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Measurement of prompt and nonprompt J/*ψ* production in pp and pPb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

The CMS Collaboration

Abstract

This paper reports the measurement of J/ψ meson production in proton-proton (pp) and proton-lead (pPb) collisions at a center-of-mass energy per nucleon pair of 5.02 TeV by the CMS experiment at the LHC. The data samples used in the analysis correspond to integrated luminosities of 28.0 pb⁻¹ and 34.6 nb⁻¹ for pp and pPb collisions, respectively. Prompt and nonprompt J/*ψ* mesons, the latter issuing from the decay of b hadrons, are measured in their dimuon decay channels. Differential cross sections are measured in the transverse momentum range of $2 < p_T < 30$ GeV/*c*, and center-of-mass rapidity ranges of $|y_{CM}|$ < 2.4 (pp) and −2.87 < y_{CM} < 1.93 (pPb). The nuclear modification factor, R_{pPb} , is measured as functions of p_{T} and y_{CM} . Moderate modifications of the prompt J/*ψ* yields are observed in pPb relative to pp collisions, whereas nonprompt yields are consistent with (properly scaled) pp yields within experimental uncertainties. The ratio of the forward (proton-going direction) and backward (Pb-going direction) prompt and nonprompt J/ψ yields, R_{FB}, studied as functions of p_T and y_{CM} , shows a significant decrease for increasing hadronic activity in the pPb event, as given by the transverse energy deposited in the forward pseudorapidity region. These results provide new constraints of cold nuclear matter effects on prompt and nonprompt J/ψ production over a wide kinematical range.

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1 Introduction

 It was suggested three decades ago that quark-gluon plasma (QGP) formation would suppress the yield of J/*ψ* mesons in high-energy heavy ion collisions, relative to that in proton-proton (pp) collisions, as a consequence of Debye screening of the heavy-quark potential at finite tem- perature [\[1\]](#page-19-0). This QGP signature triggered intense research activity, both experimental and theoretical, on the topic of heavy quarkonium production in nuclear collisions. Experiments at SPS [\[2,](#page-19-1) [3\]](#page-19-2), RHIC [\[4\]](#page-19-3), and CERN LHC [\[5,](#page-19-4) [6\]](#page-19-5) have reported a significant J/*ψ* suppression for increasingly central heavy ion collisions over a wide range in rapidity (*y*) and transverse mo-9 mentum (*p*_T). In addition, a suppression of different bottomonium states [Υ(1S), Υ(2S), Υ(3S)] has been observed at the LHC in lead-lead (PbPb) collisions at a center-of-mass energy per nu-¹¹ cleon pair of $\sqrt{s_{\text{NN}}}$ = 2.76 TeV [\[7,](#page-19-6) [8\]](#page-19-7), which appears to be consistent with the suggested picture 12 of quarkonium suppression in QGP [\[9,](#page-19-8) [10\]](#page-19-9). In order to interpret unambiguously these results, it is necessary to constrain the so-called cold nuclear matter effects on quarkonium production, through baseline measurements in proton- lead (pPb) collisions. Among these effects, parton distribution functions in nuclei (nPDF) are known to differ from those in a free proton and thus influence the quarkonium yields in nuclear collisions. The expected depletion of gluon nuclear density at small values of the momentum fraction (*x*), an effect known as shadowing, would suppress J/*ψ* production at forward *y*, cor- responding to the proton-going direction in pPb collisions [\[11,](#page-19-10) [12\]](#page-19-11). It is also shown that gluon radiation induced by parton multiple scattering in the nucleus can lead to p_T broadening and coherent energy loss, resulting in a significant forward J/*ψ* suppression in pPb collisions at all

- available energies [\[13,](#page-19-12) [14\]](#page-20-0). These phenomena can be quantified by the nuclear modification
- factor *R*pPb, i.e. by the ratio of J/*ψ* yields in pPb collisions over those in pp collisions scaled by ²⁴ the number of nucleons in the Pb ion (A = 208), and by the R_{FB} ratio of J/ ψ yields at forward
- (p-going direction) to backward (Pb-going direction) rapidities.

 In addition to prompt J/*ψ* mesons, directly produced in the primary interaction or from the decay of heavier charmonium states such as *ψ*(2S) and *χ*c, production of J/*ψ* mesons includes a nonprompt contribution coming from the decay of b hadrons, which production rate are also expected to be affected by cold nuclear matter effects. However, no clear modification of their 30 cross sections in pPb collisions has been observed for high- p_T B mesons [\[15\]](#page-20-1), nor for b quark 31 jets [\[16\]](#page-20-2). In this respect, the nonprompt component of J/ψ production can shed light on the 32 nature of nuclear effects at low p_{T} , where nuclear effects are expected to be significant.

