rev. C. 86, 921603(R) (2012)



M. B. Tsang et al., Phys. Rev. Lett. 92, 062701 (2004)

 $-1.0 \mid \overline{112} \operatorname{Sn} + \overline{112} \operatorname{Sn}$ (no diffusion)

Jedele et al., Phys. Rev. Lett. 118, 062501 (2017).

Isospin transport between projectile and target

⁴⁰Ca+¹²⁴Sn@b=1fm

$$\lambda(t) \equiv \frac{(n/p)_{y>0}}{(n/p)_{y<0}}$$

characterizing isospin stopping/equilibrium

Isospin relaxation time τ is defined when the isospin equibration meter $[\lambda(t)-1]/[\lambda(0)-1]$ first crosses 0.



H.S. Wang, JX*, et al., arXiv: 1807.01849 [nucl-th]

Isospin transport between neck and spectator



Isospin transport between neck and spectator



Final remarks

• The effect of np effective mass splitting is as important as Esym in isospin dynamics of HIC and non-negligible for properties of asymmetric nuclear matter.

The neutron-proton effective mass splitting $m_{n-p}(\rho_0)$ in neutron-rich matter of isospin asymmetry δ at saturation density.

Approach	$m_{n-p}(ho_0)$	Reference
Optical model Analyses of nucleon–nucleus scattering data	$(0.41 \pm 0.15)\delta$	[41] X.H. Li et al.
Universal nuclear energy density functional	0.6378	[92] M. Kortelainen et al.
ISGQR, IVGDR & dipole polarizability of ²⁰⁸ Pb using SHF+RPA	$(0.27 \pm 0.15)\delta$	[42] Z. Zhang and L.W. Chen
ISGQR, IVGDR & dipole polarizability of ²⁰⁸ Pb using IBUU	$(0.216 \pm 0.114)\delta$	[106] K.Y. Kong et al.
General analyses of symmetry energy using HVH theorem	$(0.27 \pm 0.25)\delta$	[67] B.A. Li and X. Han
Chiral effective field theory	$(0.309 \pm 0.227)\delta$	[128,135] Jeremy Holt et al.
BCPM energy functional	0.2δ	[141] M. Baldo et al.
General analyses of energy density functional	$(0.17 \pm 0.24)\delta$	[143] C. Mondal et al.

Acknowledge

Collaborators: Bao-An Li (TAMUC) Lie-Wen Chen (SJTU) Students in SINAP: Hai-Yun Kong Han-Sheng Wang

Thank you! xujun@sinap.ac.cn

Backup 1

MDI: from NN interaction to energy density

 $t_3 = \frac{16B}{(\sigma+1)\rho_c^{\sigma}},$

 $W = \frac{\Lambda^2}{3\pi\rho_0} (A_1 - A_2 + C_l - C_u),$

 $B = \frac{\Lambda^2}{6\pi\rho_0} (-A_1 + A_2 - 4C_l + 4C_u)$

 $x_3 = \frac{3x - 1}{2},$

 $\alpha = \sigma - 1$.

 $\mu = \Lambda$,

Effective two-body NN interaction:

$$v(\vec{r}_1, \vec{r}_2) = \frac{1}{6} t_3 (1 + x_3 P_\sigma) \rho^\alpha \left(\frac{\vec{r}_1 + \vec{r}_2}{2}\right) \delta(\vec{r}_1 - \vec{r}_2) + (W + B P_\sigma - H P_\tau - M P_\sigma P_\tau) \frac{e^{-\mu |\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|}$$



Hartree-Fock framework

Potential energy density:

$$\begin{split} H(\rho,\delta) &= \frac{A_1}{2\rho_0}\rho^2 + \frac{A_2}{2\rho_0}\rho^2\delta^2 + \frac{B}{\sigma+1}\frac{\rho^{\sigma+1}}{\rho_0^{\sigma}}(1-x\delta^2) \qquad H = \frac{\Lambda^2}{3\pi\rho_0}(-2A_2 - C_u), \\ &+ \frac{1}{\rho_0}\sum_{\tau,\tau'} C_{\tau,\tau'}\int\!\!\int\!d^3p d^3p' \frac{f_{\tau}(\vec{r},\vec{p})f_{\tau'}(\vec{r},\vec{p}')}{1+(\vec{p}-\vec{p}')^2/\Lambda^2} \quad M = \frac{\Lambda^2}{3\pi\rho_0}(A_2 + 2C_u). \end{split}$$

JX and C.M. Ko, PRC 82, 044311 (2010)

Backup 2

The cross section for the scattering of two nucleons in vacuum, from momentum states \mathbf{k}_1 and \mathbf{k}_2 to states \mathbf{k}_3 and \mathbf{k}_4 is given by

$$\frac{d\sigma}{d\Omega} = \frac{L^3}{v_{\rm rel}} \frac{2\pi}{\hbar} |t|^2 D_f , \qquad (2.1)$$

