

# From homogeneous matter to finite nuclei: KIDS functional

**Panagiota Papakonstantinou**

**Rare Isotope Science Project – IBS Daejeon, S.Korea**

*A rendering of the future RAON complex, under construction in Daejeon*



- ❖ Chang Ho Hyun, Daegu University
- ❖ Tae-Sun Park, SKKU
- ❖ Yeunhwan Lim, IBS (now in Texas)
  - Korea
  - IBS (that's me and YHL)
  - Daegu
  - SKKU
- ❖ Hana Gil, Kyungpook National University
- ❖ Yongseok Oh, Kyungpook National University
- ❖ Gilho Ahn, University of Athens, Greece
- ❖ Young-Min Kim (UNIST) .....



성균관대학교  
SUNGKYUNKWAN UNIVERSITY



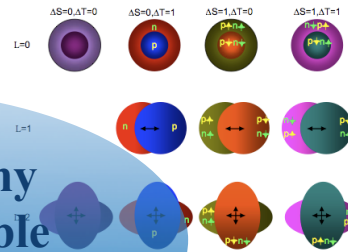
- ❖ Give KIDS any  $T=0$  EoS you want to test or apply:
  - In terms of  $\{\rho_0, E_0, K_0\}, \{J, L, K_{\text{sym}}, Q_{\text{sym}}\}$ , e.g., for your sensitivity studies, or from new constraints
  - In the form of pseudodata from ab initio calculations ( $\chi$ EFT, APR, ...)
  - $m_s^*$ ,  $m_v^*$ ,  $Q_0$ , if you wish
- ❖ We can reverse-engineer a Skyrme “interaction” which reproduces that EoS
- ❖ And can then test it directly in
  - Nuclear structure (Skyrme-Hartree-Fock)
  - Giant/pygmy resonances (RPA)
  - ...

- ❖ Introduction
- ❖ **KIDS functional:**
  - **Natural Ansatz + Skyrme formalism**
  - Fits to APR: KIDS-ad2 set
  - Successful application to nuclei
  - Effective mass parameters remain free
  - **Proof of principle: From APR straight to nuclei**
- ❖ Symmetry energy and KIDS functional
  - Freedom to vary all parameters at will
  - **Relevance of skewness parameter  $Q_{\text{sym}}$**
- ❖ Summary

# My interests and motivation

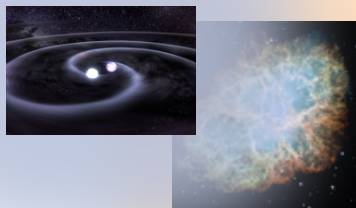
RI facilities

Giant and Pygmy Resonances; stable and exotic nuclei



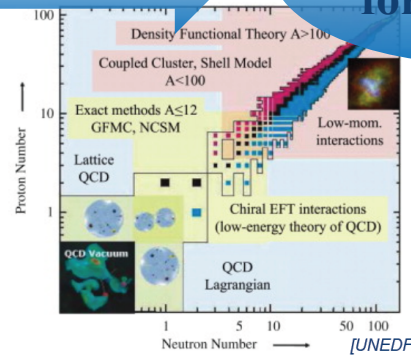
astronomy, HICs

EoS / NM properties



Underlying forces, EDF

pseudodata



# Skyrme-type interaction and EDF

$$\begin{aligned}
 V_{\text{Skyrme}} = & t_0(1 + x_0 P_x) \delta(\mathbf{r}_i - \mathbf{r}_j) \\
 & + \frac{1}{2} t_1(1 + x_1 P_x) \{ p_{12}^2 \delta(\mathbf{r}_i - \mathbf{r}_j) + \delta(\mathbf{r}_i - \mathbf{r}_j) p_{12}^2 \} \\
 & + t_2(1 + x_2 P_x) p_{12} \cdot \delta(\mathbf{r}_i - \mathbf{r}_j) p_{12} \\
 & + \frac{1}{6} t_3(1 + x_3 P_x) \rho^\alpha(\bar{r}) \delta(\mathbf{r}_i - \mathbf{r}_j) \\
 & + i t_4 p_{12} \cdot \delta(\mathbf{r}_i - \mathbf{r}_j) (\boldsymbol{\sigma}_i + \boldsymbol{\sigma}_j) \times p_{12}
 \end{aligned}$$

$$\begin{aligned}
 E_{\text{Skyrme}} = & 4\pi \int_0^\infty dr r^2 \left\{ \frac{\hbar^2}{2m} \tau + \frac{1}{2} t_0(1 + \frac{1}{2} x_0) \rho^2 - \frac{1}{2} t_0(\frac{1}{2} + x_0) \sum_q \rho_q^2 \right. \\
 & + \frac{1}{12} t_3(1 + \frac{1}{2} x_3) \rho^{\alpha+2} - \frac{1}{12} t_3(\frac{1}{2} + x_3) \rho^\alpha \sum_q \rho_q^2 \\
 & + \frac{1}{4} [t_1(1 + \frac{1}{2} x_1) + t_2(1 + \frac{1}{2} x_2)] \rho \tau \\
 & - \frac{1}{4} [t_1(\frac{1}{2} + x_1) - t_2(\frac{1}{2} + x_2)] \sum_q \rho_q \tau_q \\
 & - \frac{1}{16} [3t_1(1 + \frac{1}{2} x_1) - t_2(1 + \frac{1}{2} x_2)] \rho \nabla^2 \rho \\
 & + \frac{1}{16} [3t_1(\frac{1}{2} + \frac{1}{2} x_1) + t_2(\frac{1}{2} + \frac{1}{2} x_2)] \sum_q \rho_q \nabla^2 \rho_q \\
 & \left. - \frac{1}{2} t_4 [\rho \nabla J + \sum_q \rho_q \nabla J_q] \right\}, \quad (2.6)
 \end{aligned}$$

“interaction”



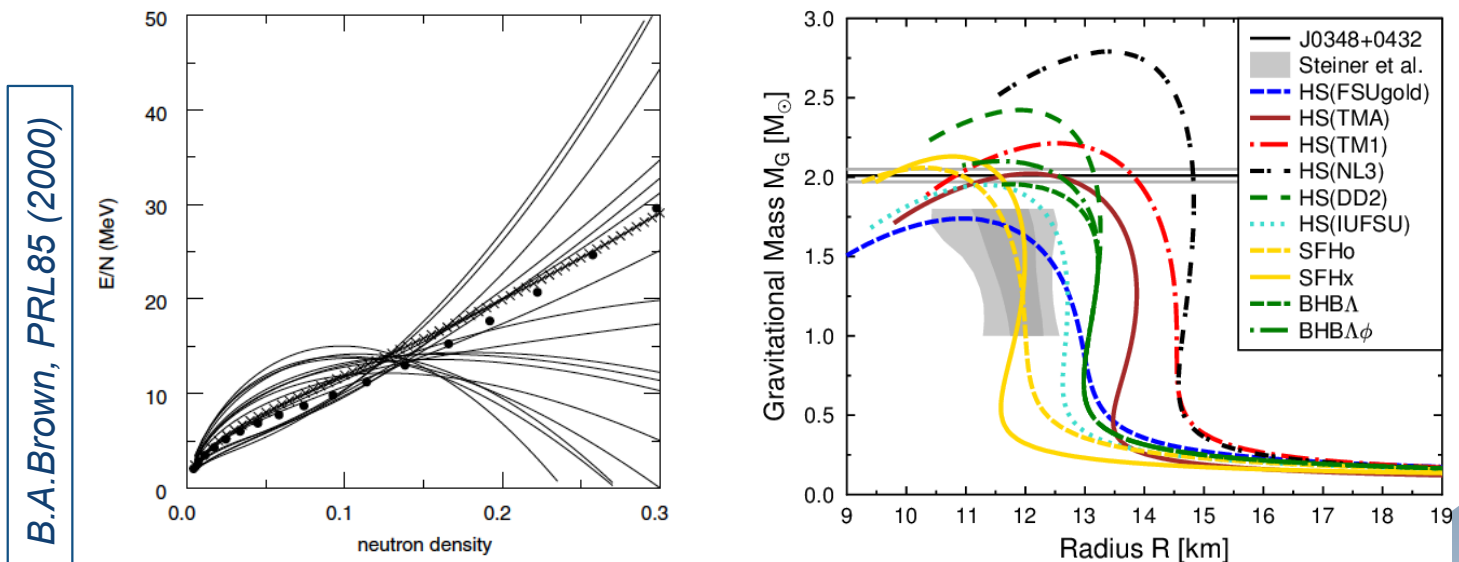
energy density

EoS

$t_0$ : attraction  
 $t_3 \rho^\alpha$ : repulsion  
 $t_{1,2}$ : kinetic

# Phenomenological energy-density functionals

- ❖ Hundreds of EDF models for nuclei and nuclear matter
  - Typically  $\sim 10$  parameters fitted to nuclear properties using different data sets and fitting protocols
  - Very different predictions below and above  $\rho_0$
  - Very different predictions at large isospin asymmetries
- [cf Dutra et al., PRC85(2012)035201]



# Phenomenological energy-density functionals

- ❖ Only few of the hundreds of EDF models can simultaneously describe nuclear matter and finite nuclei

[M.Dutra et al., PRC85(2012)035201; P.D.Stevenson et al., AIP Conf.Proc.1529,262]

- ❖ Spurious correlations among parameters (e.g.,  $K_0, m^*$ )
- ❖ ... while binding energies and radii “prefer” different values for the effective mass

[M.Bender et al., Rev. Mod. Phys. 75,121]

Not satisfactory!