33 At the LHC, the yield of J/ψ mesons in pPb collisions at $\sqrt{s_{NN}} = 5.02$ TeV has been measured ³⁴ by ALICE [\[17,](#page-20-3) [18\]](#page-20-4), ATLAS [\[19\]](#page-20-5), and LHCb [\[20\]](#page-20-6) collaborations. The *R_{FB}* has been determined 35 as functions of the rapidity in the center-of-mass frame, y_{CM} , and p_T . Using an interpolated ³⁶ pp production cross section at the same collision energy, R_{pPb} has also been estimated in 37 Refs. [\[17,](#page-20-3) [18,](#page-20-4) [20\]](#page-20-6) as functions of y_{CM} and p_{T} . A significant suppression of the prompt J/ψ yield 38 in pPb collisions has been observed at forward *y* and low p_T , while no strong nuclear effects are reported at backward *y*.

⁴⁰ This paper reports the analysis of J/ ψ production in pp and pPb collisions at $\sqrt{s_{NN}} = 5.02$ TeV collected with the CMS detector in 2013 (pPb sample) and in 2015 (pp sample). The J/*ψ* mesons 42 are measured via their dimuon decay channel over $2 < p_T < 30$ GeV/*c*, and $|y_{CM}| < 2.4$ in pp, ₄₃ and −2.87 < *y*_{CM} < 1.93 in pPb collisions. The corresponding values of *x* range from 10⁻⁴, at ⁴⁴ forward *y* and low *p*_T, to 10^{−2}, at backward *y* and higher *p*_T. Both *R*_{pPb} and *R*_{FB} are measured 45 as functions of y_{CM} and p_{T} . The latter ratio is also studied as a function of the event activity in pPb collisions, as characterized by the transverse energy deposit in the forward part of the CMS detector.

2 Experimental setup and event selection

 The main feature of the CMS detector is a superconducting solenoid with an internal diameter of 6 m, providing a magnetic field of 3.8 T. Within the field volume are the silicon pixel and 51 strip tracker, the crystal electromagnetic calorimeter, and the brass and scintillator hadronic calorimeter. The silicon pixel and strip tracker measures charged particle trajectories in the pseudorapidity range of |*η*| < 2.5. It consists of 66 M pixel and 10 M strip sensor elements. Muons are detected in the range of |*η*| < 2.4, with detection planes based on three technologies: drift tubes, cathode strip chambers, and resistive plate chambers. The CMS apparatus also has extensive forward calorimetry, including two steel and quartz-fiber Cherenkov hadron forward 57 (HF) calorimeters, which cover 2.9 $< |\eta| < 5.2$. These detectors are used for online event selection and the impact parameter characterization of the events in pPb collisions, where the term impact parameter refers to the transverse distance between the two centers of the colliding particles. A more detailed description of the CMS detector, together with a definition of the 61 coordinate system used and the relevant kinematic variables, can be found in Ref. [\[21\]](#page-20-7). $^{\circ}$ The pPb data set used in this analysis corresponds to an integrated luminosity of 34.6 nb $^{-1}$.

63 The beam energies are 4 TeV for protons, and 1.58 TeV per nucleon for the lead nuclei, resulting ⁶⁴ in $\sqrt{s_{NN}}$ = 5.02 TeV. The direction of the higher-energy proton beam was initially set up to ₆₅ be clockwise, and was reversed after 20.7 nb⁻¹. As a result of the beam energy difference, the nucleon-nucleon center-of-mass in pPb collisions is not at rest with respect to the laboratory frame. Massless particles emitted at $|\eta_{CM}| = 0$ in the nucleon-nucleon center-of-mass frame 68 are detected at $\eta_{\rm lab} = -0.465$ for the first run period (clockwise proton beam) and $+0.465$ for the second run period (counterclockwise proton beam) in the laboratory frame. The center-of-⁷⁰ mass rapidity region $-2.87 < y_{CM} < 1.93$ is thus probed. The pp data set is also collected at the same collision energy with an integrated luminosity of 28.0 pb−¹ . In this sample, J/*ψ* mesons α are measured over $|\psi_{\text{CM}}| < 2.4$.

 In order to remove beam related background such as beam-gas interactions, inelastic hadronic collisions are selected by requiring a coincidence of at least one of the HF calorimeter towers with more than 3 GeV of total energy in each side of the interaction point. This requirement is not present in pp collisions which suffer less from photon-induced interaction compared to pPb collisions. The pp and pPb events are further selected to have at least one reconstructed primary vertex composed of two or more associated tracks, within 25 cm from the nominal interaction point along the beam axis and within 2 cm in its transverse plane. To reject beam-80 scraping events, the fraction of good-quality tracks associated to the primary vertex is required to be larger than 25% when there are more than 10 tracks per event.

82 In pPb collisions, an additional filter [\[22\]](#page-20-8) is applied to remove multiple interactions per bunch 83 crossing (pileup). After the selection, the residual fraction of pileup events is reduced from 3% to less than 0.2%. This pileup rejection results in a 4.1% signal loss, which is corrected for in the cross section measurements. Since pileup only affects the event activity dependence in pPb results, no filter is applied in pp results.