Effective mass scaling of NN cross section

where L^3 is the normalization volume, v_{rel} the relative velocity,

$$v_{\rm rel} = \hbar |\mathbf{k}_1 - \mathbf{k}_2| / m , \qquad \qquad \frac{1}{\hbar} \frac{de(k,\rho)}{dk} = \frac{\hbar k}{m} + \frac{1}{\hbar} \frac{d}{dk} U(k,\rho) \equiv \frac{\hbar k}{m^*(k,\rho)}$$

and the density of final states
$$D_f = L^3 m |\mathbf{k}_3 - \mathbf{k}_4| / 32\pi^3 \hbar^2 . \qquad \qquad D'_f = D_f \frac{m^* [\sqrt{\frac{1}{2}(k_3^2 + k_4^2)}, \rho]}{m}$$

the present context. Using $t' \approx t$ we obtain

 $v_{\rm rel} = \hbar$

$$\frac{d\sigma'}{d\Omega} = \frac{v_{\text{rel}}}{v_{\text{rel}}'} \frac{D_f'}{D_f} \frac{d\sigma}{d\Omega}$$
(2.8)
$$= \frac{|\mathbf{k}_1 - \mathbf{k}_2|}{m} \left[\left| \frac{\mathbf{k}_1}{m^*(k_1, \rho)} - \frac{\mathbf{k}_2}{m^*(k_2, \rho)} \right| \right]^{-1}$$
Pandharipande and Peiper
PRC (1992)
$$\times \frac{m^* \left[\sqrt{(k_3^2 + k_4^2)/2}, \rho \right]}{m} \frac{d\sigma}{d\Omega} .$$
(2.9)

PHYSICAL REVIEW C, VOLUME 65, 045201

Asymmetric nuclear matter: The role of the isovector scalar channel

B. Liu,^{1,2} V. Greco,¹ V. Baran,^{1,3} M. Colonna,¹ and M. Di Toro¹ ¹Laboratorio Nazionale del Sud, Via S. Sofia 44, I-95123 Catania, Italy and University of Catania, I-95123 Catania, Italy ²Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100039, China ³NIPNE-HH, Bucharest, Romania (Received 5 October 2001; published 19 March 2002) Langrangian $\sigma, \omega, \rho, \delta$ $\mathcal{L} = \bar{\psi} [i\gamma_{\mu}\partial^{\mu} - (M_N - g_{\sigma}\phi - g_{\delta}\vec{\tau} \cdot \vec{\delta}) - g_{\omega}\gamma_{\mu}\omega^{\mu}$ 900 $\alpha = 0.5$ **Dirac effective mass-**800 $-g_{\rho}\gamma^{\mu}\tilde{\tau}\cdot\tilde{b}_{\mu}]\psi + \frac{1}{2}(\partial_{\mu}\phi\partial^{\mu}\phi - m_{\sigma}^{2}\phi^{2}) - U(\phi)$ 700 $+ \frac{1}{2}m_{\omega}^{2}\omega_{\mu}\omega^{\mu} + \frac{1}{2}m_{\rho}^{2}\vec{b}_{\mu}\cdot\vec{b}^{\mu} + \frac{1}{2}(\partial_{\mu}\vec{\delta}\cdot\partial^{\mu}\vec{\delta} - m_{\delta}^{2}\vec{\delta}^{2})$ 600 $-\frac{1}{4}F_{\mu\nu}F^{\mu\nu}-\frac{1}{4}\vec{G}_{\mu\nu}\vec{G}^{\mu\nu}.$ 500 **Field equation** $m_{\delta}^2 \delta_3 = g_{\delta} \bar{\psi} \tau_3 \psi = g_{\delta} \rho_{S3}$ 400 0.0 0.5 2.0 2.5 1.0 1.5 Nucleon effective mass $M_i^{\star} = M_N - g_{\sigma} \phi + g_{\delta} \delta_3$ $\rho_{\rm B}/\rho_0$



Skyrme	$S_0({\rm MeV})$	L (MeV)	m_n^*/m_n	m_p^*/m_p
SLy4	32	46	0.68	0.71
$\rm SkM^*$	30	46	0.82	0.76

D.D.S. Coupland et al., arXiv:1406.4546

$$DR(n/p) = \frac{[Y(n)/Y(p)]_{124}Sn + 124}{[Y(n)/Y(p)]_{112}Sn + 112}Sn}$$

Still below the NSCL/MSU data no matter how the symmetry energy and effective mass splitting is adjusted.

H.Y. Kong, Y. Xia, JX*, L.W. Chen, B.A. Li, and Y.G. Ma PRC 91, 047601 (2015)

How to explain DR(n/p) data?



$$E_{kin} = \alpha \int_0^\infty \frac{\hbar^2 k^2}{2m} n(k) k^2 dk$$







(x=0, y=-115 Mev) and (x=1,y=115 MeV) have almost the same density dependence of Esym, but Usym at lower momenta is different, and the difference increases with increasing density.

JX, L.W. Chen, and B.A. Li, PRC 91, 014611 (2015)