- *If an EoS is “realistic”, it should be able to correspond to nuclear properties by definition*



# NUCLEAR ENERGY DENSITY FUNCTIONAL FOR KIDS

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- Natural Ansatz for energy density – inspired by QMBT / EFT
- Convenient Skyrme formalism for nuclei

$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} = \mathcal{T}(\rho, \delta) + \sum_{i=0}^3 c_i(\delta) \rho^{1+i/3}$$

- ❖ If I have SNM and PNM, namely  $c_i(0)$  and  $c_i(1)$  (plus the quadratic approximation) I obtain analytically:  
 $\{\rho_0, E_0, K_0, Q_0\}, \{J, L, K_{\text{sym}}, Q_{\text{sym}}\}$
- ❖ And vice versa; or I can fit to SNM/PNM pseudodata
- ❖ First, a few words on:
  - Motivation for Ansatz
  - Why 4 terms? Why low order?

for details: *PP, Park, Lim, Hyun, Phys. Rev. C 97, 014312 (2018)*

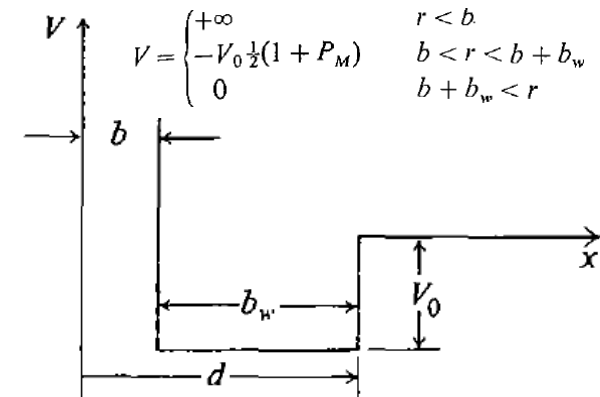
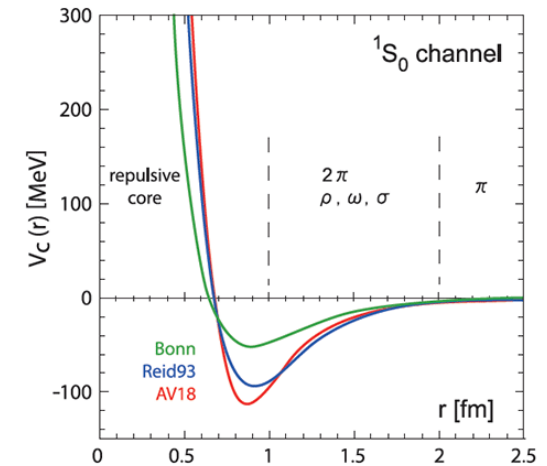
Fetter and Walecka, "Quantum theory of many-particle systems"

- ❖ Realistic potential: strong repulsive core plus attraction at longer range
- ❖ Apply Brueckner methodology in the calculation of nuclear matter energy

➔ Result:  $k_F^2, k_F^3, k_F^4, k_F^5, k_F^6, \dots$ ,  
converging

- ◆ Even powers: from repulsive part
- ◆ Odd powers: from both

➔ The Fermi momentum is the relevant variable : **powers of  $\rho^{1/3}$**



PP, Park, Lim, Hyun, Phys. Rev. C 97, 014312

## ❖ Saturation density is low...

- with respect to (effective) boson exchange range (?)
  - one-pion exchange: vanishing expectation value
  - next boson: rho with  $m_\rho \sim 775 \text{ MeV} \sim 4 \text{ fm}^{-1}$
- Effective Lagrangian in powers of  $k_F/m_\rho$

## ❖ Expansion of $E/A$ in powers of $k_F$

- ... which means, again, powers of  $\rho^{1/3}$
- The Fermi momentum as the relevant variable
- $k_F^3$  and  $k_F^4$  (i.e., coupling  $\sim \rho^{1/3}$ ) known to be important for obtaining saturation [Kaiser et al., NPA697(2002)]

## ❖ Dilute Fermi gas: plus logarithmic terms

*H.-W. Hammer, R.J. Furnstahl / Nuclear Physics A 678 (2000) 277–294*

Natural Ansatz for potential energy: powers of  $k_F \sim \rho^{1/3}$

But how many powers? Which are relevant?

- ❖ Fit to homogeneous matter pseudodata
  - Variational Monte Carlo (APR, FP)
- ❖ Statistical analysis of fit quality; naturalness
- ❖ Keep only the important terms! No overtraining

$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} = \mathcal{T}(\rho, \delta) + \sum_{i=0}^3 c_i(\delta) \rho^{1+i/3}$$

- SNM: 3 terms suffice in converging hierarchy ( $c_3(0)=0$ )
- PNM: 4 terms necessary (\*different preferences\*)

# Nuclear energy density functional for KIDS

PP, Park, Lim, Hyun, Phys. Rev. C 97, 014312

Natural Ansatz for potential

But how many powers?

- ❖ Fit to homogeneous

- Variational Monte Carlo

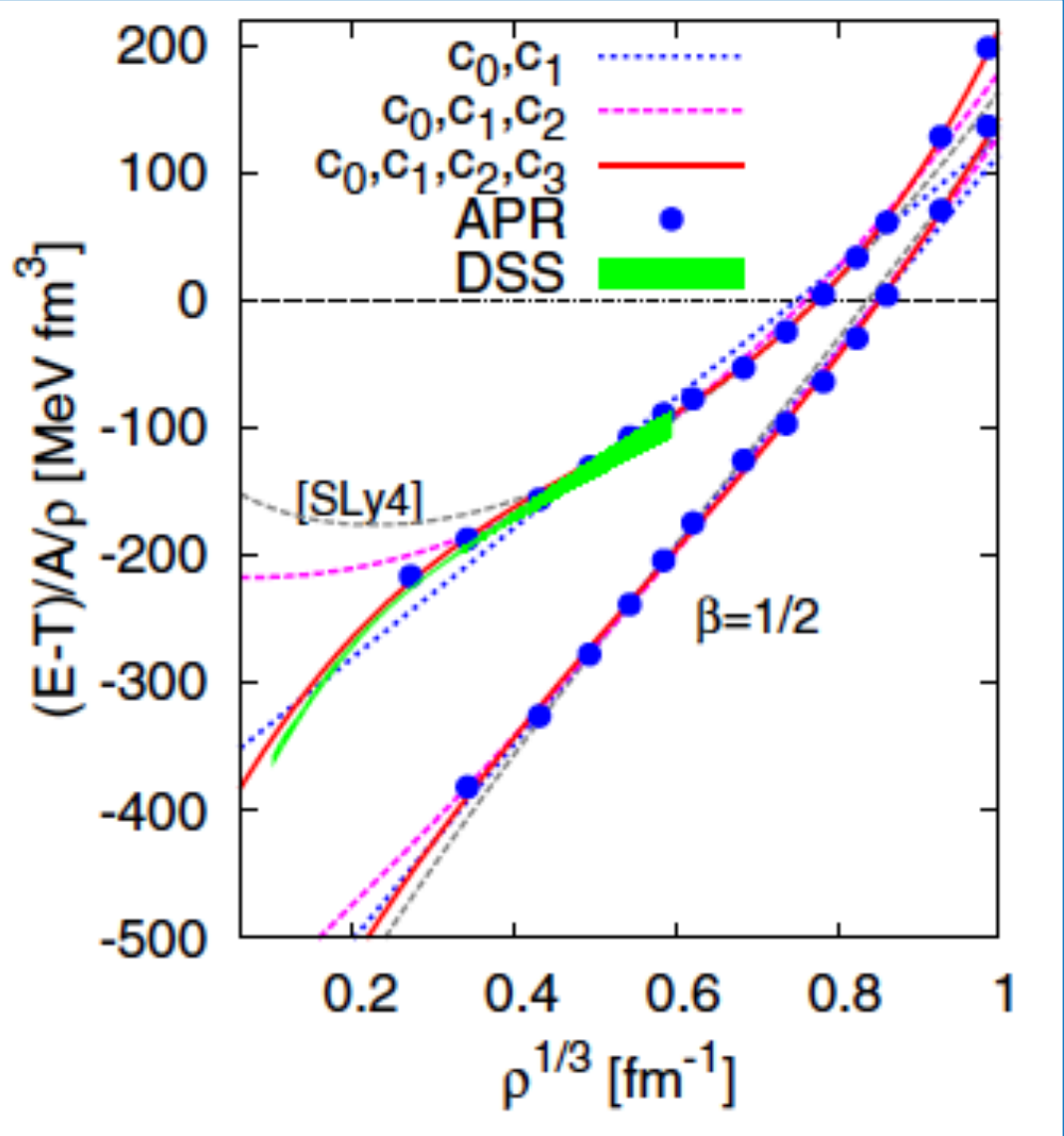
- ❖ Statistical analysis of

- ❖ Keep only the important

$$\mathcal{E}(\rho, \delta) = \frac{E(\rho, \delta)}{A} =$$

- SNM: 3 terms suffice

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Natural Ansatz for potential energy: powers of  $k_F \sim \rho^{1/3}$

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- SNM: 3 terms suffice in converging hierarchy ( $c_3(0)=0$ )
- PNM: 4 terms necessary (\*different preferences\*)

\*\* for APR, more terms could lead to overfitting

## ❖ Symmetric nuclear matter:

- Set  $\rho_0=0.16 \text{ fm}^{-3}$ ,  $E_0=-16\text{MeV}$ ,  $K_0 = 240 \text{ MeV}$
- Determine  $c_{0,1,2}(0)$  (analytical expressions)
- Leads to  $Q_0=-373 \text{ MeV}$