 Dimuon events are selected by the level-1 trigger, a hardware-based trigger system requiring two muon candidates in the muon detectors with no explicit limitations in momentum or ra-

pidity. Offline, muons are required to be within the following kinematic regions, which ensure

single-muon reconstruction efficiencies above 10%:

$$
p_{\text{T}}^{\mu} > 3.3 \,\text{GeV}/c
$$
 for $|\eta_{\text{lab}}^{\mu}| < 1.2$,
\n
$$
p_{\text{T}}^{\mu} > (4.0 - 1.1|\eta_{\text{lab}}^{\mu}|)
$$
 GeV/c for $1.2 \le |\eta_{\text{lab}}^{\mu}| < 2.1$,
\nfor $2.1 \le |\eta_{\text{lab}}^{\mu}| < 2.4$.
\n(1)

91 The muon pairs are further selected to be of opposite charge, to originate from a common vertex $_{\rm{92}}$ with a χ^2 probability greater than 1%, and to match standard identification criteria [\[23\]](#page-20-9).

 Simulated events are used to obtain the correction factors for acceptance and efficiency. The Monte Carlo (MC) samples of J/*ψ* mesons are generated using PYTHIA 6.424 [\[24\]](#page-20-10) for pPb and PYTHIA 8.209 [\[25\]](#page-20-11) for pp collisions. Generated particles in the pPb simulation are boosted by ∆*y* = ±0.465 to account for the asymmetry of proton and lead beams in the laboratory frame. Samples for prompt and nonprompt J/*ψ* mesons are independently produced using the D6T [\[26\]](#page-20-12) and Z2 [\[27\]](#page-20-13) tunes, respectively. In the absence of experimental information on ⁹⁸ use D61 [26] and Z2 [27] tunes, respectively. In the absence of experimental information on
⁹⁹ quarkonium polarization in pPb and pp collisions at $\sqrt{s} = 5.02$ TeV, it is assumed that prompt ⁹⁹ quarkontum polarization in pr*v* and pp consions at $\sqrt{s} = 3.02$ TeV, it is assumed that prompt J/ψ mesons are produced unpolarized, as observed in pp collisions at $\sqrt{s} = 7$ TeV [\[28\]](#page-20-14). The 101 nonprompt J/ ψ sample includes the polarization ($\lambda_{\theta} \approx -0.4$) determined from a measurement ¹⁰² of the exclusive B meson decays (B^+ , B^0 , B_s^0 , and $Λ_b$) as implemented in EVTGEN 9.1 [\[29\]](#page-21-0). The impact in the final cross sections of the different polarization assumptions is not included in the systematic uncertainties, as done in the previous analyses [\[7,](#page-19-6) [8\]](#page-19-7). A quantitative investigation of effects from two extreme scenarios can be found in Ref. [\[5\]](#page-19-4). The final-state QED radiation of decayed muons is taken care of using PHOTOS 215.5 [\[30\]](#page-21-1). Finally, the CMS detector response is simulated using GEANT4 [\[31\]](#page-21-2).

¹⁰⁸ **3 Analysis procedure**

¹⁰⁹ **3.1 Differential cross section,** *R***pPb, and** *R***FB**

In this paper, three observables analyzed in J/*ψ* meson decays to muon pairs are reported. First, the cross sections are computed by:

$$
\mathcal{B}(J/\psi \to \mu^+ \mu^-) \frac{d^2 \sigma}{d p_T d y_{\rm CM}} = \frac{N_{\rm Fit}^{J/\psi} / (\text{Acc}\,\varepsilon)}{\mathcal{L}_{\rm Int} \Delta p_T \Delta y_{\rm CM}},\tag{2}
$$

 μ ⁺ *μ* → *μ*⁺ *μ*⁻ *γ* → *μ*⁺ *μ*⁻ *γ* \to *θ*.0596 ± 0.0003 is the branching fraction to the *μ*⁺ *μ*⁻ channel [\[32\]](#page-21-3), *N*^{J/ ψ} is the extracted raw yield of J/ ψ mesons in a given (*p*_T, *y*_{CM}) bin, (*Acc ε*) represents the $_{112}$ dimuon acceptance times efficiency described in Section [3.3,](#page-7-0) and \mathcal{L}_{Int} is the integrated luminos-¹¹³ ity with the values of (28.0 ± 0.6) pb⁻¹ for pp [\[33\]](#page-21-4) and (34.6 ± 1.2) nb⁻¹ for pPb [\[34\]](#page-21-5) collisions.

114 The cross sections are measured in up to nine bins in p_T ([2,3], [3,4] [4,5], [5,6.5], [6.5,7.5], 115 [7.5,8.5], [8.5,10], [10,14], [14,30] GeV/*c*), with the minimum p_T value varying with the rapid-¹¹⁶ ity range as shown in Table [1.](#page-5-0)

The second observable considered is the nuclear modification factor, calculated as

$$
R_{\rm pPb}(p_{\rm T}, y_{\rm CM}) = \frac{(d^2 \sigma/dp_{\rm T} dy_{\rm CM})_{\rm pPb}}{A(d^2 \sigma/dp_{\rm T} dy_{\rm CM})_{\rm pp}},
$$
\n(3)

117 where $A = 208$ is the atomic mass of the Pb nucleus that appropriately scales up the pp cross ¹¹⁸ sections [\[35\]](#page-21-6).

Table 1: Rapidity intervals and associated minimum p_T values for the J/ψ cross section measurements in pp and pPb collisions.

