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Measurement of prompt and nonprompt J/ ψ production in pp and pPb collisions at $\sqrt{s_{_{\rm NN}}} = 5.02$ TeV

The CMS Collaboration

Abstract

This paper reports the measurement of J/ψ meson production in proton-proton (pp) and proton-lead (pPb) collisions at a center-of-mass energy per nucleon pair of 5.02 TeV by the CMS experiment at the LHC. The data samples used in the analysis correspond to integrated luminosities of 28.0 pb⁻¹ and 34.6 nb⁻¹ for pp and pPb collisions, respectively. Prompt and nonprompt J/ψ mesons, the latter issuing from the decay of b hadrons, are measured in their dimuon decay channels. Differential cross sections are measured in the transverse momentum range of $2 < p_T < 30 \text{ GeV}/c$, and center-of-mass rapidity ranges of $|y_{CM}| < 2.4$ (pp) and $-2.87 < y_{CM} < 1.93$ (pPb). The nuclear modification factor, R_{pPb} , is measured as functions of p_T and y_{CM} . Moderate modifications of the prompt J/ψ yields are observed in pPb relative to pp collisions, whereas nonprompt yields are consistent with (properly scaled) pp yields within experimental uncertainties. The ratio of the forward (proton-going direction) and backward (Pb-going direction) prompt and nonprompt J/ ψ yields, R_{FB}, studied as functions of $p_{\rm T}$ and $y_{\rm CM}$, shows a significant decrease for increasing hadronic activity in the pPb event, as given by the transverse energy deposited in the forward pseudorapidity region. These results provide new constraints of cold nuclear matter effects on prompt and nonprompt J/ψ production over a wide kinematical range.

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Introduction 1 1

It was suggested three decades ago that quark-gluon plasma (QGP) formation would suppress 2 the yield of J/ ψ mesons in high-energy heavy ion collisions, relative to that in proton-proton 3 (pp) collisions, as a consequence of Debye screening of the heavy-quark potential at finite tem-4 perature [1]. This QGP signature triggered intense research activity, both experimental and 5 theoretical, on the topic of heavy quarkonium production in nuclear collisions. Experiments 6 at SPS [2, 3], RHIC [4], and CERN LHC [5, 6] have reported a significant J/ψ suppression for increasingly central heavy ion collisions over a wide range in rapidity (y) and transverse mo-8 mentum ($p_{\rm T}$). In addition, a suppression of different bottomonium states |Y(1S), Y(2S), Y(3S)|9 has been observed at the LHC in lead-lead (PbPb) collisions at a center-of-mass energy per nu-10 cleon pair of $\sqrt{s_{NN}} = 2.76$ TeV [7, 8], which appears to be consistent with the suggested picture 11 of quarkonium suppression in QGP [9, 10]. 12 In order to interpret unambiguously these results, it is necessary to constrain the so-called cold 13 nuclear matter effects on quarkonium production, through baseline measurements in proton-14 lead (pPb) collisions. Among these effects, parton distribution functions in nuclei (nPDF) are 15 known to differ from those in a free proton and thus influence the quarkonium yields in nuclear 16 collisions. The expected depletion of gluon nuclear density at small values of the momentum 17 fraction (x), an effect known as shadowing, would suppress J/ ψ production at forward y, cor-18 responding to the proton-going direction in pPb collisions [11, 12]. It is also shown that gluon 19 radiation induced by parton multiple scattering in the nucleus can lead to p_T broadening and 20 coherent energy loss, resulting in a significant forward J/ψ suppression in pPb collisions at all 21

- available energies [13, 14]. These phenomena can be quantified by the nuclear modification 22
- factor R_{pPb} , i.e. by the ratio of J/ ψ yields in pPb collisions over those in pp collisions scaled by 23 the number of nucleons in the Pb ion (A = 208), and by the $R_{\rm FB}$ ratio of J/ ψ yields at forward 24
- (p-going direction) to backward (Pb-going direction) rapidities. 25

In addition to prompt J/ ψ mesons, directly produced in the primary interaction or from the 26 decay of heavier charmonium states such as $\psi(2S)$ and χ_c , production of J/ ψ mesons includes 27 a nonprompt contribution coming from the decay of b hadrons, which production rate are also 28 expected to be affected by cold nuclear matter effects. However, no clear modification of their 29 cross sections in pPb collisions has been observed for high- p_T B mesons [15], nor for b quark 30 jets [16]. In this respect, the nonprompt component of J/ψ production can shed light on the 31 nature of nuclear effects at low $p_{\rm T}$, where nuclear effects are expected to be significant. 32

At the LHC, the yield of J/ ψ mesons in pPb collisions at $\sqrt{s_{_{\rm NN}}} = 5.02$ TeV has been measured 33 by ALICE [17, 18], ATLAS [19], and LHCb [20] collaborations. The R_{FB} has been determined 34 as functions of the rapidity in the center-of-mass frame, $y_{\rm CM}$, and $p_{\rm T}$. Using an interpolated 35 pp production cross section at the same collision energy, R_{pPb} has also been estimated in 36 Refs. [17, 18, 20] as functions of $y_{\rm CM}$ and $p_{\rm T}$. A significant suppression of the prompt J/ ψ yield 37 in pPb collisions has been observed at forward y and low $p_{\rm T}$, while no strong nuclear effects 38 are reported at backward y. 39

This paper reports the analysis of J/ ψ production in pp and pPb collisions at $\sqrt{s_{_{\rm NN}}} = 5.02$ TeV 40 collected with the CMS detector in 2013 (pPb sample) and in 2015 (pp sample). The J/ ψ mesons 41 are measured via their dimuon decay channel over $2 < p_T < 30 \text{ GeV}/c$, and $|y_{CM}| < 2.4$ in pp, 42 and $-2.87 < y_{\rm CM} < 1.93$ in pPb collisions. The corresponding values of x range from 10^{-4} , at 43 forward y and low p_T , to 10^{-2} , at backward y and higher p_T . Both R_{pPb} and R_{FB} are measured 44 as functions of $y_{\rm CM}$ and $p_{\rm T}$. The latter ratio is also studied as a function of the event activity 45 in pPb collisions, as characterized by the transverse energy deposit in the forward part of the 46