## ❖ Pure neutron matter:

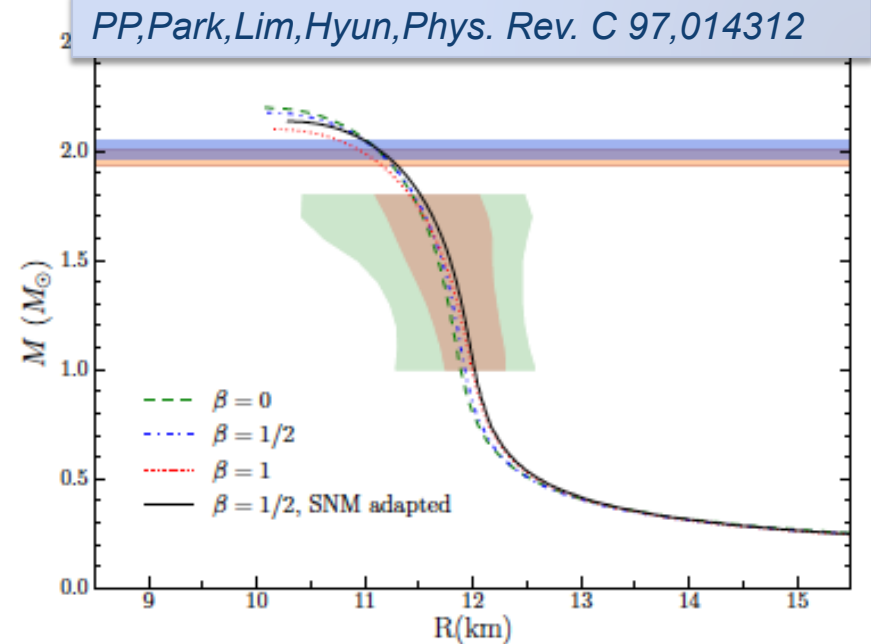
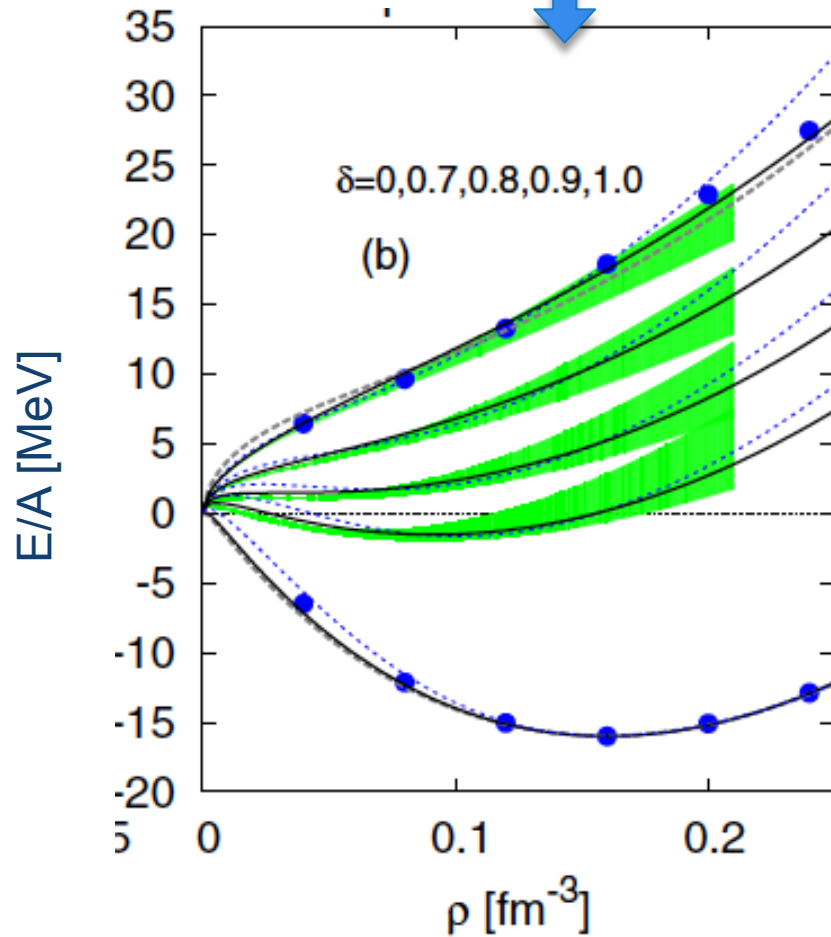
- Fit  $c_{0,1,2,3}(1)$  to the APR pseudodata for PNM
- Resulting symmetry-energy parameters:

$$J=33\text{MeV}, L=49\text{MeV}, K_{\text{sym}}=-157\text{MeV}, Q_{\text{sym}}=586\text{MeV}$$



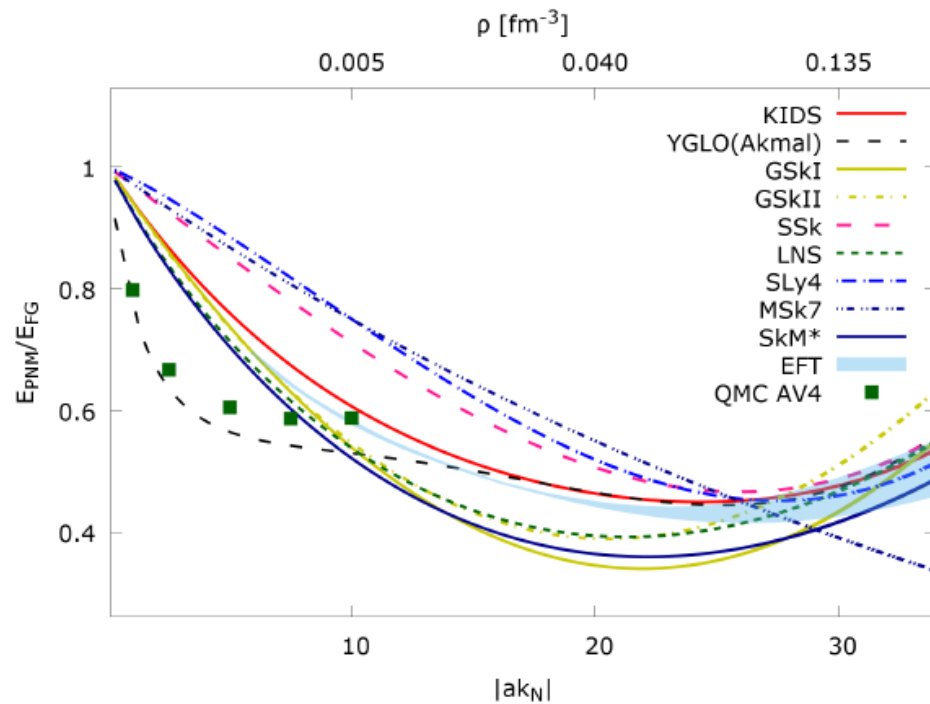
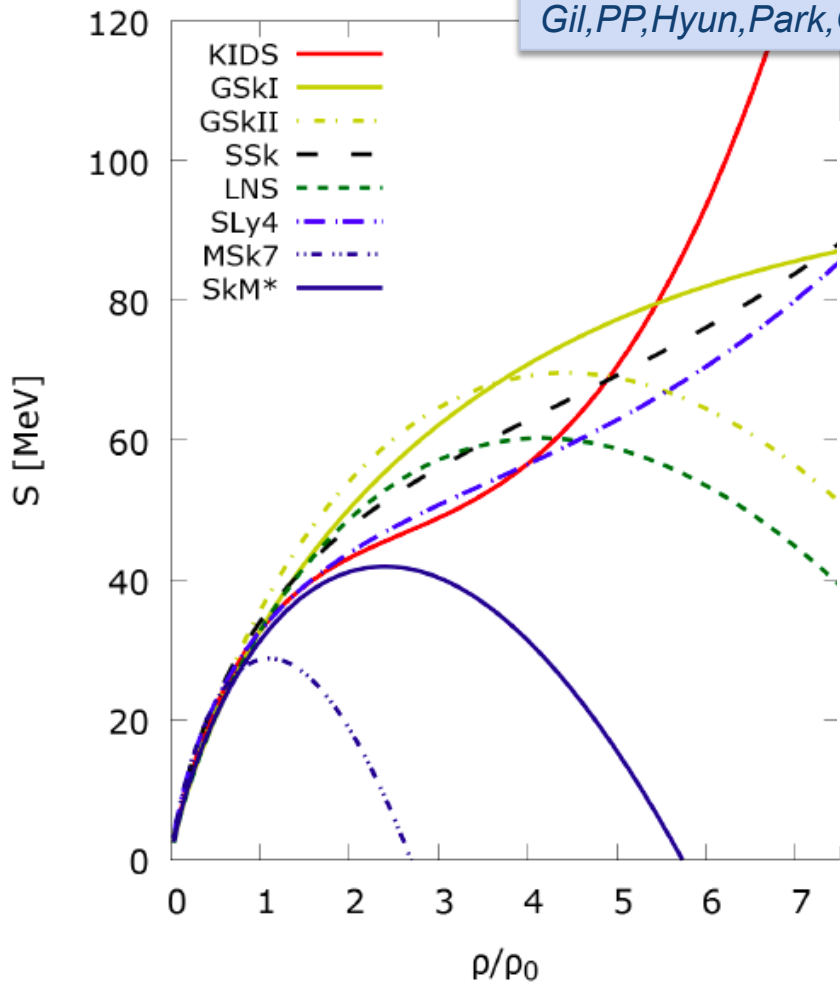
# Interpolations and extrapolations