The third measurement is the forward-to-backward production ratio for pPb collisions, defined for positive rapidity by:

$$
R_{\text{FB}}(p_{\text{T}}, y_{\text{CM}} > 0) = \frac{\mathrm{d}^2 \sigma(p_{\text{T}}, y_{\text{CM}}) / \mathrm{d} p_{\text{T}} \mathrm{d} y_{\text{CM}}}{\mathrm{d}^2 \sigma(p_{\text{T}}, -y_{\text{CM}}) / \mathrm{d} p_{\text{T}} \mathrm{d} y_{\text{CM}}}.
$$
(4)

¹¹⁹ This variable proves to be a sensitive probe of the dynamics of J/*ψ* production by comparing

120 nuclear effects in the forward and the backward rapidity hemispheres, since $R_{FB}(p_T, y_{CM}) =$ ¹²¹ *R*pPb(*p*T, *y*CM)/*R*pPb(*p*T, −*y*CM). In addition, several uncertainties cancel in the *R*FB ratio, such 122 as those from the integrated luminosity determination. The minimum p_T values for the R_{FB} 123 measurement are $5 \text{ GeV}/c$ for $1.5 < |y_{\text{CM}}| < 1.93$, and $6.5 \text{ GeV}/c$ for $|y_{\text{CM}}| < 1.5$. The ratio R_{FB} is also analyzed as a function of *E* HF|*η*|>4 124 is also analyzed as a function of E_T^{TIP} , the transverse energy deposited in the HF calorimeter 125 within $4 < |\eta| < 5.2$ range, which is related to the collisional impact parameter. In Table [2,](#page-5-1) the mean value of *E* HF|*η*|>4 $\frac{1}{26}$ mean value of E_T^{max} and the fraction of events for each bin used in the analysis are computed ¹²⁷ from minimum bias pPb events.

Table 2: Ranges of forward transverse energy, *E* HF|*η*|>4 $T_{\text{T}}^{\text{Im}\left[\eta\right]\times4}$, their mean values, and associated fractions of pPb events that fall into each category.

\geq ⁴ (GeV)		Fraction	
$0 - 20$	9.4	73%	
$20 - 30$	24.3	18%	
$30 - 120$	37.2	9%	

¹²⁸ **3.2 Signal extraction**

 The signal extraction procedure is similar to that in previous CMS analyses of pp [\[36,](#page-21-7) [37\]](#page-21-8) and PbPb [\[5\]](#page-19-4) collisions. The prompt J/*ψ* mesons are separated from those coming from b hadron 131 decays by virtue of the pseudo-proper decay length, $\ell_{I/\psi} = L_{xy} m_{I/\psi}/p_T$, where L_{xy} is the trans- verse distance between the primary and secondary dimuon vertices in the laboratory frame, $m_{\tilde{l}/\psi}$ is the mass of the J/ψ meson, and p_T is the dimuon transverse momentum. For each *p*T, *y*CM, and event activity bin, the fraction of nonprompt J/*ψ* mesons (*b fraction*) is evaluated

135 through an extended unbinned maximum likelihood fit to the invariant mass spectrum and $\ell_{I/\psi}$ $_{136}$ distributions of $\mu^+\mu^-$ pairs sequentially.

Figure 1: Examples of the invariant mass (left) and pseudo-proper decay length (right) distributions of *µ* +*µ* [−] pairs for pp (upper) and pPb (lower) collisions. The projections of the 2D fit function onto the respective axes are overlaid as black solid lines. The long-dashed lines show the fitted contribution of nonprompt J/*ψ* mesons. The fitted background contributions are shown by short-dashed lines.

¹³⁷ For the dimuon invariant mass distributions, the shape of the J/*ψ* signal is modeled by the sum ¹³⁸ of a Gaussian function and a Crystal Ball (CB) function [\[38\]](#page-21-9), with common mean values and ¹³⁹ independent widths, in order to accommodate the rapidity-dependent mass resolution. The 140 CB function combines a Gaussian core with a power-law tail using two parameters n_{CB} and $141 \alpha_{CB}$, to describe final-state QED radiation of muons. Because the two parameters are strongly 142 correlated, the $n_{CB} = 2.1$ is fixed, while α_{CB} is a free parameter of the fit. This configuration 143 gives the highest fit probability for data, in every (p_T, y_{CM}) bin, when various settings of α_{CB} 144 and n_{CB} are tested. The invariant mass distribution of the underlying continuum background ¹⁴⁵ is represented by an exponential decay function.