CMS detector. 47

48 2 Experimental setup and event selection

The main feature of the CMS detector is a superconducting solenoid with an internal diameter 49 of 6 m, providing a magnetic field of 3.8 T. Within the field volume are the silicon pixel and 50 strip tracker, the crystal electromagnetic calorimeter, and the brass and scintillator hadronic 51 calorimeter. The silicon pixel and strip tracker measures charged particle trajectories in the 52 pseudorapidity range of $|\eta| < 2.5$. It consists of 66 M pixel and 10 M strip sensor elements. 53 Muons are detected in the range of $|\eta| < 2.4$, with detection planes based on three technologies: 54 drift tubes, cathode strip chambers, and resistive plate chambers. The CMS apparatus also has 55 extensive forward calorimetry, including two steel and quartz-fiber Cherenkov hadron forward 56 (HF) calorimeters, which cover 2.9 < $|\eta|$ < 5.2. These detectors are used for online event 57 selection and the impact parameter characterization of the events in pPb collisions, where the 58 term impact parameter refers to the transverse distance between the two centers of the colliding 59 particles. A more detailed description of the CMS detector, together with a definition of the 60 coordinate system used and the relevant kinematic variables, can be found in Ref. [21]. 61 The pPb data set used in this analysis corresponds to an integrated luminosity of 34.6 nb^{-1} . 62

The beam energies are 4 TeV for protons, and 1.58 TeV per nucleon for the lead nuclei, resulting 63 in $\sqrt{s_{\rm NN}} = 5.02$ TeV. The direction of the higher-energy proton beam was initially set up to 64 be clockwise, and was reversed after 20.7 nb^{-1} . As a result of the beam energy difference, the 65 nucleon-nucleon center-of-mass in pPb collisions is not at rest with respect to the laboratory 66 frame. Massless particles emitted at $|\eta_{CM}| = 0$ in the nucleon-nucleon center-of-mass frame 67 are detected at $\eta_{\text{lab}} = -0.465$ for the first run period (clockwise proton beam) and +0.465 for 68 the second run period (counterclockwise proton beam) in the laboratory frame. The center-of-69 mass rapidity region $-2.87 < y_{CM} < 1.93$ is thus probed. The pp data set is also collected at the 70 same collision energy with an integrated luminosity of 28.0 pb⁻¹. In this sample, J/ψ mesons 71 are measured over $|y_{\rm CM}| < 2.4$. 72

In order to remove beam related background such as beam-gas interactions, inelastic hadronic 73 collisions are selected by requiring a coincidence of at least one of the HF calorimeter towers 74 with more than 3 GeV of total energy in each side of the interaction point. This requirement 75 is not present in pp collisions which suffer less from photon-induced interaction compared to 76 pPb collisions. The pp and pPb events are further selected to have at least one reconstructed 77 primary vertex composed of two or more associated tracks, within 25 cm from the nominal 78 interaction point along the beam axis and within 2 cm in its transverse plane. To reject beam-79 scraping events, the fraction of good-quality tracks associated to the primary vertex is required 80 to be larger than 25% when there are more than 10 tracks per event. 81

In pPb collisions, an additional filter [22] is applied to remove multiple interactions per bunch crossing (pileup). After the selection, the residual fraction of pileup events is reduced from 3% to less than 0.2%. This pileup rejection results in a 4.1% signal loss, which is corrected for in the cross section measurements. Since pileup only affects the event activity dependence in pPb results, no filter is applied in pp results.

Dimuon events are selected by the level-1 trigger, a hardware-based trigger system requiring
two muon candidates in the muon detectors with no explicit limitations in momentum or ra-

⁸⁹ pidity. Offline, muons are required to be within the following kinematic regions, which ensure

⁹⁰ single-muon reconstruction efficiencies above 10%:

$$p_{\rm T}^{\mu} > 3.3 \,{\rm GeV/c} \qquad \qquad \text{for } |\eta_{\rm lab}^{\mu}| < 1.2 , p_{\rm T}^{\mu} > (4.0 - 1.1 |\eta_{\rm lab}^{\mu}|) \,{\rm GeV/c} \qquad \qquad \text{for } 1.2 \le |\eta_{\rm lab}^{\mu}| < 2.1 , \qquad (1) \\ p_{\rm T}^{\mu} > 1.3 \,{\rm GeV/c} \qquad \qquad \qquad \text{for } 2.1 \le |\eta_{\rm lab}^{\mu}| < 2.4 . \end{cases}$$

The muon pairs are further selected to be of opposite charge, to originate from a common vertex with a χ^2 probability greater than 1%, and to match standard identification criteria [23].

Simulated events are used to obtain the correction factors for acceptance and efficiency. The 93 Monte Carlo (MC) samples of J/ψ mesons are generated using PYTHIA 6.424 [24] for pPb and 94 PYTHIA 8.209 [25] for pp collisions. Generated particles in the pPb simulation are boosted 95 by $\Delta y = \pm 0.465$ to account for the asymmetry of proton and lead beams in the laboratory 96 frame. Samples for prompt and nonprompt J/ψ mesons are independently produced using 97 the D6T [26] and Z2 [27] tunes, respectively. In the absence of experimental information on 98 quarkonium polarization in pPb and pp collisions at $\sqrt{s} = 5.02$ TeV, it is assumed that prompt 99 J/ψ mesons are produced unpolarized, as observed in pp collisions at $\sqrt{s} = 7$ TeV [28]. The 100 nonprompt J/ ψ sample includes the polarization ($\lambda_{\theta} \approx -0.4$) determined from a measurement 101 of the exclusive B meson decays (B^+ , B^0 , B_s^0 , and Λ_b) as implemented in EVTGEN 9.1 [29]. The 102 impact in the final cross sections of the different polarization assumptions is not included in the 103 systematic uncertainties, as done in the previous analyses [7, 8]. A quantitative investigation 104 of effects from two extreme scenarios can be found in Ref. [5]. The final-state QED radiation of 105 decayed muons is taken care of using PHOTOS 215.5 [30]. Finally, the CMS detector response is 106 simulated using GEANT4 [31]. 107