Calculations with chiral interactions reproduced, although they were not used for fitting



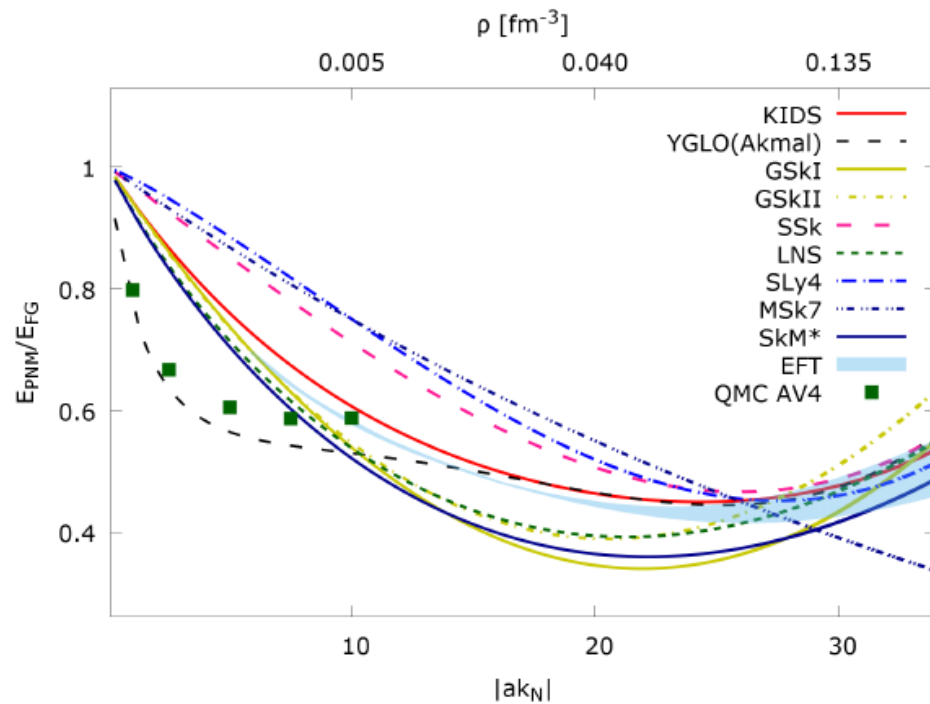
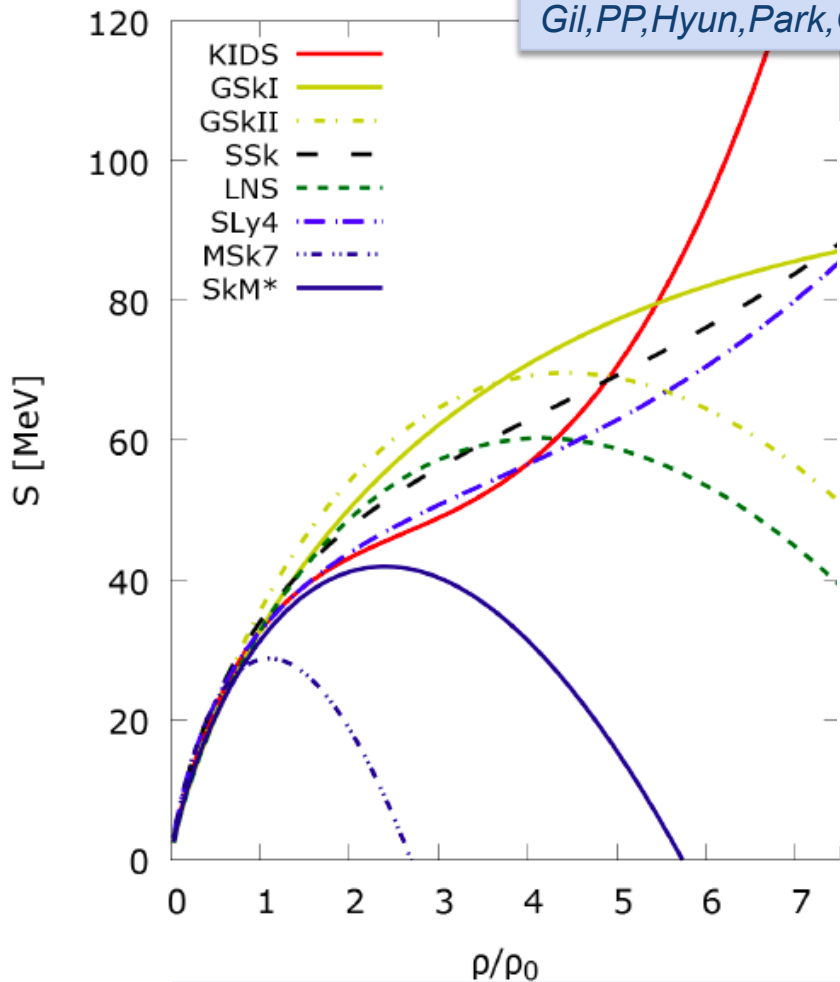
# Comparisons with other models

Gil, PP, Hyun, Park, Oh, arXiv:1805.11321



# Comparisons with other models

Gil, PP, Hyun, Park, Oh, arXiv:1805.11321



Excellent! Can we now take this straight to nuclei?

# PROOF OF PRINCIPLE: APR TAKEN TO NUCLEI

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# Skyrme parameters by reverse engineering

$$v_{i,j} = (t_0 + y_0 P_\sigma) \delta(r_{ij}) + \frac{1}{2} (t_1 + y_1 P_\sigma) [\delta(r_{ij}) k^2 + \text{h.c.}] \\ + (t_2 + y_2 P_\sigma) k' \cdot \delta(r_{ij}) k + iW_0 k' \times \delta(r_{ij}) k \cdot (\sigma_i - \sigma_j) \\ + \frac{1}{6} \sum_{n=1}^3 (t_{3n} + y_{3n} P_\sigma) \rho^{n/3} \delta(r_{ij}), \quad (3)$$

Minimal Skyrme-  
type “force”

$$t_0 = \frac{8}{3} c_0(0), \quad y_0 = \frac{8}{3} c_0(0) - 4c_0(1), \\ t_{3n} = 16c_n(0), \quad y_{3n} = 16c_n(0) - 24c_n(1), \quad (n \neq 2) \\ t_{32} = 16c_2(0) - \frac{3}{5} \left( \frac{3}{2} \pi^2 \right)^{2/3} \theta_s, \\ y_{32} = 16c_2(0) - 24c_2(1) + \frac{3}{5} (3\pi^2)^{2/3} \left( 3\theta_\mu - \frac{\theta_s}{2^{2/3}} \right)$$

with

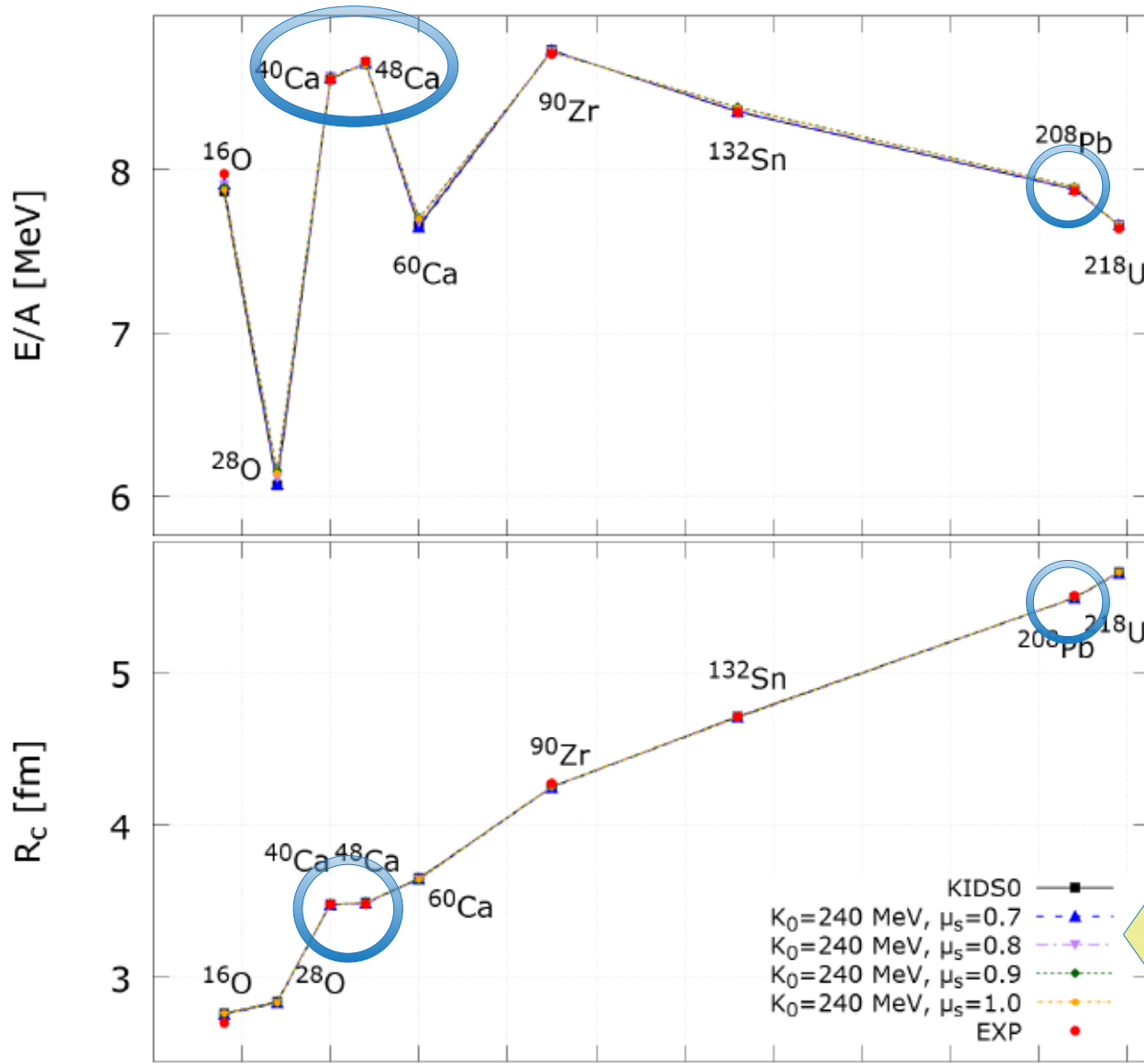
$$\theta_s \equiv 3t_1 + 5t_2 + 4y_2, \quad \theta_\mu \equiv t_1 + 3t_2 - y_1 + 3y_2.$$

unconstrained from homogenous matter → vary freely  
**But the total  $c_2(0)$ ,  $c_2(1)$  will remain unchanged!**