146 Concerning the $\ell_{J/\psi}$ distributions, the prompt signal component is represented by a resolution 147 function, which depends on the per-event uncertainty in the $\ell_{I/\psi}$ provided by the reconstruc- tion algorithm of primary and secondary vertices. The resolution function is composed of the ¹⁴⁹ sum of two Gaussian functions. A Gaussian with a narrower width (σ_{narrow}) describes the core ¹⁵⁰ of the signal component, while another with a greater width (σ_{wide}) accounts for the effect of 151 uncertainties in the primary vertex determination and fixed by MC simulation. The $\ell_{1/\psi}$ distri- bution of the nonprompt component is modeled by an exponential decay function convolved with a resolution function. The continuum background component is reproduced by the sum of three exponential functions, a single-sided left, a single-sided right, and a double-sided, 155 which are also convolved with a resolution function. The parameters describing the $\ell_{1/\psi}$ distri- butions of the background are determined from sidebands in the invariant mass distribution $m_{\mu\mu} < 2.6 < m_{\mu\mu} < 2.9 \, \text{GeV}/c^2$ and $3.3 < m_{\mu\mu} < 3.5 \, \text{GeV}/c^2$.

 For pPb analysis, two data sets, one corresponding to each beam direction, are merged and fitted together after it is determined the results are compatible when the separate analysis is performed for each data set. Figure [1](#page-6-0) shows examples of fit projections onto the mass (left) 161 and $\ell_{J/\psi}$ (right) axes for muon pairs with 2 < $p_T < 3$ GeV/*c* in −2.4 < $y_{CM} < -1.93$ from pp 162 (upper), and $1.5 < y_{CM} < 1.93$ from pPb (lower) collisions.

3.3 Corrections

 The acceptance and reconstruction, identification, and trigger efficiency corrections are evalu- ated from the MC simulation described in Section [2.](#page-3-0) The acceptance is estimated by the fraction 166 of generated J/ψ mesons in the range of $|\psi| < 2.4$ in the laboratory frame, decaying into two muons, each within the fiducial phase space defined in Eq. [1.](#page-4-0)

 In order to compensate for imperfections in the simulation-based efficiencies, an additional scaling factor is applied, calculated with a *tag-and-probe* (T&P) method [\[39\]](#page-21-10). The tag muons require tight identification, and the probe muons are selected with and without satisfying the selection criteria relevant to the efficiency being measured. Then, invariant mass distributions 172 of tag and probe pairs in the J/ψ mass range are fitted to count the number of signals in the 173 two groups. The single-muon efficiencies are deduced from the ratio of J/ψ mesons in the passing probe pair to those in the all probe group. The data-to-simulation ratios of single-muon efficiencies are used to correct the dimuon efficiencies, taking the kinematic distributions of decayed muons into account. The efficiencies are independent of the event activity, as verified by pPb data and in a PYTHIA sample embedded in simulated pPb events generated by HIJING 1.383 [\[40\]](#page-21-11).

 In addition, the shape of uncorrected J/*ψ* yield distributions in data and MC samples are ob- served to be different. To resolve the possible bias in acceptance and efficiency corrections, the data-to-simulation ratios are fitted by empirical functions and used to reweight the p_T spectra in MC samples for each y_{CM} bin. The effect of reweighting on the acceptance and efficiency is detailed in Section [3.4.](#page-7-1)

3.4 Systematic uncertainties

 The following sources of systematic uncertainties are considered: fitting procedure, acceptance and efficiency corrections, and integrated luminosities.

To estimate the systematic uncertainty due to the fitting procedure, variations of the parameters

188 or alternative fit functions have been considered for the invariant mass and $\ell_{J/\psi}$ distributions.

For the signal shape in the invariant mass distributions, three alternative parameter settings

190 are tested: (1) $α_{CB}$ is set to 1.7, averaged from the default fit, and n_{CB} free, (2) both $α_{CB}$ and

 n_{CB} are left free, and (3) both are obtained from an MC template and then fixed when fit to ¹⁹² the data. The maximum deviation of yields among these three variations is quoted as the ¹⁹³ uncertainty. For the background fit functions for the invariant mass distributions, a first-order 194 polynomial is used as an alternative. For the $\ell_{J/\psi}$ distribution shape of prompt J/ ψ mesons, two ¹⁹⁵ alternatives are studied: (1) both *σ*wide and *σ*narrow are left free, and (2) both parameters are fixed ¹⁹⁶ to the MC templates. The maximum deviation of yields is taken as the uncertainty. Finally, 197 for the $\ell_{1/4}$ distribution shape of nonprompt J/ ψ mesons, the template shape is directly taken ¹⁹⁸ from reconstructed MC events. The uncertainties from the previously mentioned methods are 199 0.7–5.0% for prompt and 1.1–36.3% for nonprompt J/ψ mesons. They are larger for the shape 200 variations in $\ell_{I/\psi}$ distributions than in the invariant mass distributions.

 For the uncertainties from acceptance and efficiency correction factors, the effect of p_T spectra reweighting of the PYTHIA generator as described in Section [3.3](#page-7-0) is considered. The deviation of the correction factors obtained from the default PYTHIA spectra and those from data-based weighted spectra is less than 2.9% across all kinematic ranges. The full deviation values are quoted as the systematic uncertainties, which imposes the limits of variances of correction fac- tors upon the pure PYTHIA generator. The determination of uncertainties for T&P corrections is done by propagating the uncertainties in single-muon efficiencies to the dimuon efficiency values. The systematic uncertainties are evaluated by varying the fit conditions in the T&P pro- cedure, and the statistical uncertainties are estimated using a fast parametric simulation. Total uncertainty from T&P corrections is obtained by the quadratic sum of two sources. Uncertain- ties from the efficiency correction, including the T&P uncertainties, range from 2.4 to 6.1%, and $_{212}$ tend to be larger for lower p_T . The uncertainty in the integrated luminosities (2.3% for pp [\[33\]](#page-21-4) and 3.5% for pPb [\[34\]](#page-21-5)) is global for all points and affects only the production cross sections and R_{pPb} , while it cancels out in the R_{FB} measurements.