3 Analysis procedure

109 3.1 Differential cross section, R_{pPb} , and R_{FB}

In this paper, three observables analyzed in J/ψ meson decays to muon pairs are reported. First, the cross sections are computed by:

$$\mathcal{B}(J/\psi \to \mu^{+}\mu^{-})\frac{d^{2}\sigma}{dp_{T}dy_{CM}} = \frac{N_{Fit}^{J/\psi}/(Acc\,\varepsilon)}{\mathcal{L}_{Int}\Delta p_{T}\Delta y_{CM}},$$
(2)

where $\mathcal{B}(J/\psi \to \mu^+\mu^-) = 0.0596 \pm 0.0003$ is the branching fraction to the $\mu^+\mu^-$ channel [32], $N_{\text{Fit}}^{J/\psi}$ is the extracted raw yield of J/ψ mesons in a given $(p_{\text{T}}, y_{\text{CM}})$ bin, $(\text{Acc}\,\varepsilon)$ represents the dimuon acceptance times efficiency described in Section 3.3, and \mathcal{L}_{Int} is the integrated luminosity with the values of $(28.0 \pm 0.6) \text{ pb}^{-1}$ for pp [33] and $(34.6 \pm 1.2) \text{ nb}^{-1}$ for pPb [34] collisions.

The cross sections are measured in up to nine bins in $p_{\rm T}$ ([2,3], [3,4] [4,5], [5,6.5], [6.5,7.5],

[7.5,8.5], [8.5,10], [10,14], [14,30] GeV/c), with the minimum $p_{\rm T}$ value varying with the rapidity range as shown in Table 1.

The second observable considered is the nuclear modification factor, calculated as

$$R_{\rm pPb}(p_{\rm T}, y_{\rm CM}) = \frac{(d^2\sigma/dp_{\rm T}dy_{\rm CM})_{\rm pPb}}{A(d^2\sigma/dp_{\rm T}dy_{\rm CM})_{\rm pp}},$$
(3)

where A = 208 is the atomic mass of the Pb nucleus that appropriately scales up the pp cross sections [35].

1100 /	Minimum $p_{\rm T}$ (GeV/c)		
$y_{\rm CM}$	рр	pPb	
$1.93 < y_{\rm CM} < 2.4$	2	N/A	
$1.5 < y_{\rm CM} < 1.93$	4	2	
$0.9 < y_{\rm CM} < 1.5$	6.5	4	
$0 < y_{\rm CM} < 0.9$	6.5	6.5	
$-0.9 < y_{\rm CM} < 0$	6.5	6.5	
$-1.5 < y_{\rm CM} < -0.9$	6.5	6.5	
$-1.93 < y_{\rm CM} < -1.5$	4	5	
$-2.4 < y_{\rm CM} < -1.93$	2	4	
$-2.87 < y_{\rm CM} < -2.4$	N/A	2	

Table 1: Rapidity intervals and associated minimum p_T values for the J/ ψ cross section measurements in pp and pPb collisions.

The third measurement is the forward-to-backward production ratio for pPb collisions, defined for positive rapidity by:

$$R_{\rm FB}(p_{\rm T}, y_{\rm CM} > 0) = \frac{d^2 \sigma(p_{\rm T}, y_{\rm CM}) / dp_{\rm T} dy_{\rm CM}}{d^2 \sigma(p_{\rm T}, -y_{\rm CM}) / dp_{\rm T} dy_{\rm CM}}.$$
(4)

This variable proves to be a sensitive probe of the dynamics of J/ψ production by comparing

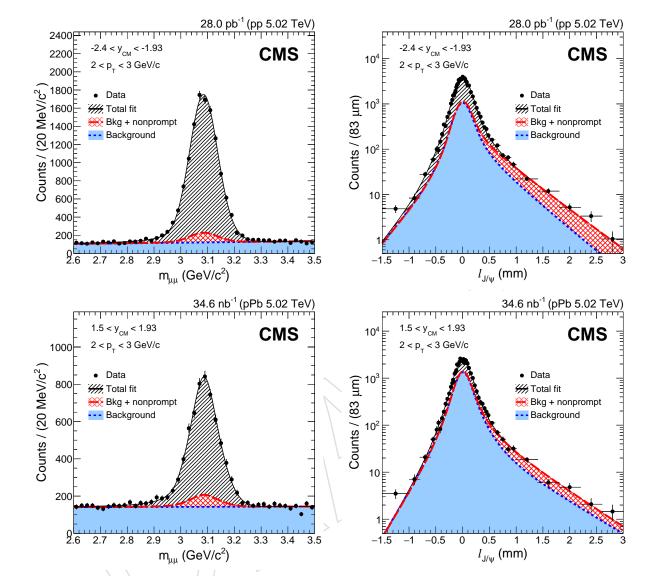
nuclear effects in the forward and the backward rapidity hemispheres, since $R_{FB}(p_T, y_{CM}) = R_{pPb}(p_T, y_{CM})/R_{pPb}(p_T, -y_{CM})$. In addition, several uncertainties cancel in the R_{FB} ratio, such as those from the integrated luminosity determination. The minimum p_T values for the R_{FB} measurement are 5 GeV/*c* for 1.5 < $|y_{CM}| < 1.93$, and 6.5 GeV/*c* for $|y_{CM}| < 1.5$. The ratio R_{FB} is also analyzed as a function of $E_T^{HF|\eta|>4}$, the transverse energy deposited in the HF calorimeter within $4 < |\eta| < 5.2$ range, which is related to the collisional impact parameter. In Table 2, the mean value of $E_T^{HF|\eta|>4}$ and the fraction of events for each bin used in the analysis are computed from minimum bias pPb events.

Table 2: Ranges of forward transverse energy, $E_{\rm T}^{\rm HF|\eta|>4}$, their mean values, and associated fractions of pPb events that fall into each category.