For given KIDS functional  $c_i(0)$ ,  $c_i(1)$  (i.e., fixed SNM, PNM)

- ❖ Chose effective masses (vary at will)
- ❖ All  $t_i$ ,  $y_i$  are now known except  $t_1, t_2, x_1, x_2$
- ❖ The two combinations  $\theta_s, \theta_\mu$  also known (eff. masses)
- ❖ **Two independent free parameters plus spin-orbit  $W_0$** 
  - Fit only to  $^{40}\text{Ca}$ ,  $^{48}\text{Ca}$ ,  $^{208}\text{Pb}$
  - Only bulk properties:  $E/A$ , charge radius: 6 data

# Binding energy, charge radii

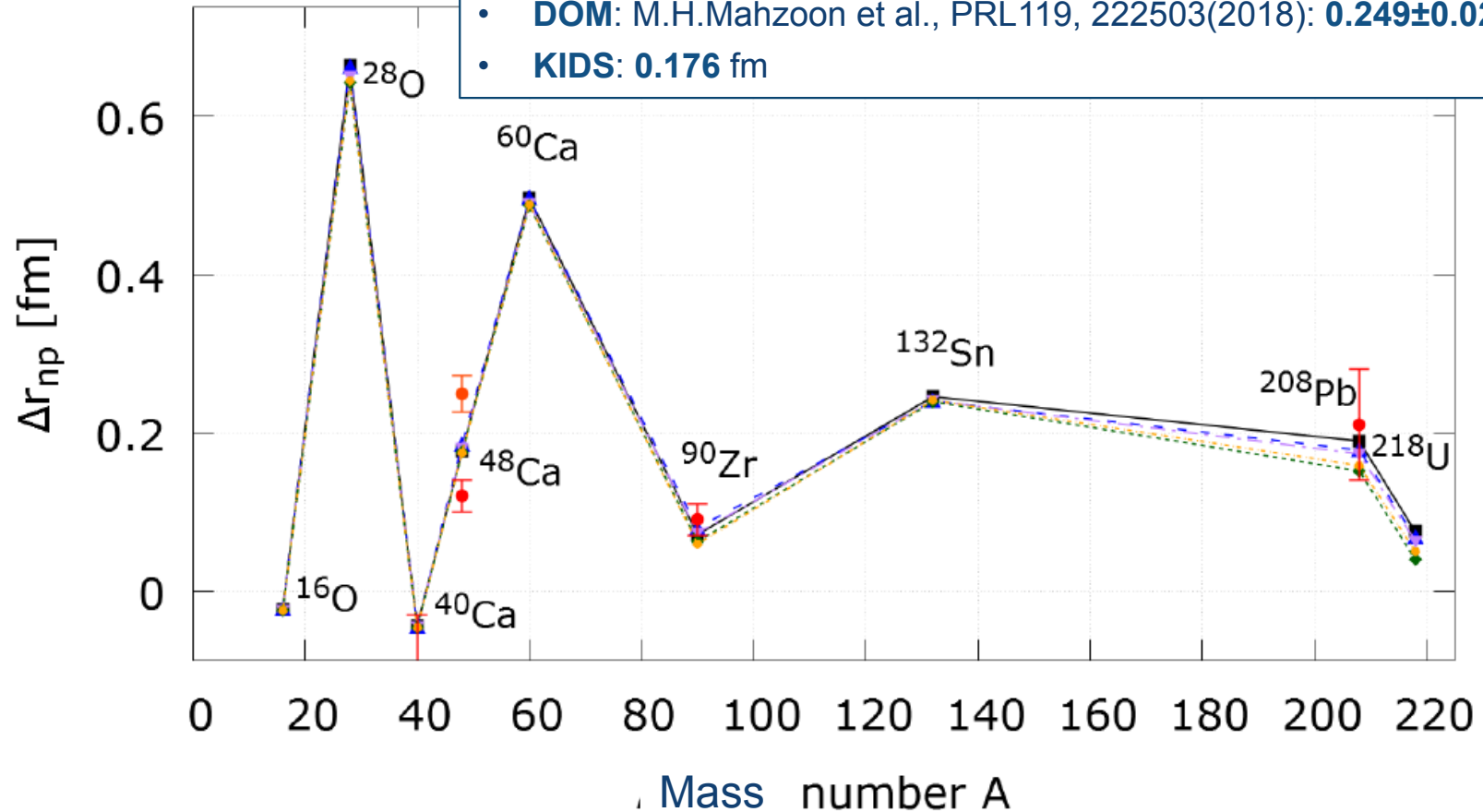


predictions independent of the effective mass assumed

# Neutron skin thickness

## neutron skin of $^{48}\text{Ca}$ :

- **CCM**: G.Hagen et al., Nature Phys. 12,186(2016): **0.12-0.15 fm**
- **DOM**: M.H.Mahzoon et al., PRL119, 222503(2018):  **$0.249 \pm 0.023$  fm**
- **KIDS**: **0.176 fm**



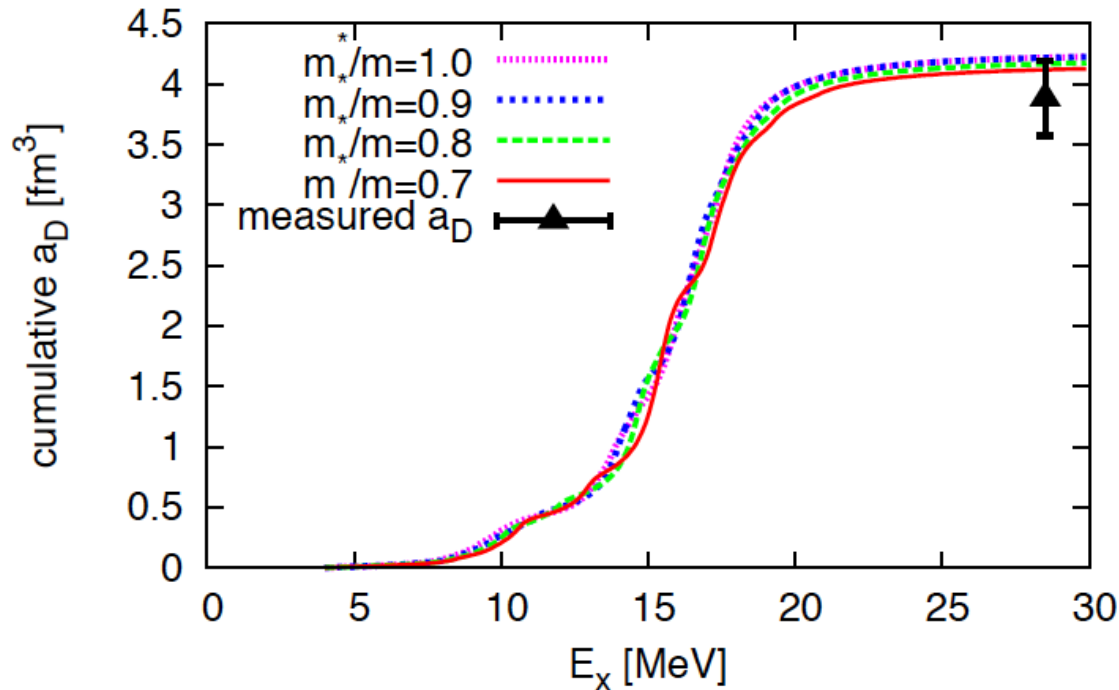
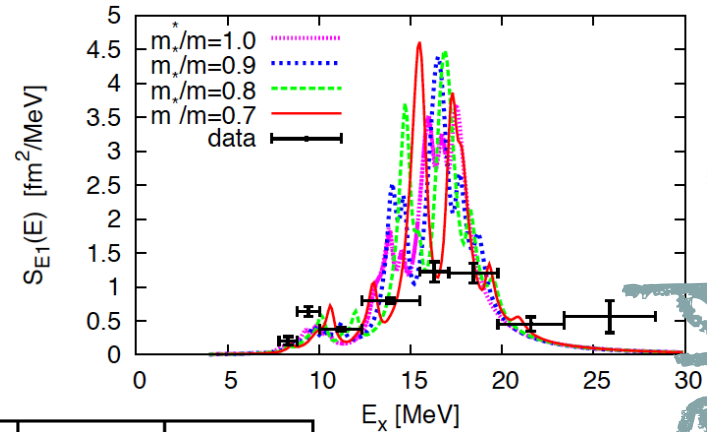
Data: antiprotonic atoms, PREX ( $^{208}\text{Pb}$ ), DOM ( $^{48}\text{Ca}$ , upper)