 Table [3](#page-8-0) summarizes the sources of systematic uncertainties considered in this analysis. The 216 range refers to different (p_T, y_{CM}) bins; the uncertainties tend to be lower at high p_T and mid *y_{CM}*, and higher at low p_T and forward or backward y_{CM} . The largest uncertainties for non-218 prompt J/ ψ mesons come from the lowest p_T bins, 2–3 GeV/*c*. In the case of the R_{pPb} mea-219 surements with the p_T limit of 4 GeV/*c*, maximum uncertainties for nonprompt J/ ψ mesons are 12.7% for pp and 12.8% for pPb collisions. The total systematic uncertainty is evaluated as the quadratic sum of the uncertainties from all sources in each kinematic bin, except for those from the integrated luminosity determination.

Table 3: Summary of the relative systematic uncertainties for the cross section measurements, given in percentages, for prompt and nonprompt J/*ψ* mesons in pp and pPb collisions.

4 Results

4.1 Prompt J/*ψ* **mesons**

 Figure [2](#page-9-0) shows the double-differential prompt J/*ψ* production cross sections multiplied by the dimuon branching fraction in pp (left) and pPb (right) collisions, with data points plotted at the center of each bin. Statistical uncertainties are displayed as vertical bars, while boxes represent systematic uncertainties. Not shown is a global normalization uncertainty of 2.3% in pp and 3.5% in pPb collisions arising from the integrated luminosity determination.

 Prompt J/*ψ* rapidity distributions are shown in Fig. [3](#page-10-0) in pp (left) and pPb (right) collisions. Data 231 are integrated over two p_T intervals, 6.5 $\lt p_T \lt 10$ GeV/*c* (low p_T) and $10 \lt p_T \lt 30$ GeV/*c*

232 (high p_T), shown as circles and squares, respectively.

Figure 2: Differential cross section of prompt J/*ψ* mesons in pp (left) and pPb (right) collisions at forward (upper) and backward (lower) rapidities. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb collisions, is not included in the point-bypoint uncertainties.

Figure 3: Rapidity dependence of the cross section for prompt J/ψ mesons in the p_T intervals of $6.5 < p_T < 10$ GeV/*c* (circles) and $10 < p_T < 30$ GeV/*c* (squares) in pp (left) and pPb (right) collisions. The vertical bars represent the statistical uncertainties, and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb collisions, is not included in the point-by-point uncertainties.

Figure 4: Transverse momentum dependence of R_{pPb} for prompt J/ ψ mesons in seven rapidity ranges. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{\text{pPb}} = 1$ near the left axis. The predictions of shadowing models based on the parameterizations EPS09 and nCTEQ15 [\[12,](#page-19-11) [41–](#page-21-12)[43\]](#page-21-13) are also shown.

233 The p_T dependence of R_{pPb} is shown in Fig. [4,](#page-10-1) in the seven rapidity ranges for which pp and pPb 234 measurements overlap. Around midrapidity ($|y_{\text{CM}}|$ $<$ 0.9) and in the three backward rapidity ²³⁵ bins (lower panels), R_{pPb} is consistent with (yet systematically slightly above) unity without a 236 clear dependence on p_T , indicating little or no nuclear effect on prompt J/ψ production in this 237 rapidity region. In the most forward bin (1.5 $< y_{CM} < 1.93$), suppression at low p_{T} ($p_{T} \lesssim$ 238 8 GeV/*c*) is observed, followed by a weak increase of R_{pPb} at higher p_T . The result is compared ²³⁹ to three model calculations. One is based on the EPS09 [\[41\]](#page-21-12) nPDF set with the next-to-leading ²⁴⁰ order (NLO) Color Evaporation Model [\[12\]](#page-19-11). The other two are calculated from the nPDF sets of 241 EPS09 and nCTEQ15 [\[42\]](#page-21-14), respectively, with the parameterization of 2 \rightarrow 2 partonic scattering 242 process based on data, as described in Ref. [\[43\]](#page-21-13). All three R_{pPb} calculations are marginally lower ²⁴³ than the measured values across all rapidity bins. The calculations based on coherent energy ²⁴⁴ loss are not yet available to describe quarkonium production at large transverse momentum, ²⁴⁵ *p*_T $\gtrsim m_{I/\psi}$; no comparison of the model [\[13\]](#page-19-12) with the present data is thus performed.