$E_{\rm T}^{{\rm HF} \eta >4}({ m GeV})$	$\langle E_{\mathrm{T}}^{\mathrm{HF} \eta >4} \rangle$	Fraction
0–20	9.4	73%
20-30	24.3	18%
30-120	37.2	9%

128 3.2 Signal extraction

The signal extraction procedure is similar to that in previous CMS analyses of pp [36, 37] and PbPb [5] collisions. The prompt J/ ψ mesons are separated from those coming from b hadron decays by virtue of the pseudo-proper decay length, $\ell_{J/\psi} = L_{xy} m_{J/\psi} / p_T$, where L_{xy} is the transverse distance between the primary and secondary dimuon vertices in the laboratory frame, $m_{J/\psi}$ is the mass of the J/ ψ meson, and p_T is the dimuon transverse momentum. For each p_T , y_{CM} , and event activity bin, the fraction of nonprompt J/ ψ mesons (*b fraction*) is evaluated



through an extended unbinned maximum likelihood fit to the invariant mass spectrum and $\ell_{J/\psi}$ distributions of $\mu^+\mu^-$ pairs sequentially.

Figure 1: Examples of the invariant mass (left) and pseudo-proper decay length (right) distributions of $\mu^+\mu^-$ pairs for pp (upper) and pPb (lower) collisions. The projections of the 2D fit function onto the respective axes are overlaid as black solid lines. The long-dashed lines show the fitted contribution of nonprompt J/ ψ mesons. The fitted background contributions are shown by short-dashed lines.

For the dimuon invariant mass distributions, the shape of the J/ ψ signal is modeled by the sum 137 of a Gaussian function and a Crystal Ball (CB) function [38], with common mean values and 138 independent widths, in order to accommodate the rapidity-dependent mass resolution. The 139 CB function combines a Gaussian core with a power-law tail using two parameters n_{CB} and 140 $\alpha_{\rm CB}$, to describe final-state QED radiation of muons. Because the two parameters are strongly 141 correlated, the $n_{CB} = 2.1$ is fixed, while α_{CB} is a free parameter of the fit. This configuration 142 gives the highest fit probability for data, in every (p_T, y_{CM}) bin, when various settings of α_{CB} 143 and $n_{\rm CB}$ are tested. The invariant mass distribution of the underlying continuum background 144 is represented by an exponential decay function. 145

Concerning the $\ell_{I/\psi}$ distributions, the prompt signal component is represented by a resolution 146 function, which depends on the per-event uncertainty in the $\ell_{I/\psi}$ provided by the reconstruc-147 tion algorithm of primary and secondary vertices. The resolution function is composed of the 148 sum of two Gaussian functions. A Gaussian with a narrower width (σ_{narrow}) describes the core 149 of the signal component, while another with a greater width (σ_{wide}) accounts for the effect of 150 uncertainties in the primary vertex determination and fixed by MC simulation. The $\ell_{I/\psi}$ distri-151 bution of the nonprompt component is modeled by an exponential decay function convolved 152 with a resolution function. The continuum background component is reproduced by the sum 153 of three exponential functions, a single-sided left, a single-sided right, and a double-sided, 154 which are also convolved with a resolution function. The parameters describing the $\ell_{I/\psi}$ distri-155 butions of the background are determined from sidebands in the invariant mass distribution 156 $2.6 < m_{\mu\mu} < 2.9 \,\text{GeV}/c^2$ and $3.3 < m_{\mu\mu} < 3.5 \,\text{GeV}/c^2$. 157

For pPb analysis, two data sets, one corresponding to each beam direction, are merged and fitted together after it is determined the results are compatible when the separate analysis is performed for each data set. Figure 1 shows examples of fit projections onto the mass (left) and $\ell_{J/\psi}$ (right) axes for muon pairs with $2 < p_T < 3 \text{ GeV}/c$ in $-2.4 < y_{CM} < -1.93$ from pp (upper), and $1.5 < y_{CM} < 1.93$ from pPb (lower) collisions.

163 3.3 Corrections

The acceptance and reconstruction, identification, and trigger efficiency corrections are evaluated from the MC simulation described in Section 2. The acceptance is estimated by the fraction of generated J/ ψ mesons in the range of |y| < 2.4 in the laboratory frame, decaying into two muons, each within the fiducial phase space defined in Eq. 1.

In order to compensate for imperfections in the simulation-based efficiencies, an additional 168 scaling factor is applied, calculated with a *tag-and-probe* (T&P) method [39]. The tag muons 169 require tight identification, and the probe muons are selected with and without satisfying the 170 selection criteria relevant to the efficiency being measured. Then, invariant mass distributions 171 of tag and probe pairs in the J/ψ mass range are fitted to count the number of signals in the 172 two groups. The single-muon efficiencies are deduced from the ratio of J/ψ mesons in the 173 passing probe pair to those in the all probe group. The data-to-simulation ratios of single-muon 174 efficiencies are used to correct the dimuon efficiencies, taking the kinematic distributions of 175 decayed muons into account. The efficiencies are independent of the event activity, as verified 176 by pPb data and in a PYTHIA sample embedded in simulated pPb events generated by HIJING 177 1.383 [40]. 178

In addition, the shape of uncorrected J/ ψ yield distributions in data and MC samples are observed to be different. To resolve the possible bias in acceptance and efficiency corrections, the data-to-simulation ratios are fitted by empirical functions and used to reweight the p_T spectra in MC samples for each y_{CM} bin. The effect of reweighting on the acceptance and efficiency is detailed in Section 3.4.

184 3.4 Systematic uncertainties

The following sources of systematic uncertainties are considered: fitting procedure, acceptance
 and efficiency corrections, and integrated luminosities.

187 To estimate the systematic uncertainty due to the fitting procedure, variations of the parameters

or alternative fit functions have been considered for the invariant mass and $\ell_{J/\psi}$ distributions.