*Predictions of APR EoS for the neutron skin thickness!*



# KIDS-ad2: Predictions for $^{68}\text{Ni}$ (not fitted)

- ❖ Binding energy per particle:
  - KIDS-ad2: 8.68~8.69 MeV [\*]
  - AME2016: 8.68247(4) MeV
- ❖ Dipole polarizability:



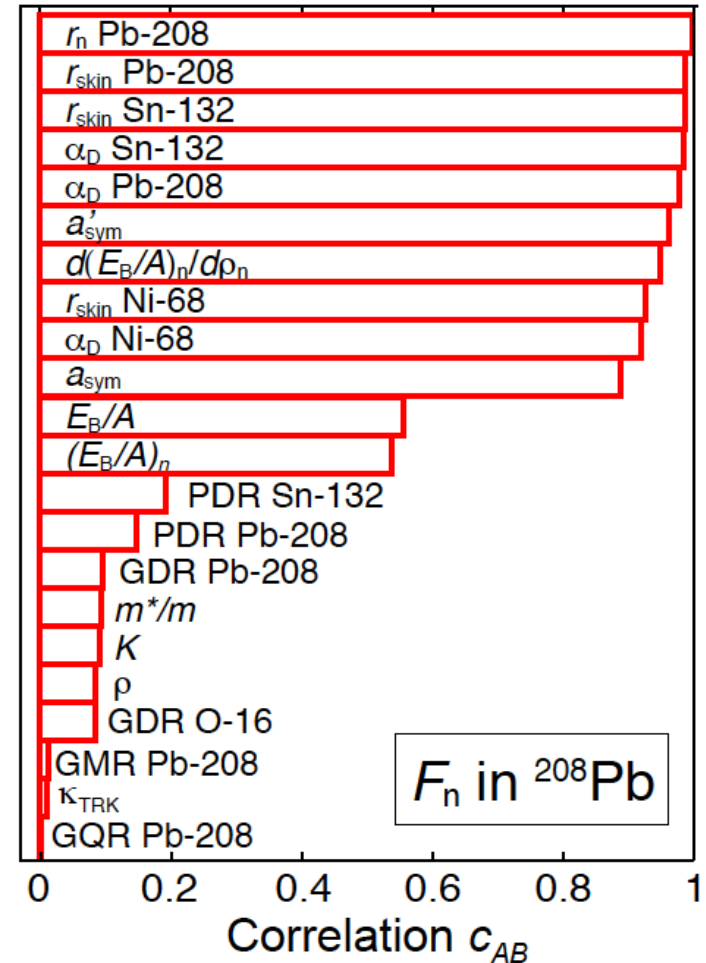
[\*] for  $m^*/m=1.0\sim 0.7$ : 8.68794; 8.68176; 8.68838; 8.68912 MeV

[\*\*]  $a_D$  measurement T.Aumann and D.Rossi, private communication

preliminary

- ❖ Theoretical studies within Skyrme and covariant density functional theory:
- ❖  $a_D$  correlated with neutron skin thickness
- ❖ and with **symmetry energy** and its density dependence

PDR: no correlation



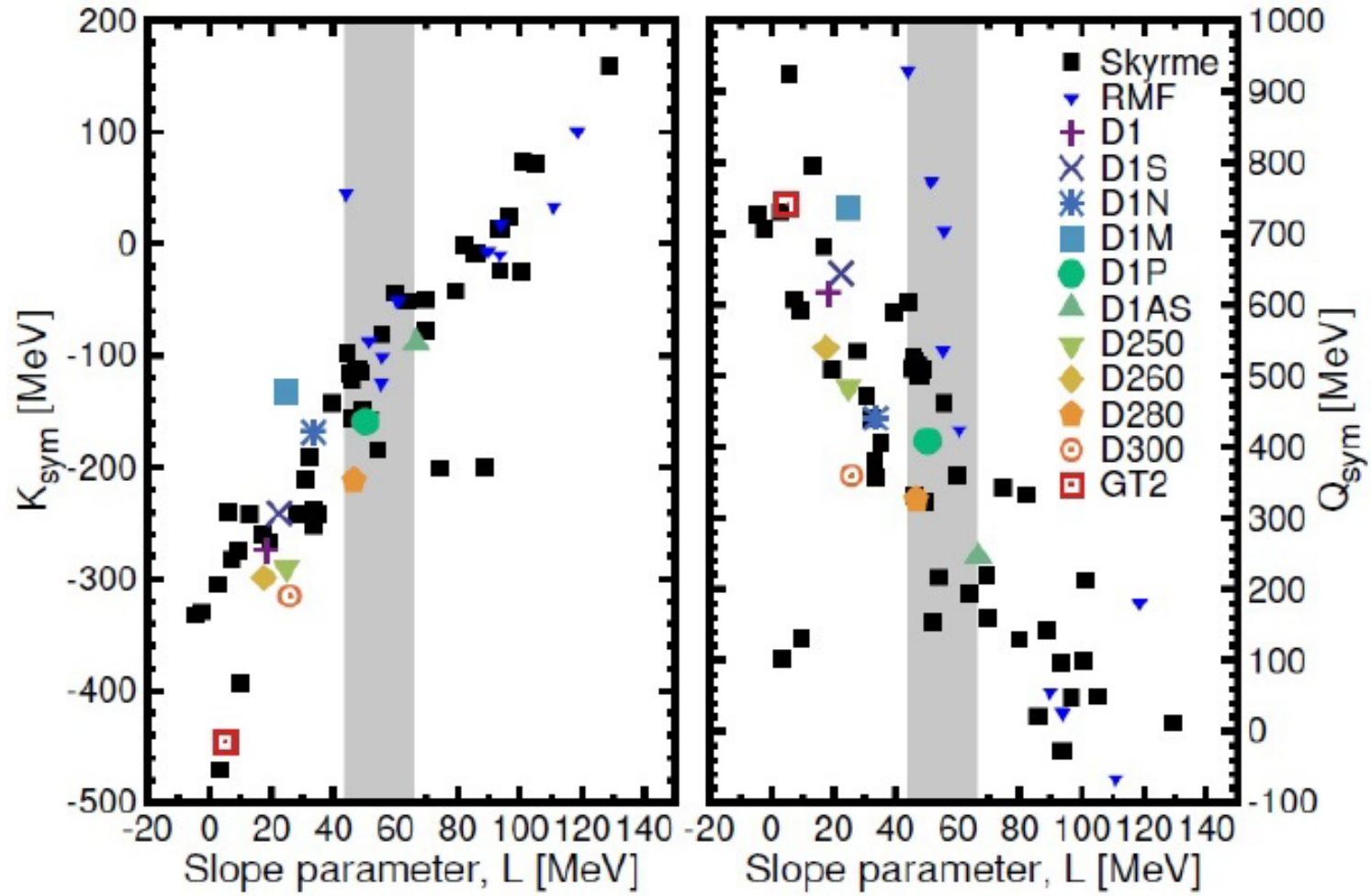
- ❖ For given **immutable** EoS (no refitting), a Skyrme-type functional can easily be reverse-engineered
- ❖ Bulk, static properties: practically independent of the effective mass!
  - We can vary EoS parameters and  $m^*$  independently and examine effect on observables
- ❖ *So far I showed results with KIDS-ad2 based on APR. **Next: An exploration of symmetry-energy parameters***

# EXPLORING THE SYMMETRY ENERGY PARAMETERS

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# Curvature $K_{\text{sym}}$ and skewness $Q_{\text{sym}}$

R. Sellahewa and A. Rios, Phys. Rev. C 90, 054327 (2014)



## ❖ Symmetric nuclear matter:

- $\{\rho_0, E_0, K_0\} \rightarrow 3 \times 3$  system  $\rightarrow \{c_i(0); i=0,1,2; c_3(0)=0\}$ 
  - *Feasible but unnecessary:*
  - $\{\rho_0, E_0, K_0, Q_0\} \rightarrow 4 \times 4$  system  $\rightarrow \{c_i(0); i=0,1,2,3\}$

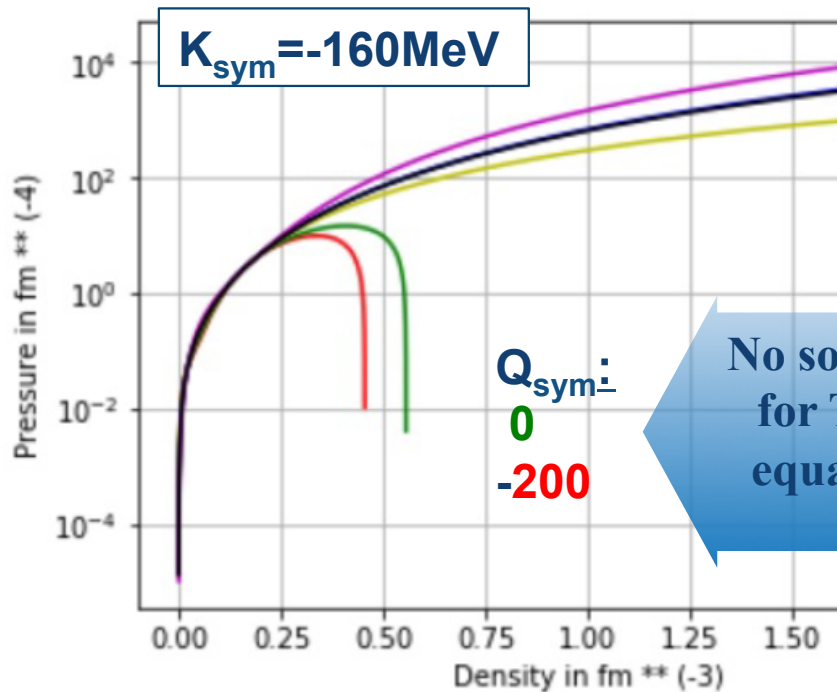
## ❖ Symmetry energy:

- $\{J, L, K_{\text{sym}}, Q_{\text{sym}}\} \rightarrow 4 \times 4$  system  $\rightarrow \{[c_i(1)-c_i(0)]; i=0,1,2,3\}$

**Let us keep SNM, J, L,  $K_{\text{sym}}$  steady and equal to the KIDS-ad2 values; vary  $Q_{\text{sym}}$ ; and solve for  $c_i(1)$**

❖ For steady  $(J, L, K_{\text{sym}}) = (33, 50, -160)$  MeV, vary  $Q_{\text{sym}}$

	J(MeV)	L(MeV)	$K_{\text{sym}}$ (MeV)	$Q_{\text{sym}}$ (MeV)
ad - 2	32.76	49.11	-156.69	586.29
Values used	33	50	-160	-200, 0, 400, 600 και 1000



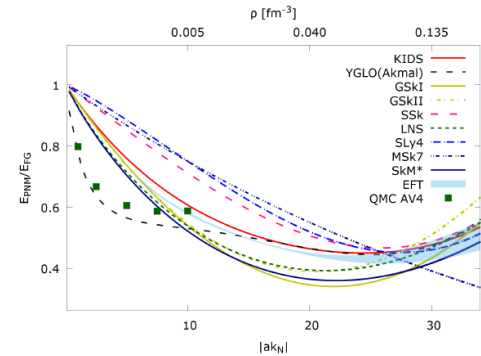
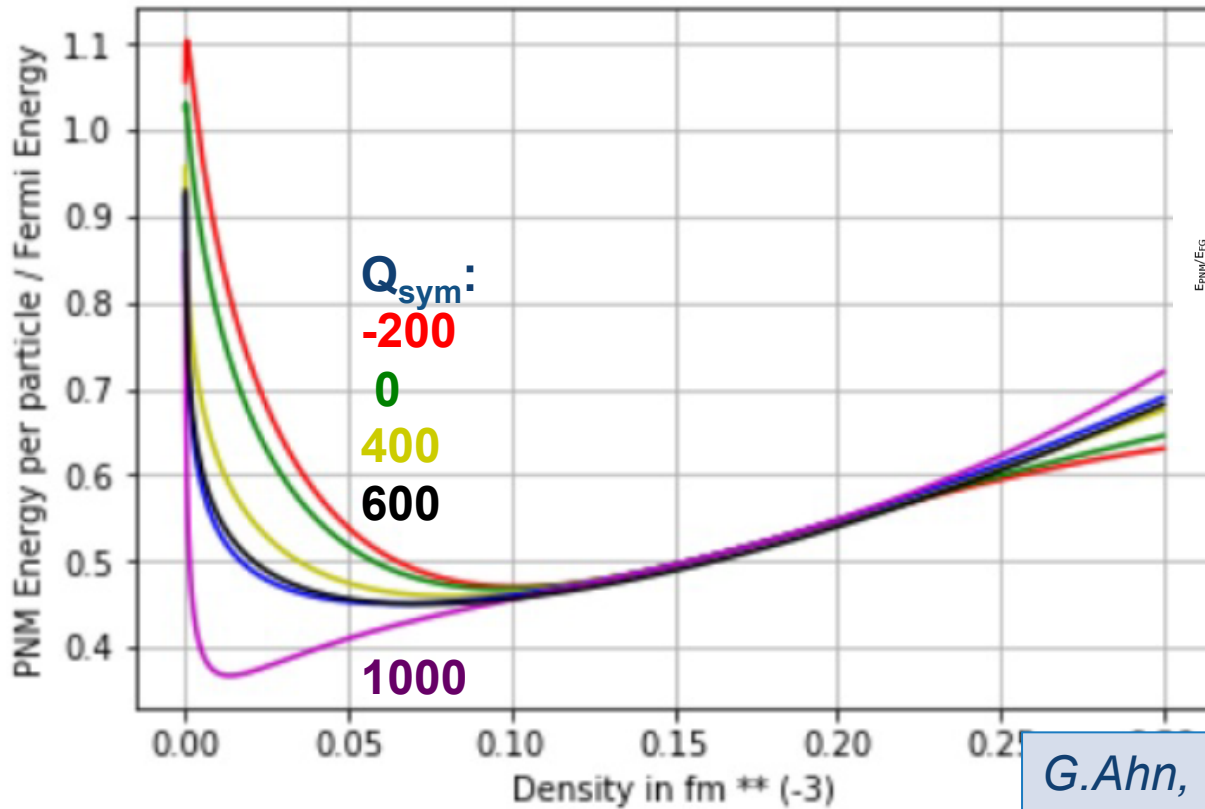
$Q_{\text{sym}}$ :  
1000  
600  
400

$Q_{\text{sym}}$ :  
0  
-200

No solution  
for TOV  
equations

G.Ahn, MSc Thesis  
(NKUA, 2018)

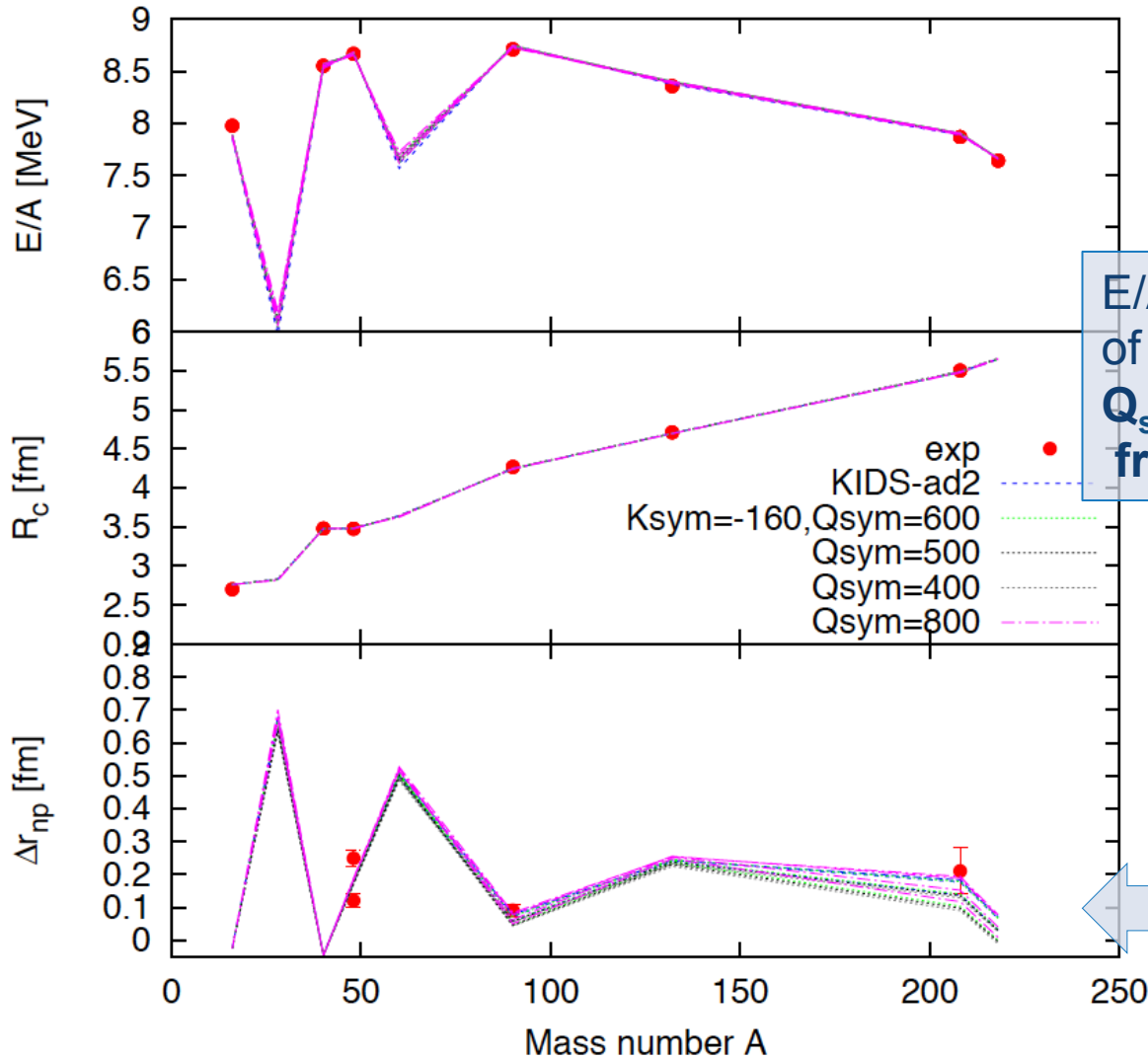
## ❖ Dilute neutron matter



G.Ahn, MSc Thesis  
(NKUA, 2018)



# Exploring symmetry energy parameters



$E/A, R_c$  independent of  $Q_{sym}$  ✓  
 $Q_{sym}$  not constrainable from such data