²⁴⁶ It is worth noting that the R_{pPb} values measured in the most forward (1.5 $< y_{\text{CM}} < 1.93$) ²⁴⁷ and backward (−2.4 < *y*_{CM} < −1.93) regions are consistent, in the overlapping *p*_T intervals ²⁴⁸ $(4 < p_T < 8 \text{GeV/c})$, with inclusive J/ ψ measurement from the ALICE [\[17,](#page-20-3) [18\]](#page-20-4) collaboration ²⁴⁹ performed over 2.03 < *y*CM < 3.53 and −4.46 < *y*CM < −2.96 that used an interpolated pp ²⁵⁰ cross section reference.

Figure 5: Rapidity dependence of R_{pPb} for prompt J/ ψ mesons in two p_T ranges: 6.5 $\lt p_T$ 10 GeV/*c* (left), and 10 $\langle p_T \rangle$ < 30 GeV/*c* (right). The vertical bars represent the statistical uncertainties, and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{pPb} = 1$ near the left axis. The predictions of shadowing models based on the parameterizations EPS09 and nCTEQ15 are also shown [\[12,](#page-19-11) [41](#page-21-12)[–43\]](#page-21-13).

²⁵¹ Figure [5](#page-11-0) displays the rapidity dependence of R_{pPb} in the low- p_T (left) and the high- p_T (right) 252 regions corresponding to the same p_T bins used in Fig. [3.](#page-10-0) In both p_T regions, R_{pPb} is consistent ²⁵³ with (yet slightly above) unity over the whole rapidity range, although a decrease of R_{pPb} for ²⁵⁴ increasing y_{CM} cannot be excluded in the lower- p_T region. The same theoretical predictions ²⁵⁵ shown in Fig. [4](#page-10-1) are overlaid. In contrast to the measurement of J/*ψ* mesons in PbPb colli- $_{256}$ sions [\[5\]](#page-19-4), no significant modification of yields is observed for overall p_T and rapidity ranges, ²⁵⁷ suggesting that the strong suppression of J/*ψ* yields in PbPb collisions is an effect of QGP for-²⁵⁸ mation.

²⁵⁹ The forward-to-backward ratio of pPb yields, *R*FB, in three rapidity ranges is displayed as a ²⁶⁰ function of p_T in Fig. [6.](#page-12-0) The R_{FB} tends to be below unity at low p_T ($p_T \leq 8$ GeV/*c*) and forward ²⁶¹ *y*_{CM} ($|y_{CM}| > 0.9$), but consistent with unity within uncertainties. In the 6.5 $\lt p_T < 10$ GeV/*c* ²⁶² bin, an indication of a modest decrease of *R*FB with increasing rapidity is observed. The results ²⁶³ are in agreement with the ATLAS [\[19\]](#page-20-5), ALICE [\[17,](#page-20-3) [18\]](#page-20-4), and LHCb [\[20\]](#page-20-6) collaborations.

Figure [7](#page-12-1) shows R_{FB} as a function of $E_{\text{T}}^{\text{HF}|\eta|>4}$ ²⁶⁴ Figure 7 shows R_{FB} as a function of E_T^{min} for prompt J/ ψ mesons in three rapidity ranges. ²⁶⁵ The data are integrated over $6.5 < p_T < 30$ GeV/*c*; a lower- p_T bin, $5 < p_T < 6.5$ GeV/*c*, is ²⁶⁶ shown in addition for the most forward-backward interval, $1.5 < |y_{CM}| < 1.93$. The value of *R*FB decreases as a function of *E* HF|*η*|>4 ²⁶⁷ R_{FB} decreases as a function of E_T^{m} , indicating the effects that cause the asymmetry between ²⁶⁸ the forward-to-backward production become significant in events with more hadronic activity.

Figure 6: Transverse momentum dependence of *R_{FB}* for prompt *J/ψ* mesons in three rapidity regions. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

Figure 7: Dependence of R_{FB} for prompt J/ ψ mesons on the hadronic activity in the event, given by the transverse energy deposited at forward pseudorapidities *E* HF|*η*|>4 $\int_{T}^{\ln |\eta| > 1}$. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

²⁶⁹ **4.2 Nonprompt J/***ψ* **mesons**

Figure 8: Differential cross section of nonprompt J/*ψ* mesons in pp (left) and pPb (right) collisions at forward (upper) and backward (lower) rapidities. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb, is not included in the point-by-point uncertainties.

²⁷⁰ The same distributions and observables, as those from Section [4.1,](#page-9-1) have been investigated in

²⁷¹ the nonprompt J/ $ψ$ meson samples. Differential cross sections are plotted as functions of p_T

 272 and y_{CM} in Figs. [8](#page-13-0) and [9,](#page-14-0) respectively, using the same binning as for prompt J/ψ mesons.

273 The nonprompt J/ ψ R_{pPb} plotted in Fig. [10](#page-14-1) as a function of p_T is compatible with unity in all

 $_{274}$ rapidity bins, with a possible p_T dependence in the two most backward bins ($y_{CM} < -1.5$).

²⁷⁵ The somewhat larger uncertainties, however, make it difficult to draw firm conclusions for the

 276 nonprompt J/ $ψ$ production. The rapidity dependence of R_{pPb} integrated in the low- and high-

- p_T regions is shown in Fig. [11.](#page-15-0) In all rapidity bins, R_{pPb} is consistent with unity although one
- 278 cannot exclude a rapidity dependence for R_{pPb} in the low p_T region, as in the prompt J/ ψ meson
- ²⁷⁹ production (Fig. [5\)](#page-11-0).