¹⁸⁹ For the signal shape in the invariant mass distributions, three alternative parameter settings

are tested: (1) α_{CB} is set to 1.7, averaged from the default fit, and n_{CB} free, (2) both α_{CB} and

 $n_{\rm CB}$ are left free, and (3) both are obtained from an MC template and then fixed when fit to 191 the data. The maximum deviation of yields among these three variations is quoted as the 192 uncertainty. For the background fit functions for the invariant mass distributions, a first-order 193 polynomial is used as an alternative. For the $\ell_{I/\psi}$ distribution shape of prompt J/ ψ mesons, two 194 alternatives are studied: (1) both σ_{wide} and σ_{narrow} are left free, and (2) both parameters are fixed 195 to the MC templates. The maximum deviation of yields is taken as the uncertainty. Finally, 196 for the $\ell_{I/\psi}$ distribution shape of nonprompt J/ψ mesons, the template shape is directly taken 197 from reconstructed MC events. The uncertainties from the previously mentioned methods are 198 0.7–5.0% for prompt and 1.1–36.3% for nonprompt J/ ψ mesons. They are larger for the shape 199 variations in $\ell_{I/\psi}$ distributions than in the invariant mass distributions. 200

For the uncertainties from acceptance and efficiency correction factors, the effect of $p_{\rm T}$ spectra 201 reweighting of the PYTHIA generator as described in Section 3.3 is considered. The deviation 202 of the correction factors obtained from the default PYTHIA spectra and those from data-based 203 weighted spectra is less than 2.9% across all kinematic ranges. The full deviation values are 204 quoted as the systematic uncertainties, which imposes the limits of variances of correction fac-205 tors upon the pure PYTHIA generator. The determination of uncertainties for T&P corrections 206 is done by propagating the uncertainties in single-muon efficiencies to the dimuon efficiency 207 values. The systematic uncertainties are evaluated by varying the fit conditions in the T&P pro-208 cedure, and the statistical uncertainties are estimated using a fast parametric simulation. Total 209 uncertainty from T&P corrections is obtained by the quadratic sum of two sources. Uncertain-210 ties from the efficiency correction, including the T&P uncertainties, range from 2.4 to 6.1%, and 211 tend to be larger for lower $p_{\rm T}$. The uncertainty in the integrated luminosities (2.3% for pp [33] 212 and 3.5% for pPb [34]) is global for all points and affects only the production cross sections and 213 $R_{\rm pPb}$, while it cancels out in the $R_{\rm FB}$ measurements. 214

Table 3 summarizes the sources of systematic uncertainties considered in this analysis. The 215 range refers to different (p_T, y_{CM}) bins; the uncertainties tend to be lower at high p_T and mid 216 $y_{\rm CM}$, and higher at low $p_{\rm T}$ and forward or backward $y_{\rm CM}$. The largest uncertainties for non-217 prompt J/ ψ mesons come from the lowest $p_{\rm T}$ bins, 2–3 GeV/c. In the case of the $R_{\rm pPb}$ mea-218 surements with the $p_{\rm T}$ limit of 4 GeV/c, maximum uncertainties for nonprompt J/ ψ mesons are 219 12.7% for pp and 12.8% for pPb collisions. The total systematic uncertainty is evaluated as the 220 quadratic sum of the uncertainties from all sources in each kinematic bin, except for those from 221 the integrated luminosity determination. 222

Table 3: Summary of the relative systematic uncertainties for the cross section measurements, given in percentages, for prompt and nonprompt J/ ψ mesons in pp and pPb collisions.

Prompt J/ψ		Nonprompt J/ ψ	
pp	pPb	pp	pPb
2.3	3.5	2.3	3.5
0.8–3.2	0.7–5.0	2.0–36.3	1.1–29.5
0.0–2.3	0.0–1.2	0.0–1.3	0.0–1.3
2.4-4.4	2.4–6.1	2.4-4.3	2.4–6.1
2.7–5.3	2.8–7.1	3.4–36.5	3.3–30.1
	pp 2.3 0.8–3.2 0.0–2.3 2.4–4.4	pp pPb 2.3 3.5 0.8–3.2 0.7–5.0 0.0–2.3 0.0–1.2 2.4–4.4 2.4–6.1	pp pPb pp 2.3 3.5 2.3 0.8–3.2 0.7–5.0 2.0–36.3 0.0–2.3 0.0–1.2 0.0–1.3 2.4–4.4 2.4–6.1 2.4–4.3

223 4 Results

4.1 Prompt J/ ψ mesons

Figure 2 shows the double-differential prompt J/ψ production cross sections multiplied by the dimuon branching fraction in pp (left) and pPb (right) collisions, with data points plotted at the center of each bin. Statistical uncertainties are displayed as vertical bars, while boxes represent systematic uncertainties. Not shown is a global normalization uncertainty of 2.3% in pp and 3.5% in pPb collisions arising from the integrated luminosity determination.

Prompt J/ ψ rapidity distributions are shown in Fig. 3 in pp (left) and pPb (right) collisions. Data are integrated over two $p_{\rm T}$ intervals, $6.5 < p_{\rm T} < 10 \,\text{GeV/}c$ (low $p_{\rm T}$) and $10 < p_{\rm T} < 30 \,\text{GeV/}c$ (high $p_{\rm T}$), shown as circles and squares, respectively.

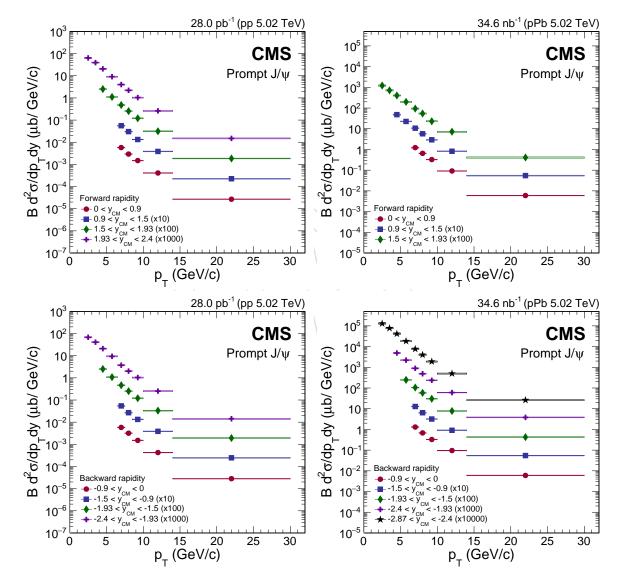


Figure 2: Differential cross section of prompt J/ ψ mesons in pp (left) and pPb (right) collisions at forward (upper) and backward (lower) rapidities. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb collisions, is not included in the point-by-point uncertainties.