Neutron skin thickness vs  $Q_{sym}$ ?  
*Auras??*

- ❖ For steady  $(J,L) = (33,50)$  MeV, vary  $K_{\text{sym}}$ ,  $Q_{\text{sym}}$
- ❖ Solutions of TOV obtained for the following cases:

		Max Mass( $M_{\odot}$ )	$R_{1.4}$ (km)	$\rho_{\text{max}}$ ( $\text{fm}^{-3}$ )
$(-160,600)$ MeV	(I)	2.05	11.29	0.877
$(-160,1000)$ MeV	(II)	1.92	11.70	0.615
$(0,1000)$ MeV	(III)	1.96	12.07	0.632
$(-157,586)$ MeV	ad - 2	2.06	11.27	0.906

*G.Ahn, MSc Thesis  
(NKUA, 2018)*

- ❖ Natural Ansatz + Skyrme formalism: **KIDS functional**
  - 3 terms in expansion sufficient for SNM:  $\{\rho_0, E_0, K_0\}$
  - **4 terms necessary for neutron matter and symmetry energy:  $\{J, L, K_{\text{sym}}, Q_{\text{sym}}\}$**
- ❖ **From fixed EoS straight to nuclei**
- ❖ APR: static, bulk nuclear properties insensitive to
  - Effective-mass parameters
  - High-order parameters of symmetry energy
- **Flexibility to choose parameter values at will for sensitivity studies or adjust them to**
  - Dynamical observables (e.g., **giant resonances**)
  - **Ab initio pseudodata (polarized matter, neutron drops...)**
  - **Astrophysical and HIC constraints**

Skyrme- type  
“interaction” by  
reverse engineering

Thank you!



# Thanks to my collaborators and contributors

- ❖ Chang Ho Hyun, Hana Gil, TaeSun Park, Yeunhwan Lim
- ❖ Youngman Kim, Ik Jae Shin, et al.
- ❖ J.W.Clark
- ❖ E.Mavrommatis, G.Ahn
- ❖ R.Roth, R.Trippel, J. Wambach, V.Yu.Ponomarev, A.Richter...
  - ... and the experimental groups in Darmstadt, S.Africa, and Cologne...
- ❖ H.Hergert



National and Kapodistrian  
UNIVERSITY OF ATHENS

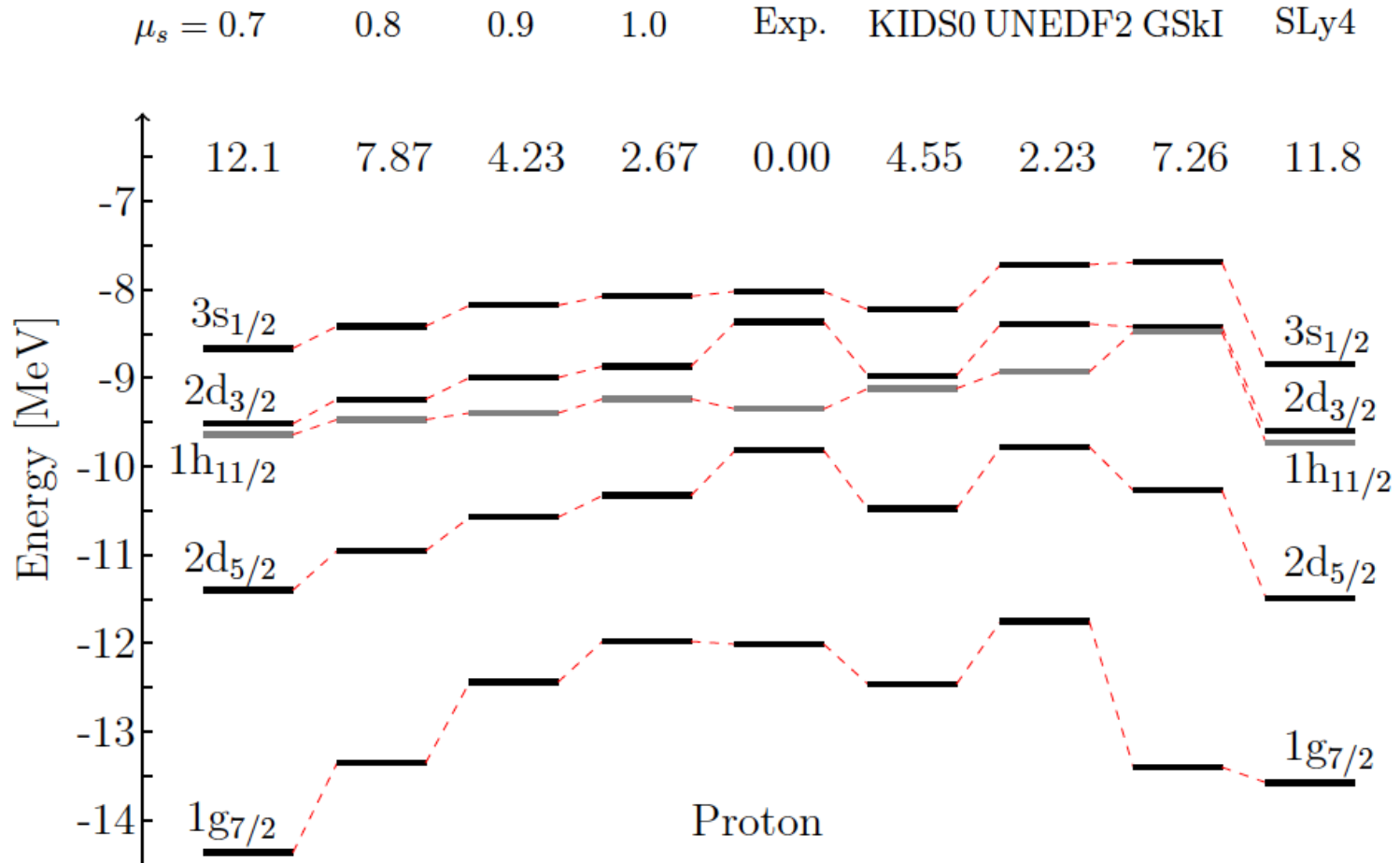


TECHNISCHE  
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DARMSTADT



# Single-particle levels

Level schemes of  $^{208}\text{Pb}$



- ❖ For steady  $(J,L) = (33,50)$  MeV, vary  $K_{\text{sym}}$ ,  $Q_{\text{sym}}$
- ❖ Solutions of TOV obtained for the following cases:

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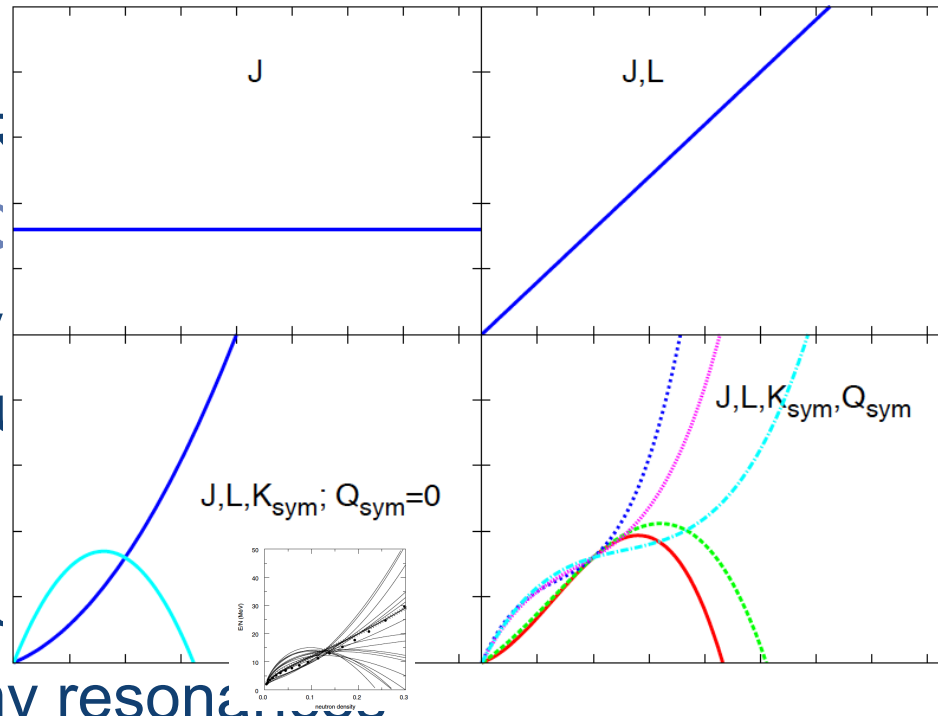
*G.Ahn, MSc Thesis  
(NKUA, 2018)*

- ❖ Give KIDS any EoS you want to test or apply:
  - In terms of  $\{\rho_0, E_0, K_0\}, \{J, L, K_{\text{sym}}, Q_{\text{sym}}\}$ , e.g., for your sensitivity studies

- In the form  $(\chi\text{EFT, APF})$
- $m_s^*, m_v^*, G$

- ❖ KIDS can reproduce which reproduce

- ❖ And can then
  - Nuclear structure
  - Giant/pygmy resonances
  - ...



relations  
"reaction"



- ❖ For given **immutable** EoS, a Skyrme-type functional can easily be reverse-engineered **★world first★**
- ❖ Bulk, static properties: practically independent of the effective mass!
  - We can vary EoS parameters and  $m^*$  independently and examine effect on observables
- ❖ Prospects abound!
  - Giant and pygmy resonances, polarizability...
  - Higher-order momentum dependencies...
  - An exploration of symmetry-energy parameters underway