Figure 9: Rapidity dependence of the cross section for nonprompt J/ψ mesons in the p_T intervals of $6.5 < p_T < 10$ GeV/*c* (circles) and $10 < p_T < 30$ GeV/*c* (squares) in pp (left) and pPb (right) collisions. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb, is not included in the point-by-point uncertainties.

Figure 10: Transverse momentum dependence of R_{pPb} for nonprompt J/ ψ mesons in seven rapidity ranges. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{\text{pPb}} = 1$ near the left axis.

Figure 11: Rapidity dependence of R_{pPb} for nonprompt J/ ψ mesons in two p_T ranges: 6.5 < $p_T < 10$ GeV/*c* (left), and $6.5 < p_T < 10$ GeV/*c* (right). The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{\text{pPb}} = 1$ near the left axis.

Figure 12: Transverse momentum dependence of R_{FB} for nonprompt J/ ψ mesons in three rapidity ranges. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

Figure 13: Dependence of R_{FB} for nonprompt J/ $ψ$ mesons on the hadronic activity in the event, given by the transverse energy deposited at forward pseudorapidities *E* HF|*η*|>4 T^{max} . The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

Figures [12](#page-15-1) and [13](#page-16-0) show the $p_{\rm T}$ and $E_{\rm T}^{{\rm HF}|\eta|>4}$ 280 Figures 12 and 13 show the p_T and $E_T^{\text{Tr}|\eta|>4}$ dependence of R_{FB} , respectively. A hint of the ²⁸¹ increase of R_{FB} with p_T can be seen, in all rapidity bins, with values of R_{FB} compatible with 282 unity in the largest p_T bin. The results are consistent with those of ATLAS [\[19\]](#page-20-5) and LHCb [\[20\]](#page-20-6) ²⁸³ collaborations within uncertainties. As in the prompt J/*ψ* meson production, *R*FB decreases with $E_{\text{T}}^{\text{HF}|\eta|>4}$ 284 with $E_T^{\text{Tr}[\eta] \geq 1}$, indicating different nuclear effects at forward than at backward rapidities in the ²⁸⁵ events with the greatest event activity.

5 Summary

²⁸⁷ The proton-proton (pp) and proton-lead (pPb) data at $\sqrt{s_{NN}}$ = 5.02 TeV collected with the CMS 288 detector are used to investigate the production of prompt and nonprompt J/ψ mesons and their possible modifications due to cold nuclear matter effects. Double-differential cross sections, as ²⁹⁰ well as the nuclear modification factor R_{pPb} and forward-to-backward production ratio R_{FB} , are $_{291}$ reported as functions of the transverse momentum, p_T , and the center-of-mass rapidity, y_{CM} , of J/*ψ* mesons.

293 The R_{pPb} for prompt J/ ψ mesons is consistent with unity for $p_T > 6.5$ GeV/*c* in all y_{CM} in-294 tervals analyzed, with a possible depletion in the most forward bin at low $p_T \leq 8 \text{ GeV}/c$. In ²⁹⁵ the case of nonprompt J/ ψ meson production, R_{pPb} is compatible with unity in all rapidity 296 bins. The prompt J/ ψ R_{FB} is below unity at $5 < p_T < 6.5$ GeV/*c* in the most forward bin, $297 \text{ } 1.5 < |y_{\text{CM}}| < 1.93$, but is consistent with unity for $p_{\text{T}} \gtrsim 10 \,\text{GeV/c}$. A similar trend is observed 298 for the nonprompt J/ψ production in the same bin, within slightly larger uncertainties. The dependence of *R*FB on the hadronic activity in pPb events has been studied through the variable $E_{\text{T}}^{\text{HF}|\eta|>4}$ 300 able E_T^{TR} , characterizing the transverse energy deposited in the forward pseudorapidity 301 region of $4 < |\eta| < 5.2$. The ratio is observed to decrease with increasing event activity for both prompt and nonprompt J/*ψ* mesons, indicating enhanced cold nuclear matter effects for increasingly central pPb collisions.

 A depletion of prompt J/*ψ* mesons in pPb collisions (as compared to pp collisions) is expected in the forward rapidity region, because of the shadowing of nuclear parton distributions and/or 306 coherent energy loss effects. Such a suppression is seen in the present data at $y_{CM} > 1.5$ and *p*_T \lesssim 8 GeV/*c*, but not at larger *p*_T, possibly because of the reduced impact of nuclear parton dis- tributions and coherent energy loss effects for increasing J/*ψ* transverse momenta. At negative rapidity, both effects are known to lead to small nuclear modifications [\[11](#page-19-10)[–14\]](#page-20-0), as confirmed by the present measurements. Such processes are also expected to affect the nuclear dependence of B meson production and thereby nonprompt J/*ψ* production [\[44\]](#page-21-15). The measurements pre- sented here provide new constraints on cold nuclear matter effects on prompt and nonprompt J/*ψ* production over a wide kinematical range.

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