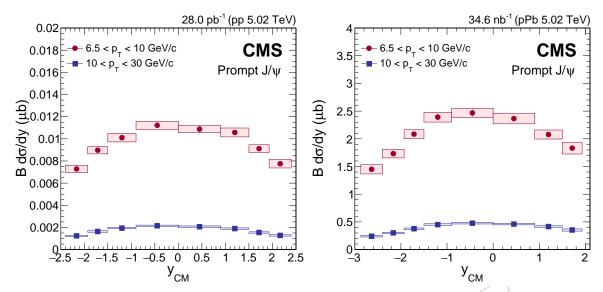


Figure 3: Rapidity dependence of the cross section for prompt J/ ψ mesons in the p_T intervals of 6.5 < p_T < 10 GeV/c (circles) and 10 < p_T < 30 GeV/c (squares) in pp (left) and pPb (right) collisions. The vertical bars represent the statistical uncertainties, and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb collisions, is not included in the point-by-point uncertainties.

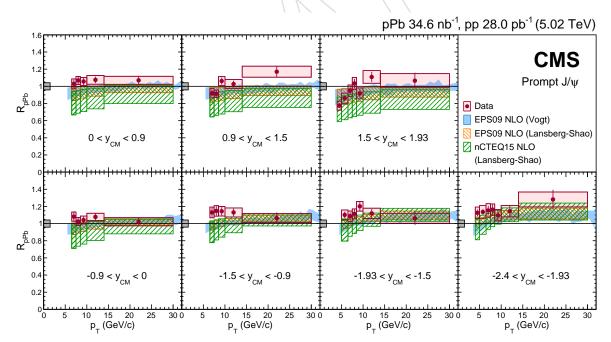


Figure 4: Transverse momentum dependence of R_{pPb} for prompt J/ ψ mesons in seven rapidity ranges. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{pPb} = 1$ near the left axis. The predictions of shadowing models based on the parameterizations EPS09 and nCTEQ15 [12, 41–43] are also shown.

The $p_{\rm T}$ dependence of $R_{\rm pPb}$ is shown in Fig. 4, in the seven rapidity ranges for which pp and pPb 233 measurements overlap. Around midrapidity ($|y_{CM}| < 0.9$) and in the three backward rapidity 234 bins (lower panels), R_{pPb} is consistent with (yet systematically slightly above) unity without a 235 clear dependence on $p_{\rm T}$, indicating little or no nuclear effect on prompt J/ ψ production in this 236 rapidity region. In the most forward bin (1.5 < $y_{\rm CM}$ < 1.93), suppression at low $p_{\rm T}$ ($p_{\rm T} \lesssim$ 237 8 GeV/*c*) is observed, followed by a weak increase of R_{pPb} at higher p_T . The result is compared 238 to three model calculations. One is based on the EPS09 [41] nPDF set with the next-to-leading 239 order (NLO) Color Evaporation Model [12]. The other two are calculated from the nPDF sets of 240 EPS09 and nCTEQ15 [42], respectively, with the parameterization of $2 \rightarrow 2$ partonic scattering 241 process based on data, as described in Ref. [43]. All three R_{pPb} calculations are marginally lower 242 than the measured values across all rapidity bins. The calculations based on coherent energy 243 loss are not yet available to describe quarkonium production at large transverse momentum, 244 $p_{\rm T} \gtrsim m_{\rm I/\psi}$; no comparison of the model [13] with the present data is thus performed. 245

It is worth noting that the R_{pPb} values measured in the most forward (1.5 < y_{CM} < 1.93) and backward (-2.4 < y_{CM} < -1.93) regions are consistent, in the overlapping p_T intervals (4 < p_T < 8 GeV/*c*), with inclusive J/ ψ measurement from the ALICE [17, 18] collaboration performed over 2.03 < y_{CM} < 3.53 and -4.46 < y_{CM} < -2.96 that used an interpolated pp cross section reference.

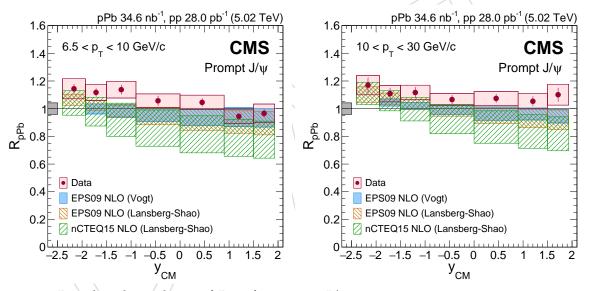


Figure 5: Rapidity dependence of R_{pPb} for prompt J/ ψ mesons in two p_T ranges: 6.5 < p_T < 10 GeV/c (left), and 10 < p_T < 30 GeV/c (right). The vertical bars represent the statistical uncertainties, and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{pPb} = 1$ near the left axis. The predictions of shadowing models based on the parameterizations EPS09 and nCTEQ15 are also shown [12, 41–43].

Figure 5 displays the rapidity dependence of R_{pPb} in the low- p_T (left) and the high- p_T (right) 251 regions corresponding to the same p_T bins used in Fig. 3. In both p_T regions, R_{pPb} is consistent 252 with (yet slightly above) unity over the whole rapidity range, although a decrease of R_{pPb} for 253 increasing $y_{\rm CM}$ cannot be excluded in the lower- $p_{\rm T}$ region. The same theoretical predictions 254 shown in Fig. 4 are overlaid. In contrast to the measurement of J/ψ mesons in PbPb colli-255 sions [5], no significant modification of yields is observed for overall $p_{\rm T}$ and rapidity ranges, 256 suggesting that the strong suppression of J/ψ yields in PbPb collisions is an effect of QGP for-257 mation. 258

The forward-to-backward ratio of pPb yields, R_{FB} , in three rapidity ranges is displayed as a function of p_{T} in Fig. 6. The R_{FB} tends to be below unity at low p_{T} ($p_{\text{T}} \leq 8 \text{ GeV}/c$) and forward y_{CM} ($|y_{\text{CM}}| > 0.9$), but consistent with unity within uncertainties. In the 6.5 < p_{T} < 10 GeV/*c* bin, an indication of a modest decrease of R_{FB} with increasing rapidity is observed. The results are in agreement with the ATLAS [19], ALICE [17, 18], and LHCb [20] collaborations.

Figure 7 shows R_{FB} as a function of $E_{\text{T}}^{\text{HF}|\eta|>4}$ for prompt J/ ψ mesons in three rapidity ranges. The data are integrated over 6.5 < p_{T} < 30 GeV/*c*; a lower- p_{T} bin, 5 < p_{T} < 6.5 GeV/*c*, is shown in addition for the most forward-backward interval, 1.5 < $|y_{\text{CM}}|$ < 1.93. The value of R_{FB} decreases as a function of $E_{\text{T}}^{\text{HF}|\eta|>4}$, indicating the effects that cause the asymmetry between the forward-to-backward production become significant in events with more hadronic activity.

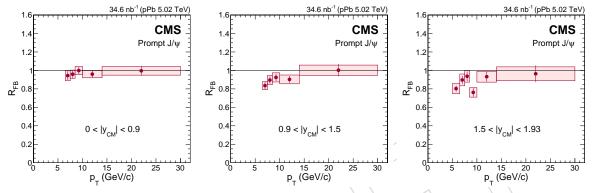


Figure 6: Transverse momentum dependence of R_{FB} for prompt J/ ψ mesons in three rapidity regions. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

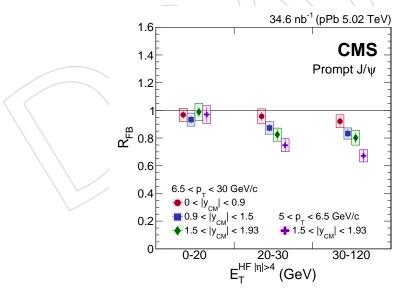
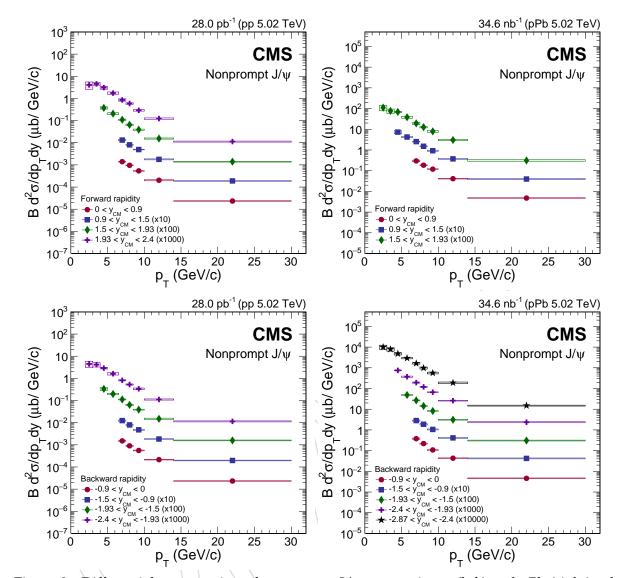


Figure 7: Dependence of R_{FB} for prompt J/ ψ mesons on the hadronic activity in the event, given by the transverse energy deposited at forward pseudorapidities $E_{\text{T}}^{\text{HF}|\eta|>4}$. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.



4.2 Nonprompt J/ψ mesons

Figure 8: Differential cross section of nonprompt J/ ψ mesons in pp (left) and pPb (right) collisions at forward (upper) and backward (lower) rapidities. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb, is not included in the point-by-point uncertainties.

The same distributions and observables, as those from Section 4.1, have been investigated in the nonprompt J/ ψ meson samples. Differential cross sections are plotted as functions of $p_{\rm T}$

and $y_{\rm CM}$ in Figs. 8 and 9, respectively, using the same binning as for prompt J/ ψ mesons.

²⁷³ The nonprompt J/ ψ R_{pPb} plotted in Fig. 10 as a function of p_T is compatible with unity in all

²⁷⁴ rapidity bins, with a possible $p_{\rm T}$ dependence in the two most backward bins ($y_{\rm CM} < -1.5$).

²⁷⁵ The somewhat larger uncertainties, however, make it difficult to draw firm conclusions for the

²⁷⁶ nonprompt J/ ψ production. The rapidity dependence of R_{pPb} integrated in the low- and high-

- $p_{\rm T}$ regions is shown in Fig. 11. In all rapidity bins, $R_{\rm pPb}$ is consistent with unity although one
- ²⁷⁸ cannot exclude a rapidity dependence for R_{pPb} in the low p_T region, as in the prompt J/ ψ meson

279 production (Fig. 5).

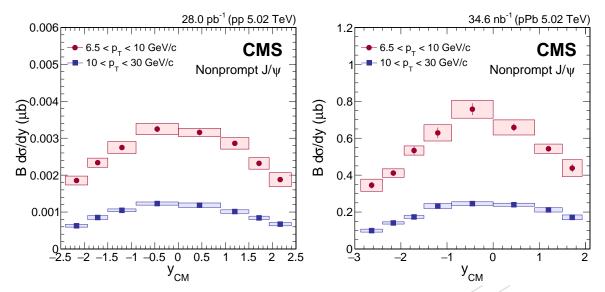


Figure 9: Rapidity dependence of the cross section for nonprompt J/ ψ mesons in the p_T intervals of 6.5 < p_T < 10 GeV/*c* (circles) and 10 < p_T < 30 GeV/*c* (squares) in pp (left) and pPb (right) collisions. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty, 2.3% for pp and 3.5% for pPb, is not included in the point-by-point uncertainties.

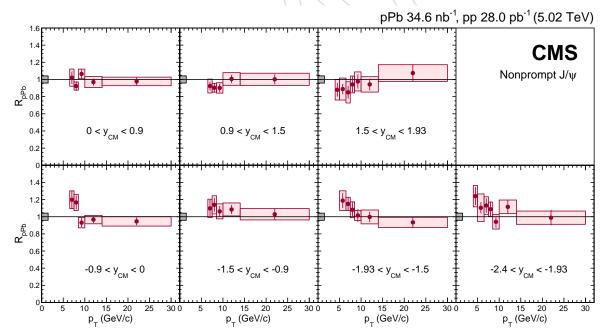


Figure 10: Transverse momentum dependence of R_{pPb} for nonprompt J/ ψ mesons in seven rapidity ranges. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{pPb} = 1$ near the left axis.

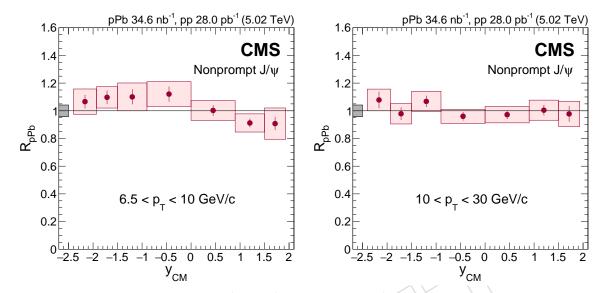


Figure 11: Rapidity dependence of R_{pPb} for nonprompt J/ ψ mesons in two p_T ranges: 6.5 < $p_T < 10 \text{ GeV}/c$ (left), and 6.5 < $p_T < 10 \text{ GeV}/c$ (right). The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties. The fully correlated global uncertainty of 4.2% is displayed as a gray box at $R_{pPb} = 1$ near the left axis.

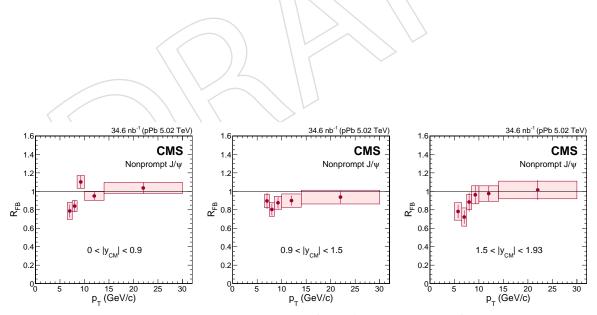


Figure 12: Transverse momentum dependence of R_{FB} for nonprompt J/ ψ mesons in three rapidity ranges. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

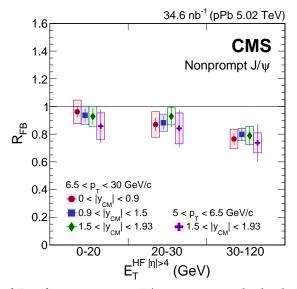


Figure 13: Dependence of R_{FB} for nonprompt J/ ψ mesons on the hadronic activity in the event, given by the transverse energy deposited at forward pseudorapidities $E_{\text{T}}^{\text{HF}|\eta|>4}$. The vertical bars represent the statistical uncertainties and the shaded boxes show the systematic uncertainties.

Figures 12 and 13 show the $p_{\rm T}$ and $E_{\rm T}^{\rm HF|\eta|>4}$ dependence of $R_{\rm FB}$, respectively. A hint of the increase of $R_{\rm FB}$ with $p_{\rm T}$ can be seen, in all rapidity bins, with values of $R_{\rm FB}$ compatible with unity in the largest $p_{\rm T}$ bin. The results are consistent with those of ATLAS [19] and LHCb [20] collaborations within uncertainties. As in the prompt J/ ψ meson production, $R_{\rm FB}$ decreases with $E_{\rm T}^{\rm HF|\eta|>4}$, indicating different nuclear effects at forward than at backward rapidities in the events with the greatest event activity.

286 5 Summary

The proton-proton (pp) and proton-lead (pPb) data at $\sqrt{s_{NN}} = 5.02$ TeV collected with the CMS detector are used to investigate the production of prompt and nonprompt J/ ψ mesons and their possible modifications due to cold nuclear matter effects. Double-differential cross sections, as well as the nuclear modification factor R_{pPb} and forward-to-backward production ratio R_{FB} , are reported as functions of the transverse momentum, p_T , and the center-of-mass rapidity, y_{CM} , of J/ ψ mesons.

The R_{pPb} for prompt J/ ψ mesons is consistent with unity for $p_T > 6.5 \text{ GeV}/c$ in all y_{CM} in-293 tervals analyzed, with a possible depletion in the most forward bin at low $p_{\rm T} \lesssim 8 \,{\rm GeV}/c$. In 294 the case of nonprompt J/ ψ meson production, R_{pPb} is compatible with unity in all rapidity 295 bins. The prompt J/ ψ R_{FB} is below unity at 5 < $p_{\rm T}$ < 6.5 GeV/c in the most forward bin, 296 $1.5 < |y_{CM}| < 1.93$, but is consistent with unity for $p_T \gtrsim 10$ GeV/c. A similar trend is observed 297 for the nonprompt J/ ψ production in the same bin, within slightly larger uncertainties. The 298 dependence of R_{FB} on the hadronic activity in pPb events has been studied through the vari-299 able $E_{\rm T}^{\rm HF|\eta|>4}$, characterizing the transverse energy deposited in the forward pseudorapidity 300 region of $4 < |\eta| < 5.2$. The ratio is observed to decrease with increasing event activity for 301 both prompt and nonprompt J/ ψ mesons, indicating enhanced cold nuclear matter effects for 302 increasingly central pPb collisions. 303

A depletion of prompt J/ ψ mesons in pPb collisions (as compared to pp collisions) is expected in 304 the forward rapidity region, because of the shadowing of nuclear parton distributions and/or 305 coherent energy loss effects. Such a suppression is seen in the present data at $y_{\rm CM} > 1.5$ and 306 $p_{\rm T} \lesssim 8 \,{\rm GeV}/c$, but not at larger $p_{\rm T}$, possibly because of the reduced impact of nuclear parton dis-307 tributions and coherent energy loss effects for increasing J/ ψ transverse momenta. At negative 308 rapidity, both effects are known to lead to small nuclear modifications [11–14], as confirmed by 309 the present measurements. Such processes are also expected to affect the nuclear dependence 310 of B meson production and thereby nonprompt J/ψ production [44]. The measurements pre-311 sented here provide new constraints on cold nuclear matter effects on prompt and nonprompt 312 J/ψ production over a wide kinematical range. 313

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